## DATABASE THEORY

## Lecture 13: Datalog Expressivity and Containment

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## Review: Datalog

A rule-based recursive query language

```
    father(alice, bob)
    mother(alice, carla)
        \(\operatorname{Parent}(x, y) \leftarrow\) father \((x, y)\)
        Parent \((x, y) \leftarrow \operatorname{mother}(x, y)\)
SameGeneration \((x, x)\)
SameGeneration \((x, y) \leftarrow \operatorname{Parent}(x, v) \wedge \operatorname{Parent}(y, w) \wedge\) SameGeneration \((v, w)\)
```

There are three equivalent ways of defining Datalog semantics:

- Proof-theoretic: What can be proven deductively?
- Operational: What can be computed bottom up?
- Model-theoretic: What is true in the least model?

Datalog is more complex than FO query answering:

- ExpTime-complete for query and combined complexity
- P-complete for data complexity

Next question: Is Datalog also more expressive than FO query answering?

## Expressivity

## The Big Picture

Where does Datalog fit in this picture?

## Arbitrary Query Mappings everything undecidable

## Polynomial Time Query Mappings

## First-Order Queries

Data compl.: AC ${ }^{0}$, Comb./Query compl.: PSpace equivalence/containment/emptiness: undec.

Conjunctive Queries
Data compl.: AC ${ }^{0}$; everything else: NP
k-Bounded Hypertree Width
everything (sub)polynomial
Tree CQs

## Expressivity of Datalog

Datalog is P -complete for data complexity:

- Entailments can be computed in polynomial time with respect to the size of the input database $I$
- There is a Datalog program $P$, such that all problems that can be solved in polynomial time can be reduced to the question whether $P$ entails some fact over a database $I$ that can be computed in logarithmic space.
$\sim$ So Datalog can solve all polynomial problems?


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$\sim$ So Datalog can solve all polynomial problems?
No, it can't. Many problems in $P$ that cannot be solved in Datalog:
- Parity: Is the number of elements in the database even?
- Connectivity: Is the input database a connected graph?
- Is the input database a chain (or linear order)?
- ...


## Datalog Expressivity and Homomorphisms

How can we know that something is not expressible in Datalog?
A useful property: Datalog is "closed under homomorphisms"
Theorem 13.1: Consider a Datalog program $P$, an atom $A$, and databases $I$ and $\mathcal{J}$. If $P$ entails $A$ over $\mathcal{I}$, and there is a homomorphism $\mu$ from $\mathcal{I}$ to $\mathcal{J}$, then $\mu(P)$ entails $\mu(A)$ over $\mathcal{J}$.
(By $\mu(P)$ and $\mu(A)$ we mean the program/atom obtained by replacing constants in $P$ and $A$, respectively, by their $\mu$-images.)

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(By $\mu(P)$ and $\mu(A)$ we mean the program/atom obtained by replacing constants in $P$ and $A$, respectively, by their $\mu$-images.)

## Proof (sketch):

- Closure under homomorphism holds for conjunctive queries
- Single rule applications are like conjunctive queries
- We can show the claim for all $T_{P, I}^{i}$ by induction on $i$


## Limits of Datalog Expressiveness

Closure under homomorphism shows many limits of Datalog
Special case: there is a homomorphism from $\mathcal{I}$ to $\mathcal{J}$ if $I \subset \mathcal{J}$
$\leadsto$ Datalog entailments always remain true when adding more facts

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- Parity cannot be expressed
- Connectivity cannot be expressed
- It cannot be checked if the input database is a chain
- Many FO queries with negation cannot be expressed (e.g., $\neg p(a)$ )
- ...


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- ...

However this criterion is not sufficient!
Datalog cannot even express all polynomial time query mappings that are closed under homomorphism

## Capturing PTime in Datalog

How could we extend Datalog to capture all query mappings in P?
$\leadsto$ semipositive Datalog on an ordered domain
Definition 13.2: Semipositive Datalog, denoted Datalog ${ }^{\perp}$, extends Datalog by allowing negated EDB atoms in rule bodies.
Datalog (semipositive or not) with a successor ordering assumes that there are special EDB predicates succ (binary), first and last (unary) that characterise a total order on the active domain.

