# **Actions and Causality**

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- Introduction
- Conjunctive Planning Problems
- ▶ The Fluent Calculus



## States, Actions, and Causality

- Rational Agents, Agent Programming Languages, Cognitive Robotics
- ► Situation Calculus McCarthy 1963
- Core Ideas
  - A state is a snapshot of the world and
  - > can only be changed by actions
- A state is specified with the help of fluents
- Problem Each state and each action is only partially known!

#### **General Problems**

- Frame problem Which fluents are unaffected by the execution of an action?
- Ramification problem
  Which fluents are really present after the execution of an action?
- Qualification problem Which preconditions have to be satisfied such that an action is executable?
- ► Prediction problem

  How long are fluents present in certain situations?
- All problems have a cognitive as well as a technical aspect
- ▶ Only the frame problem is considered in this lecture

#### Requirements

- ► McCarthy 1963
- General properties of causality and facts about the possibility and results of actions are given as formulas
- It is a logical consequence of the facts of a state and the general axioms that goals can be achieved by performing certain actions
- The formal descriptions of states should correspond as closely as possible to what people may reasonably be presumed to know about them when deciding what to do

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# **Conjunctive Planning Problems**

- ▶ Initial state  $\mathcal{I}$ :  $\{i_1, \ldots, i_m\}$  of ground fluents
- ▶ Goal state  $\mathcal{G}$ :  $\{g_1, \ldots, g_n\}$  of ground fluents
- ▶ Finite set A of actions of the form

$$\{c_1,\ldots,c_l\}\Rightarrow \{e_1,\ldots,e_k\},$$

where  $\{c_1, \ldots, c_l\}$  and  $\{e_1, \ldots, e_k\}$  are multisets of fluents called conditions and (direct) effects, respectively

- ► Assumption
  Each variable occurring in the effects of an action occurs also in its conditions
- A conjunctive planning problem is the question of whether there exists a sequence of actions whose execution transforms the initia into the goal state

#### **Actions and Plans**

- Let S be a multiset of ground fluents
- $ightharpoonup \mathcal{C} \Rightarrow \mathcal{E}$  is applicable in  $\mathcal{S}$  iff there exists  $\theta$  such that  $\mathcal{C}\theta \subseteq \mathcal{S}$
- ▶ The application of  $C \Rightarrow \mathcal{E}$  in S leads to  $S' = (S \setminus C\theta) \cup \mathcal{E}\theta$ 
  - $\triangleright$  One should observe that S' is ground
    - S is ground
    - $\mapsto$   $var(\mathcal{E}) \subseteq var(\mathcal{C})$
    - $\rightarrow$   $\theta$  is grounding
- A plan is a sequence of actions
- ► A goal *G* is satisfied
  - ${\sf ff}$  there exists a plan  ${\sf p}$  which transforms  ${\cal I}$  into  ${\cal S}$  and  ${\cal G} \stackrel{.}{\subseteq} {\cal S}$
  - ▶ Such a plan is called solution for the planning problem

#### **Blocks World**

► The pickup action

$$pickup(V): \{clear(V), ontable(V), empty\} \Rightarrow \{holding(V)\}$$

The unstack action

$$\textit{unstack}(\textit{V},\textit{W}): \quad \{\textit{clear}(\textit{V}),\textit{on}(\textit{V},\textit{W}),\textit{empty}\} \Rightarrow \{\textit{holding}(\textit{V}),\textit{clear}(\textit{W})\}$$

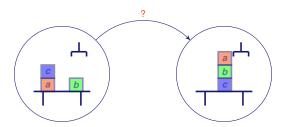
The putdown action

$$putdown(V): \dot{\{}holding(V)\dot{\}} \Rightarrow \dot{\{}clear(V), ontable(V), empty\dot{\}}$$

The stack action

$$stack(V, W) : \dot{\{}holding(V), clear(W)\dot{\}} \Rightarrow \dot{\{}on(V, W), clear(V), empty\dot{\}}$$

#### Sussman's Anomaly

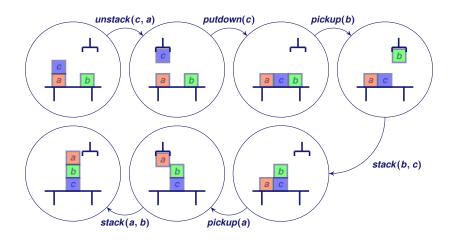


- $\mathcal{I} = \{ontable(a), ontable(b), on(c, a), clear(b), clear(c), empty\}$
- $\mathcal{G} = \{ontable(c), on(b, c), on(a, b), clear(a), empty\}$
- ► Solution
  [unstack(c, a), putdown(c), pickup(b), stack(b, c), pickup(a), stack(a, b)]
- ▶ What happens if we independently search for shortest solutions for the two subgoals on(a, b) and on(b, c)?

