

# The guarded fragment of FO

~~$\forall \vec{x} \varphi(\vec{x})$~~

$$\forall \vec{x} R(\vec{x}) \rightarrow \varphi(\vec{x})$$

$$\exists \vec{x} R(\vec{x}) \wedge \varphi(\vec{x})$$

all free variables must be GUARDED by some atom

$$\forall x x = x \rightarrow \forall y \dots$$

$$\forall x \forall y \dots$$

Lemma: For every  $GF^2$  formula  $\varphi$  there exist an equi-sat formula  $\psi$  (computable in NP) that is a conjunction of:

$$(1) \exists x \alpha(x) \wedge \lambda(x) \quad (2) \forall x \alpha(x) \rightarrow \lambda(x)$$

$$(3) \forall x \forall y \beta(x,y) \rightarrow \lambda(x,y) \quad (4) \forall x \alpha(x) \rightarrow (\exists y [\beta(x,y) \wedge \lambda(x,y)])$$

with  $\lambda$  quantifier-free,  $\alpha, \beta$  atomic

Only relational symbols!

Complete for Two exponential time

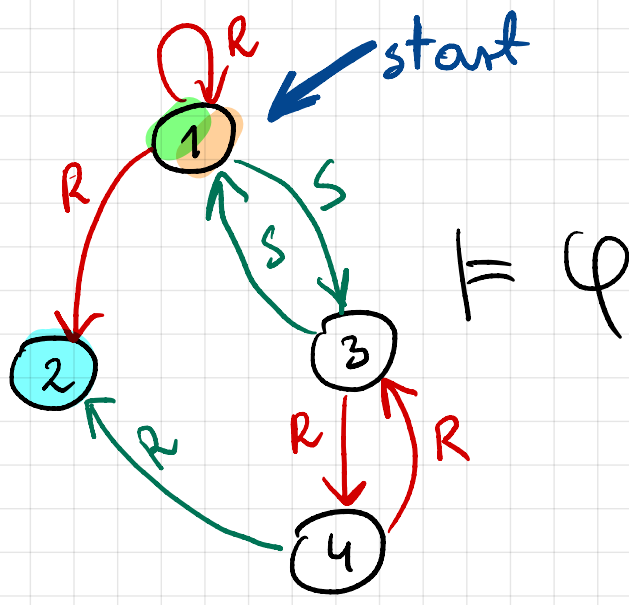
2Exp Time - complete

$$Exp \subseteq NExp \subseteq 2Exp$$

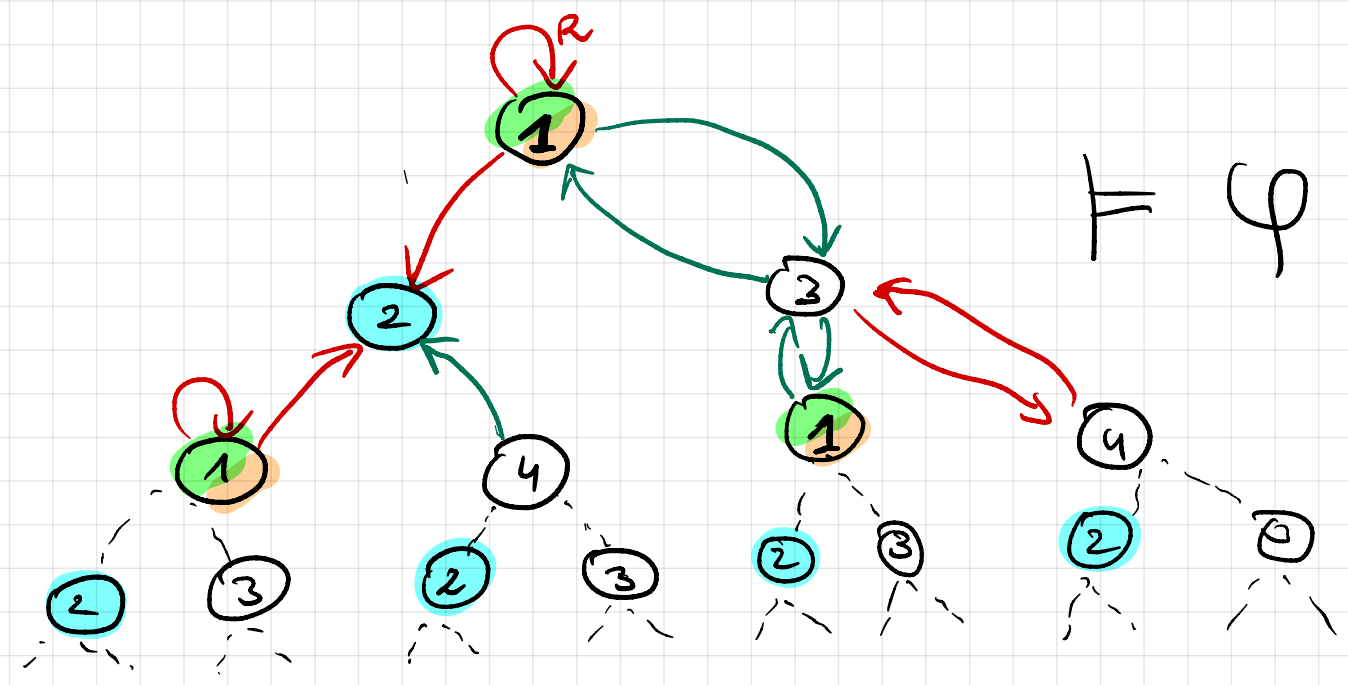
$$\uparrow \quad \uparrow \quad \uparrow$$