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Semipositive Datalog with a total order corresponds to standard Datalog on an extended version of the given database:

- For each ground fact $r\left(c_{1}, \ldots, c_{n}\right)$ with $I \not \vDash r\left(c_{1}, \ldots, c_{n}\right)$, add a new fact $\bar{r}\left(c_{1}, \ldots, c_{n}\right)$ to $\mathcal{I}$, using a new EDB predicate $\bar{r}$
- Replace all uses of $\neg r\left(t_{1}, \ldots, t_{n}\right)$ in $P$ by $\bar{r}\left(t_{1}, \ldots, t_{n}\right)$
- Define extensions for the EDB predicates succ, first and last to characterise some (arbitrary) total order on the active domain.


## A PTime Capturing Result

Theorem 13.3: A Boolean query mapping defines a language in $P$ if and only if it can be described by a query in semipositive Datalog with a successor ordering.

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Example 13.4: We can express Connectivity for binary graphs as follows:

```
Reachable \((x, x) \leftarrow\)
Reachable \((x, y) \leftarrow\) Reachable \((y, x)\)
Reachable \((x, z) \leftarrow \operatorname{Reachable}(x, y) \wedge\) edge \((y, z)\)
    Connected \((x) \leftarrow \operatorname{first}(x)\)
    Connected \((y) \leftarrow \operatorname{Connected}(x) \wedge \operatorname{succ}(x, y) \wedge \operatorname{Reachable}(x, y)\)
        \(\operatorname{Accept}() \leftarrow \operatorname{last}(x) \wedge \operatorname{Connected}(x)\)
```


## Datalog Expressivity: Summary

The PTime capturing result is a powerful and exhaustive characterisation for semipositive Datalog with a successor ordering

Situation much less clear for other variants of Datalog (as of 2018):

- What exactly can we express in Datalog without EDB negation and/or successor ordering?
- Does a weaker language suffice to capture PTime? ~No!
- When omitting negation, do we get query mappings closed under homomorphism? No! ${ }^{1}$
- How about query mappings in PTime that are closed under homomorphism?
- Does plain Datalog capture these? $\sim$ No! ${ }^{2}$
- Does Datalog with successor ordering capture these? $\sim$ No! ${ }^{3}$

[^0]
## The Big Picture



Note: languages that capture the same query mappings must have the same data complexity, but may differ in combined or in query complexity

# Datalog Containment 

## Datalog Implementation and Optimisation

How can Datalog query answering be implemented?
How can Datalog queries be optimised?
Recall: static query optimisation

- Query equivalence
- Query emptiness
- Query containment
$\leadsto$ all undecidable for FO queries, but decidable for (U)CQs


## Learning from CQ Containment?

How did we manage to decide the question $Q_{1} \stackrel{?}{\sqsubseteq} Q_{2}$ for conjunctive queries $Q_{1}$ and $Q_{2}$ ?

## Key ideas were:

- We want to know if all situations where $Q_{1}$ matches are also matched by $Q_{2}$.
- We can simply view $Q_{1}$ as a database $I_{Q_{1}}$ : the most general database that $Q_{1}$ can match to
- Containment $Q_{1} \stackrel{?}{\sqsubseteq} Q_{2}$ holds if $Q_{2}$ matches the database $I_{Q_{1}}$.
$\leadsto$ decidable in NP


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A CQ $Q\left[x_{1}, \ldots, x_{n}\right]$ can be expressed as a Datalog query with a single rule Ans $\left(x_{1}, \ldots, x_{n}\right) \leftarrow Q$
$\leadsto$ Could we apply a similar technique to Datalog?