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# **Sussman's Anomaly – Solution**



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#### A Fluent Calculus Implementation – Actions and Causality

▶ An action  $C \Rightarrow \mathcal{E}$  is represented by  $action(C^{-1}, name, \mathcal{E}^{-1})$ , where *name* is a term identifying the action

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action(clear(V) \circ ontable(V) \circ empty, \ pickup(V), \ holding(V)) \\ action(clear(V) \circ on(V, W) \circ empty, \ unstack(V, W), \ holding(V) \circ clear(W)) \\ action(holding(V), \ putdown(V), \ clear(V) \circ ontable(V) \circ empty) \\ action(holding(V) \circ clear(W), \ stack(V, W), \ on(V, W) \circ clear(V) \circ empty) \\ \end{aligned}
```

Causality is expressed by causes(s, p, s'), where s and s' are fluent terms and p is a list of actions representing a plan

```
\begin{array}{cccc} \textit{causes}(\textit{X}, [], \textit{Y}) & \leftarrow & \textit{X} \approx \textit{Y} \circ \textit{Z} \\ \textit{causes}(\textit{X}, [\textit{V}|\textit{W}], \textit{Y}) & \leftarrow & \textit{action}(\textit{P}, \textit{V}, \textit{Q}) \\ & & \land \textit{P} \circ \textit{Z} \approx \textit{X} \\ & & \land \textit{causes}(\textit{Z} \circ \textit{Q}, \textit{W}, \textit{Y}) \\ \textit{X} \approx \textit{X} \end{array}
```

#### A Fluent Calculus Implementation – The Planning Problem

- ▶ Let  $\mathcal{K}_A$  be the set of facts representing actions
- Let K<sub>C</sub> be the set of clauses representing causality
- ▶ The planning problem (with intial and goal state  $\mathcal{I}$  and  $\mathcal{G}$ , respectively) is the problem whether

$$\mathcal{K}_{A} \cup \mathcal{K}_{C} \cup \mathcal{E}_{AC1} \cup \mathcal{E}_{\approx} \models (\exists P) \ causes(\mathcal{I}^{-I}, P, \mathcal{G}^{-I})$$

holds

#### **SLDE-Resolution**

- Let
  - ho  ${\cal K}$  be a set of definite clauses not containing pprox in their heads
  - $\triangleright \ \mathcal{E}$  be an equational system and
  - ▶ G a goal clause
- ▶ Question Does  $\mathcal{K} \cup \mathcal{E} \cup \mathcal{E}_{\approx} \models \neg \forall G \text{ hold?}$
- ▶ Let C be a new variant  $H \leftarrow A_1 \land \ldots \land A_m$  of a clause in  $\mathcal{K} \cup \{X \approx X\}$ , G the goal clause  $\leftarrow B_1 \land \ldots \land B_n$ , and  $\mathsf{UP}_{\mathcal{E}}$  an  $\mathcal{E}$ -unification procedure. If H and  $B_i$ ,  $i \in [1, n]$ , are  $\mathcal{E}$ -unifiable with  $\theta \in \mathsf{UP}_{\mathcal{E}}(H, B_i)$  then

$$\leftarrow (B_1 \wedge \ldots \wedge B_{i-1} \wedge A_1 \wedge \ldots \wedge A_m \wedge B_{i+1} \wedge \ldots \wedge B_n)\theta$$

is called SLDE-resolvent of C and G

- ▶ Theorem 4.10
  - ightharpoonup SLDE-resolution is sound if UP $_{\mathcal{E}}$  is sound
  - $\triangleright$  SLDE-resolution is complete if UP<sub>E</sub> is complete
  - ▶ The selection of the literal B<sub>i</sub> is don't care non-deterministic

## A Solution to Sussman's Anomaly (1)

- (1)  $\leftarrow$  causes(ontable(a)  $\circ$  ontable(b)  $\circ$  on(c, a)  $\circ$  clear(b)  $\circ$  clear(c)  $\circ$  empty, W, ontable(c)  $\circ$  on(b, c)  $\circ$  on(a, b)  $\circ$  clear(a)  $\circ$  empty).
- (2)  $\leftarrow$  action( $P_1$ ,  $V_1$ ,  $Q_1$ )  $\land$   $P_1 \circ Z_1 \approx$  ontable(a)  $\circ$  ontable(b)  $\circ$  on(c, a)  $\circ$  clear(b)  $\circ$  clear(c)  $\circ$  empty  $\land$  causes( $Z_1 \circ Q_1$ ,  $W_1$ , ontable(c)  $\circ$  on(b, c)  $\circ$  on(a, b)  $\circ$  clear(a)  $\circ$  empty).
- (3)  $\leftarrow$  clear( $V_2$ )  $\circ$  on( $V_2$ ,  $W_2$ )  $\circ$  empty  $\circ$   $Z_1 \approx$  ontable(a)  $\circ$  ontable(b)  $\circ$  on(c, a)  $\circ$  clear(b)  $\circ$  clear(c)  $\circ$  empty  $\wedge$  causes( $Z_1 \circ$  holding( $V_2$ )  $\circ$  clear( $W_2$ ),  $W_1$ ,