$$GF^2 \quad FO^2 \quad GF$$

We will focus on  $GF^2$  but the presented methods can be generalised to  $GF$ .



$\models \varphi$



Main idea: For a node  $v$  copy all its successors below  $v$  and preserve all the original connections between  $v$  and their copies

Lemma: For every  $BF^2$  formula  $\varphi$  there exist an equi-sat formula  $\psi$  (computable in NP) that is a conjunction of:

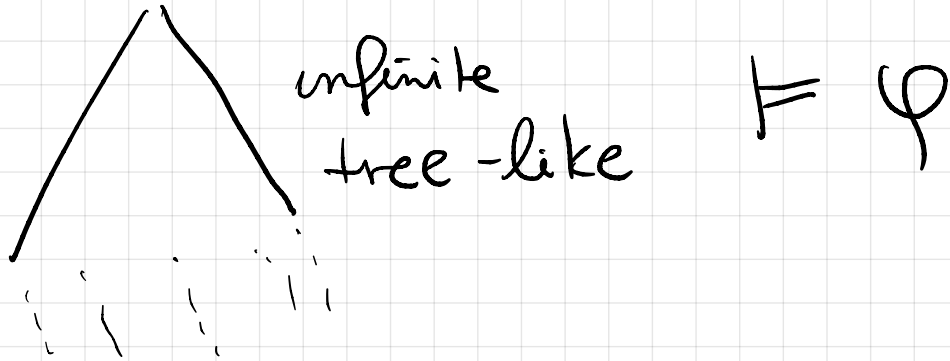
- (1)  $\exists x \alpha(x) \wedge \neg \alpha(x)$       (2)  $\forall x \alpha(x) \rightarrow \neg \alpha(x)$
- (3)  $\forall x \forall y \beta(x,y) \rightarrow \neg \alpha(x,y)$       (4)  $\forall x \alpha(x) \rightarrow (\exists y [\beta(x,y) \wedge \neg \alpha(x,y)])$

with  $\alpha, \beta$  quantifier-free, atomic

$x=x \rightarrow$

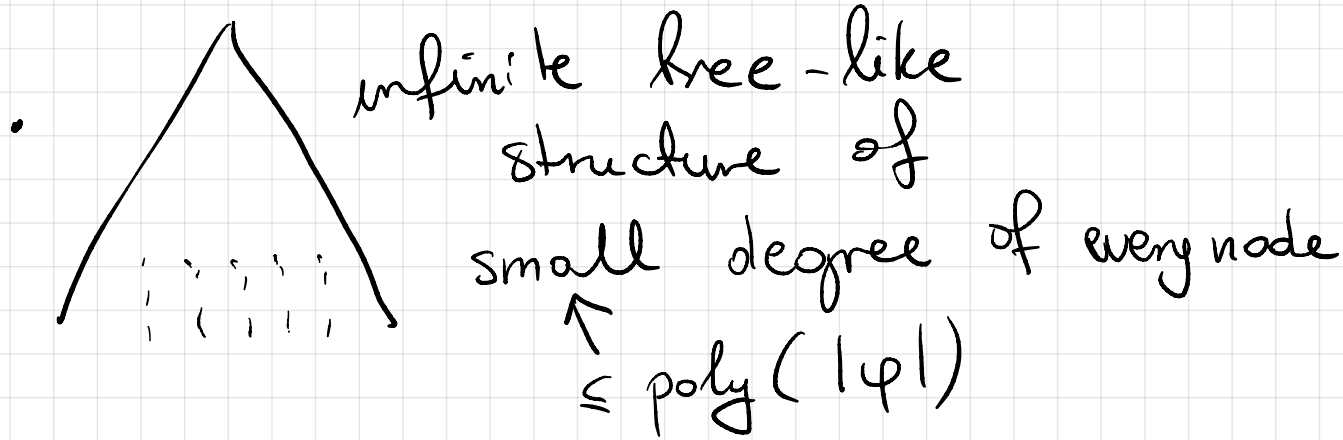
TFCAE :