## Checking Rule Entailment

The containment decision procedure for CQs suggests a procedure for single Datalog rules:

- Consider a Datalog program $P$ and a rule $H \leftarrow B_{1} \wedge \ldots \wedge B_{n}$.
- Define a database $I_{B_{1} \wedge \ldots \wedge B_{n}}$ as for CQs:
- For every variable $x$ in $H \leftarrow B_{1} \wedge \ldots \wedge B_{n}$, we introduce a fresh constant $c_{x}$, not used anywhere yet
- We define $H^{c}$ to be the same as $H$ but with each variable $x$ replaced by $c_{x}$; similarly we define $B_{i}^{c}$ for each $1 \leq i \leq n$
- The database $I_{B_{1} \wedge \ldots \wedge B_{n}}$ contains exactly the facts $B_{i}^{c}(1 \leq i \leq n)$
- Now check if $H^{c} \in T_{P}^{\infty}\left(\mathcal{I}_{B_{1} \wedge \ldots \wedge B_{n}}\right)$ :
- If no, then there is a database on which $H \leftarrow B_{1} \wedge \ldots \wedge B_{n}$ produces an entailment that $P$ does not produce.
- If yes, then $P \models H \leftarrow B_{1} \wedge \ldots \wedge B_{n}$


## Example: Rule Entailment

Let $P$ be the program

```
Ancestor (x,y)\leftarrow\operatorname{parent}(x,y)
Ancestor }(x,z)\leftarrow\operatorname{parent}(x,y)\wedge\mathrm{ Ancestor (y,z)
```

and consider the rule $\operatorname{Ancestor}(x, z) \leftarrow \operatorname{parent}(x, y) \wedge \operatorname{parent}(y, z)$.

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Then $I_{\text {parent }(x, y) \wedge \text { parent }(y, z)}=\left\{\operatorname{parent}\left(c_{x}, c_{y}\right), \operatorname{parent}\left(c_{y}, c_{z}\right)\right\} \quad$ (abbreviate as $\left.I\right)$

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Then $\mathcal{I}_{\text {parent }(x, y) \wedge \text { parent }(y, z)}=\left\{\right.$ parent $\left.\left(c_{x}, c_{y}\right), \operatorname{parent}\left(c_{y}, c_{z}\right)\right\} \quad$ (abbreviate as $\left.\mathcal{I}\right)$ We can compute $T_{P}^{\infty}(\mathcal{I})$ :

$$
\begin{aligned}
& T_{P}^{0}(\mathcal{I})=\mathcal{I} \\
& T_{P}^{1}(\mathcal{I})=\left\{\operatorname{Ancestor}\left(c_{x}, c_{y}\right), \text { Ancestor }\left(c_{y}, c_{z}\right)\right\} \cup \mathcal{I} \\
& T_{P}^{2}(\mathcal{I})=\left\{\operatorname{Ancestor}\left(c_{x}, c_{z}\right)\right\} \cup T_{P}^{1}(\mathcal{I}) \\
& T_{P}^{3}(\mathcal{I})=T_{P}^{2}(\mathcal{I})=T_{P}^{\infty}(\mathcal{I})
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& T_{P}^{3}(\mathcal{I})=T_{P}^{2}(\mathcal{I})=T_{P}^{\infty}(\mathcal{I})
\end{aligned}
$$

Therefore, $\operatorname{Ancestor}(x, z)^{c}=\operatorname{Ancestor}\left(c_{x}, c_{z}\right) \in T_{P}^{\infty}(\mathcal{I})$, so $P$ entails Ancestor $(x, z) \leftarrow \operatorname{parent}(x, y) \wedge \operatorname{parent}(y, z)$.

## Deciding Datalog Containment?

Idea for two Datalog programs $P_{1}$ and $P_{2}$ :

- If $P_{2} \vDash P_{1}$, then every entailment of $P_{1}$ is also entailed by $P_{2}$
- In particular, this means that $P_{1}$ is contained in $P_{2}$
- We have $P_{2} \vDash P_{1}$ if $P_{2} \vDash H \leftarrow B_{1} \wedge \ldots \wedge B_{n}$ for every rule $H \leftarrow B_{1} \wedge \ldots \wedge B_{n} \in P_{1}$
- We can decide $P_{2} \vDash H \leftarrow B_{1} \wedge \ldots \wedge B_{n}$.