  ontable(c)  $\circ$  on(c)  $\circ$  on(c)  $\circ$  clear(c)  $\circ$  empty).
- (4)  $\leftarrow$  causes(ontable(a)  $\circ$  ontable(b)  $\circ$  clear(b)  $\circ$  clear(a)  $\circ$  holding(c),  $W_1$ , ontable(c)  $\circ$  on(b, c)  $\circ$  on(a, b)  $\circ$  clear(a)  $\circ$  empty).

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## A Solution to Sussman's Anomaly (2)

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(7)
        \leftarrow causes(ontable(a) \circ ontable(b) \circ clear(b) \circ
                       clear(a) \circ clear(c) \circ ontable(c) \circ empty, W_4
                       ontable(c) \circ on(b, c) \circ on(a, b) \circ clear(a) \circ empty).
(10)
        \leftarrow causes(ontable(a) \circ clear(c) \circ ontable(c) \circ clear(a) \circ holding(b), W_7,
                       ontable(c) \circ on(b, c) \circ on(a, b) \circ clear(a) \circ empty).
(14)
        \leftarrow causes(ontable(a) \circ ontable(c) \circ clear(a) \circ on(b, c) \circ clear(b) \circ empty, W_{10},
                       ontable(c) \circ on(b, c) \circ on(a, b) \circ clear(a) \circ empty).
(16)
        \leftarrow causes(ontable(c) \circ on(b, c) \circ clear(b) \circ holding(a), W_{13},
                       ontable(c) \circ on(b, c) \circ on(a, b) \circ clear(a) \circ empty).
(19)
        \leftarrow causes(ontable(c) \circ on(b, c) \circ clear(a) \circ on(a, b) \circ empty, W_{16},
                       ontable(c) \circ on(b, c) \circ on(a, b) \circ clear(a) \circ empty).
(20)
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## **Solving the Frame Problem**

- In the fluent calculus the frame problem is mapped onto fluent matching and fluent unification problems
- For example, let

$$s = ontable(a) \circ ontable(b) \circ on(c, a) \circ clear(b) \circ clear(c) \circ empty$$

$$t = clear(c) \circ on(c, a) \circ empty$$

then

$$\theta = \{Z \mapsto ontable(a) \circ ontable(b) \circ clear(b)\}$$

is a most general  $\mathcal{E}$ -matcher for the  $\mathcal{E}$ -matching problem

$$\mathcal{E}_{AC1} \cup \mathcal{E}_{\approx} \models (\exists Z) s \approx t \circ Z$$

Consequently, unstack(c, a) can be applied to s yielding

$$s' = ontable(a) \circ ontable(b) \circ clear(b) \circ clear(a) \circ holding(c)$$

## Why are States not Modelled by Sets?

- ▶ Let  $\mathcal{E}_{ACI1} = \mathcal{E}_{AC1} \cup \{X \circ X \approx X\}$
- ▶ In this case the E-matching problem

$$\mathcal{E}_{ACH} \cup \mathcal{E}_{\approx} \models (\exists Z) s \approx t \circ Z$$

has an additional solution, viz.

$$\eta = \{Z \mapsto ontable(a) \circ ontable(b) \circ clear(b) \circ empty\}$$

 $\theta$  and  $\eta$  are independent wrt  $\mathcal{E}_{AC/1}$ 

Computing the successor state in this case yields

$$s'' = ontable(a) \circ ontable(b) \circ clear(b) \circ clear(a) \circ holding(c) \circ empty$$

which is not intended because the arm of the robot cannot be empty and holding an object at the same time

#### Remarks (1)

- Some people even believed that the frame problem cannot be solved within first order logic
- Forward versus backward planning
- Many extensions
  - ▶ Incomplete specifications of initial situation, e.g.

```
 \begin{array}{l} (\exists X,P,Y) \\ \textit{causes}(\textit{ontable}(b) \circ Y, \\ P, \\ \textit{ontable}(c) \circ \textit{on}(b,c) \circ \textit{on}(a,b) \circ \textit{clear}(a) \circ \textit{empty} \circ X) \end{array}
```

- Indeterminate effects
- Specificity
- Ramification and qualification problem

#### Remarks (2)

- ▶ Fluent calculus versus linear logic versus linear connection method
- Fluent calculus versus situation calculus versus event calculus
- Planning problems can be reduced to SAT-problems if the length of a plan is restricted