•  $\mathfrak{A} \models \varphi$

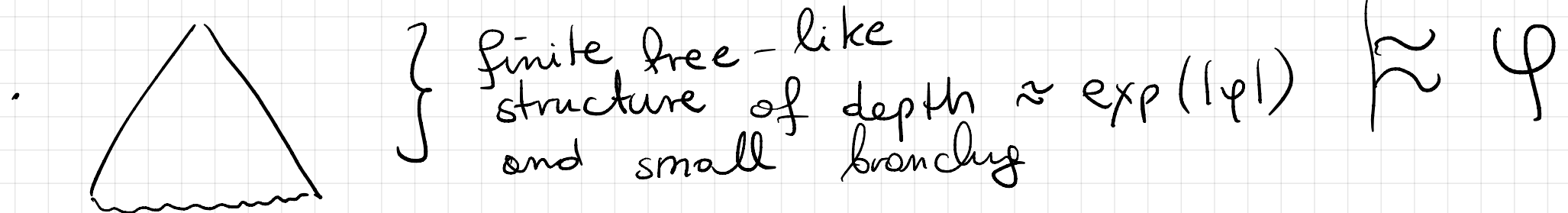


↑ obvious ↓ unravelling

↑ obvious ↓ pruning



↑ satisfies  $\varphi$  except leaves



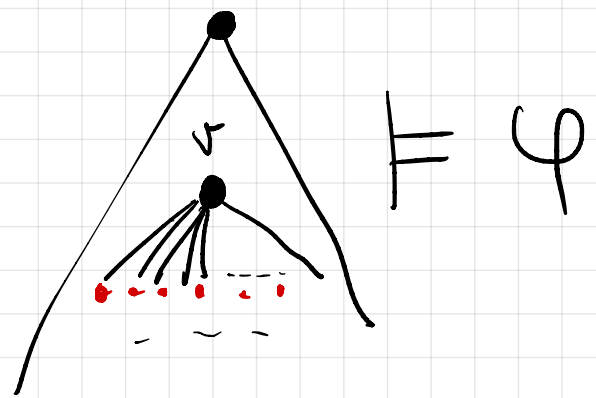
$\varphi$  is a conjunction of:

with  $\lambda$  quantifier-free,  $\alpha, \beta$  atomic

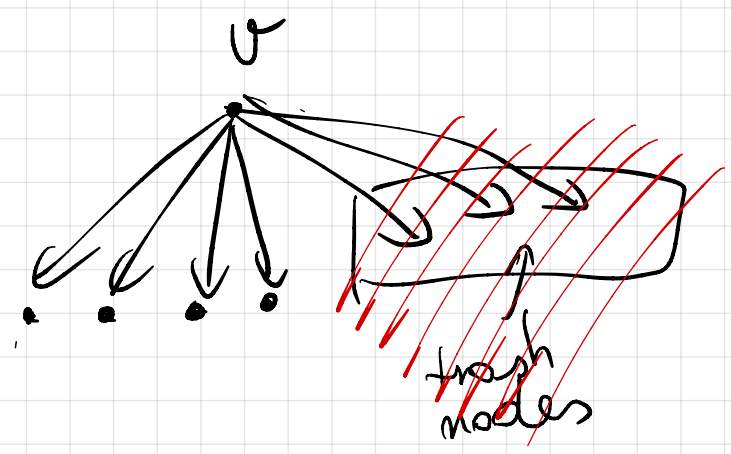
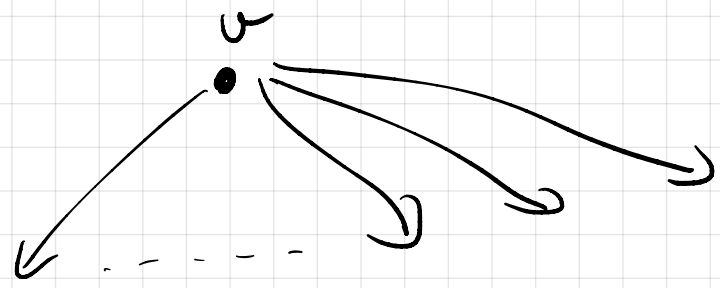
- (1)  $\exists x \alpha(x) \wedge \lambda(x)$  (2)  $\forall x \alpha(x) \rightarrow \lambda(x)$   
 (3)  $\forall x \forall y \beta(x,y) \rightarrow \lambda(x,y)$  (4)  $\forall x \alpha(x) \rightarrow (\exists y [\beta(x,y) \wedge \lambda(x,y)])$