Can we decide Datalog containment this way?

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- We can decide $P_{2} \vDash H \leftarrow B_{1} \wedge \ldots \wedge B_{n}$.

Can we decide Datalog containment this way?
$\sim$ No! In fact, Datalog containment is undecidable. What's wrong?

## Implication Entailment vs. Datalog Entailment

$$
\begin{array}{ll}
P_{1}: & P_{2}: \\
\mathrm{A}(x, y) & \leftarrow \operatorname{parent}(x, y) \\
\mathrm{A}(x, z) & \leftarrow \operatorname{parent}(x, y) \wedge \mathrm{A}(y, z)
\end{array}
$$

Consider the Datalog queries $\left\langle A, P_{1}\right\rangle$ and $\left\langle B, P_{2}\right\rangle$

## Implication Entailment vs. Datalog Entailment

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\end{aligned}
$$

$$
P_{2}:
$$

$$
\begin{aligned}
& \mathrm{B}(x, y) \leftarrow \operatorname{parent}(x, y) \\
& \mathrm{B}(x, z) \leftarrow \operatorname{parent}(x, y) \wedge \mathrm{B}(y, z)
\end{aligned}
$$

Consider the Datalog queries $\left\langle A, P_{1}\right\rangle$ and $\left\langle B, P_{2}\right\rangle$ :

- Clearly, $\left\langle A, P_{1}\right\rangle$ and $\left\langle B, P_{2}\right\rangle$ are equivalent (and mutually contained in each other).
- However, $P_{2}$ entails no rule of $P_{1}$ and $P_{1}$ entails no rule of $P_{2}$.


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\end{aligned}
$$

$$
P_{2}:
$$

$$
\mathrm{B}(x, y) \leftarrow \operatorname{parent}(x, y)
$$

$$
\mathrm{B}(x, z) \leftarrow \operatorname{parent}(x, y) \wedge \mathrm{B}(y, z)
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- Clearly, $\left\langle A, P_{1}\right\rangle$ and $\left\langle B, P_{2}\right\rangle$ are equivalent (and mutually contained in each other).
- However, $P_{2}$ entails no rule of $P_{1}$ and $P_{1}$ entails no rule of $P_{2}$.
$\leadsto$ IDB predicates do not matter in Datalog, but predicate names matter in first-order implications


## Datalog as Second-Order Logic

Datalog is a fragment of second-order logic:
IDB predicates are like variables that can take any set of tuples as value!

## Datalog as Second-Order Logic

Datalog is a fragment of second-order logic:
IDB predicates are like variables that can take any set of tuples as value!
Example 13.5: The previous query $\left\langle A, P_{1}\right\rangle$ can be expressed by the formula

$$
\forall \mathrm{A} .\left(\begin{array}{rll}
\forall x, y . \mathrm{A}(x, y) & \leftarrow \operatorname{parent}(x, y) & \wedge \\
\forall x, y, z \cdot \mathrm{~A}(x, z) & \leftarrow \operatorname{parent}(x, y) \wedge \mathrm{A}(y, z) &
\end{array}\right) \rightarrow \mathrm{A}(v, w)
$$

- This is a formula with two free variables $v$ and $w$.
$\leadsto$ query with two result variables
- Intuitive semantics: " $\langle c, d\rangle$ is a query result if $\mathrm{A}(c, d)$ holds for all possible values of A that satisfy the rules"
$\leadsto$ Datalog semantics in other words

We can express any Datalog query like this, with one second-order variable per IDB predicate.

## First-Order vs. Second-Order Logic

A Datalog program looks like a set of first-order implications, but it has a second-order semantics

We have already seen that Datalog can express things that are impossible to express in FO queries - that's why we introduced it! ${ }^{1}$

Consequences for query optimisation:

- Entailment between sets of first-order implications is decidable (shown above)
- Containment between Datalog queries is not decidable (shown next)

[^1]
## Undecidability of Datalog Query Containment

A classical undecidable problem:

## Post Correspondence Problem:

- Input: two lists of words $\alpha_{1}, \ldots, \alpha_{n}$ and $\beta_{1}, \ldots, \beta_{n}$
- Output: "yes" if there is a sequence of indices $i_{1}, i_{2}, i_{3}, \ldots, i_{m}$ such that $\alpha_{i_{1}} \alpha_{i_{2}} \alpha_{i_{3}} \cdots \alpha_{i_{m}}=\beta_{i_{1}} \beta_{i_{2}} \beta_{i_{3}} \cdots \beta_{i_{m}}$.
$\leadsto$ we will reduce PCP to Datalog containment
We need to define Datalog programs that work on databases that encode words:
- We represent words by chains of binary predicates
- Binary EDB predicates represent letters
- For each letter $\sigma$, we use a binary EDB predicate letter[ $\sigma$ ]
- We assume that the words $\alpha_{i}$ have the form $a_{1}^{i} \cdots a_{\left|\alpha_{i}\right|}^{i}$, and that the words $\beta_{i}$ have the form $b_{1}^{i} \cdots b_{\left|\beta_{i}\right|}^{i}$


## Solving PCP with Datalog Containment

A program $P_{1}$ to recognise potential PCP solutions.
Rules to recognise words $\alpha_{i}$ and $\beta_{i}$ for every $i \in\{1, \ldots, n\}$ :

$$
\begin{aligned}
& \mathrm{A}_{i}\left(x_{0}, x_{\left|\alpha_{i}\right|}\right) \leftarrow \operatorname{letter}\left[a_{1}^{i}\right]\left(x_{0}, x_{1}\right) \wedge \ldots \wedge \operatorname{letter}\left[a_{\left|\alpha_{i}\right|}^{i}\right]\left(x_{\left|\alpha_{i}\right|-1}, x_{\left|\alpha_{i}\right|}\right) \\
& \mathrm{B}_{i}\left(x_{0}, x_{\left.\right|_{\beta_{i} \mid}}\right) \leftarrow \operatorname{letter}\left[b_{1}^{i}\right]\left(x_{0}, x_{1}\right) \wedge \ldots \wedge \operatorname{letter}\left[b_{\mid \beta_{i} i}^{i}\right]\left(x_{\beta_{i} \mid-1}, x_{\beta_{i} \mid}\right)
\end{aligned}
$$

Rules to check for synchronised chains (for all $i \in\{1, \ldots, n\}$ ):

$$
\begin{aligned}
\operatorname{PCP}\left(x, y_{1}, y_{2}\right) & \leftarrow A_{i}\left(x, y_{1}\right) \wedge B_{i}\left(x, y_{2}\right) \\
\operatorname{PCP}\left(x, z_{1}, z_{2}\right) & \leftarrow \operatorname{PCP}\left(x, y_{1}, y_{2}\right) \wedge A_{i}\left(y_{1}, z_{1}\right) \wedge B_{i}\left(y_{2}, z_{2}\right) \\
\operatorname{Accept}() & \leftarrow \operatorname{PCP}(x, z, z)
\end{aligned}
$$

## Solving PCP with Datalog Containment (2)

Example: $\alpha_{1}=a a, \beta_{1}=a, \alpha_{2}=b, \beta_{2}=a a b$

Example for an intended database and least model (selected parts):


Additional IDB facts that are derived (among others):

$$
\operatorname{PCP}(1,3,2) \quad \operatorname{PCP}(1,5,3) \quad \mathrm{PCP}(1,6,6) \quad \operatorname{Accept}()
$$