always sat at the root

$x=x \rightarrow$



$\models \varphi$

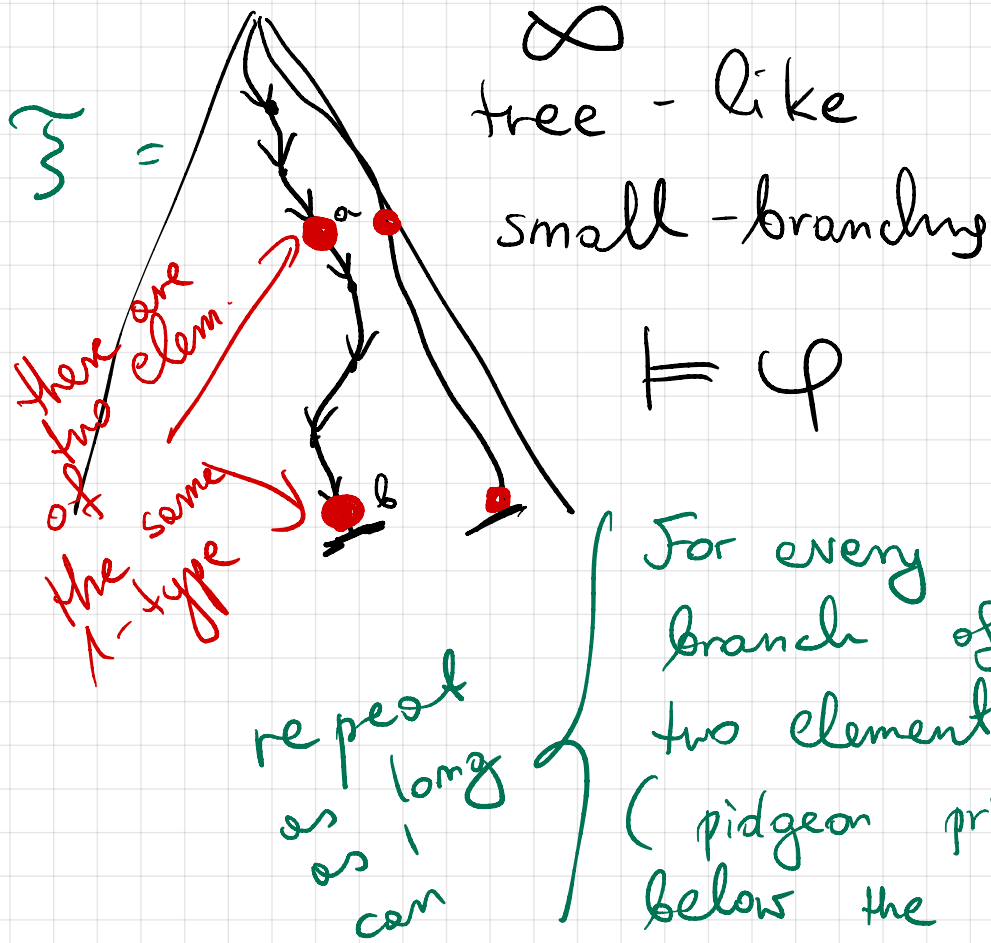


$\Downarrow$  select the minimal number of children of  $v$  serving as witnesses for  $\forall x \exists y$  conjuncts and remove all other children of  $v$  except the selected elements

$v$  after surgery has small branching  $\leq |\varphi|$  and the resulting structure is still a model of  $\varphi$ .

$\varphi$  is a conjunction of :

- (1)  $\exists x \alpha(x) \wedge \neg \alpha(x)$  (2)  $\forall x \alpha(x) \rightarrow \neg \alpha(x)$  (3)  $\forall x \forall y \beta(x,y) \rightarrow \neg \alpha(x,y)$  (4)  $\forall x \alpha(x) \rightarrow (\exists y [\beta(x,y) \wedge \neg \alpha(x,y)])$
- always set at the root* (pointing to (1))
- with quantifier-free,  $\alpha, \beta$  are atomic* (boxed)
- $x=x \rightarrow$*  (under (3))



$$\text{sig}(\varphi) = \Sigma \leq 2^{|\Sigma|} \text{ different 1-types}$$

For every branch in  $\mathfrak{M}$  if it has a branch of size  $> 2^{|\Sigma|} + 1$  we select two element on such a branch with equal 1-types (pidgeon principle) and remove all the elements below the second selected element.

If  $\varphi \in \mathcal{BF}^2$  has a model then it has a tree-like "nearly model" of depth  $= \underbrace{2^{|\varphi|} + 1}$  and degree of every element  $\leq |\varphi|$ .

because we can always take a submodel in which every branch ends on an element having a repetition of its 1-type above

Goal: Design an algorithm that checks whether such a structure exists.

We employ **APSpace** = Exp Time

↓  
Polynomial space algorithm

\* guessing poly-size things

\* run things in parallel (run things on separate polynomially many threads)