## Solving PCP with Datalog Containment (3)

Example: $\alpha_{1}=a a a a a, \beta_{1}=b b b$

## Solving PCP with Datalog Containment (3)

Example: $\alpha_{1}=$ aaaaa, $\beta_{1}=b b b$

Problem: $P_{1}$ also accepts some unintended cases


Additional IDB facts that are derived:

$$
\operatorname{PCP}(1,6,6) \quad \text { Accept }()
$$

## Solving PCP with Datalog Containment (4)

Solution: specify a program $P_{2}$ that recognises all unwanted cases
$P_{2}$ consists of the following rules (for all letters $\sigma, \sigma^{\prime}$ ):

$$
\begin{aligned}
\mathrm{EP}(x, x) & \leftarrow \\
\mathrm{EP}\left(y_{1}, y_{2}\right) & \leftarrow \operatorname{EP}\left(x_{1}, x_{2}\right) \wedge \operatorname{letter}[\sigma]\left(x_{1}, y_{1}\right) \wedge \operatorname{letter}[\sigma]\left(x_{2}, y_{2}\right) \\
\operatorname{Accept}() & \leftarrow \operatorname{EP}\left(x_{1}, x_{2}\right) \wedge \operatorname{letter}[\sigma]\left(x_{1}, y_{1}\right) \wedge \operatorname{letter}\left[\sigma^{\prime}\right]\left(x_{2}, y_{2}\right) \quad \sigma \neq \sigma^{\prime} \\
\operatorname{NEP}\left(x_{1}, y_{2}\right) & \leftarrow \operatorname{EP}\left(x_{1}, x_{2}\right) \wedge \operatorname{letter}[\sigma]\left(x_{2}, y_{2}\right) \\
\operatorname{NEP}\left(x_{1}, y_{2}\right) & \leftarrow \operatorname{NEP}\left(x_{1}, x_{2}\right) \wedge \operatorname{letter}[\sigma]\left(x_{2}, y_{2}\right) \\
\operatorname{Accept}() & \leftarrow \operatorname{NEP}(x, x)
\end{aligned}
$$

## Intuition:

- EP defines equal paths (forwards, from one starting point)
- NEP defines paths of different length (from one starting point to the same end point)
$\leadsto P_{2}$ accepts all databases with distinct parallel paths


## Solving PCP with Datalog Containment (5)

What does it mean if $\left\langle\mathrm{Accept}, P_{1}\right\rangle$ is contained in $\left\langle\mathrm{Accept}, P_{2}\right\rangle$ ?

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What does it mean if $\left\langle\mathrm{Accept}, P_{1}\right\rangle$ is contained in $\left\langle\mathrm{Accept}, P_{2}\right\rangle$ ?
The following are equivalent:

- All databases with potential PCP solutions also have distinct parallel paths.
- Databases without distinct parallel paths have no PCP solutions.
- Linear databases (words) have no PCP solutions.
- The answer to the PCP is "no".


## Solving PCP with Datalog Containment (5)

What does it mean if $\left\langle\mathrm{Accept}, P_{1}\right\rangle$ is contained in $\left\langle\mathrm{Accept}, P_{2}\right\rangle$ ?
The following are equivalent:

- All databases with potential PCP solutions also have distinct parallel paths.
- Databases without distinct parallel paths have no PCP solutions.
- Linear databases (words) have no PCP solutions.
- The answer to the PCP is "no".
$\leadsto$ If we could decide Datalog containment, we could decide PCP

Theorem 13.6: Containment and equivalence of Datalog queries are undecidable.
(Note that emptiness of Datalog queries is trivial)

## Summary and Outlook

Datalog cannot express all query mappings in $\mathrm{P} \ldots$
...but semipositive Datalog with a successor ordering can
First-order rule entailment is decidable ...
. . . but Datalog containment is not.

## Next question:

- How can we implement Datalog in practice?


[^0]:    ${ }^{1}$ Counterexample on previous slide
    ${ }^{2}$ [A. Dawar, S. Kreutzer, ICALP 2008]
    ${ }^{3}$ [S. Rudolph, M. Thomazo, IJCAI 2016]: "We are somewhat baffled by this result: in order to express queries which satisfy the strongest notion of monotonicity, one cannot dispense with negation, the epitome of non-monotonicity."

[^1]:    ${ }^{1}$ Possible confusion when comparing of FO and Datalog: entailments of first-order implications agree with answers of Datalog queries, so it seems we can break the FO locality restrictions; but query answering is model checking not entailment; FO model checking is much weaker than second-order model checking

