

Deduction, Abduction and Induction

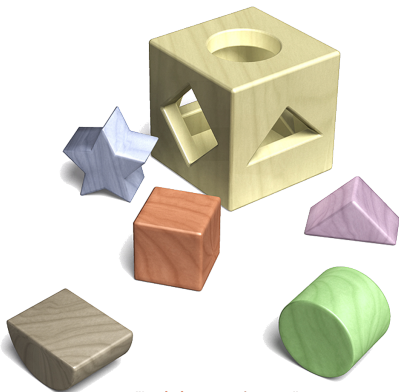
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- ▶ Introduction
- ▶ Deduction
- ▶ Sorts
- ▶ Abduction
- ▶ Induction



"Logic is everywhere ..."



Introduction to Abduction

- ▶ Consider $\mathcal{K} \models F$
where \mathcal{K} is a set of formulas called **knowledge base** and F is a formula
- ▶ In the next example I will use the following propositional atoms:
grassIsWet, *wheelsAreWet*, *sprinklerIsRunning*, *raining*
- ▶ Let $\mathcal{K} = \{g \rightarrow w, s \rightarrow w, r \rightarrow g\}$
 - ▷ Does $\mathcal{K} \models w$ hold?
- ▶ **Idea** Find an atom A such that $\mathcal{K} \cup \{A\} \models w$ and $\mathcal{K} \cup \{A\}$ is satisfiable
 - ▷ $A = w$
 - ▷ $A = g$
 - ▷ $A = s$ or $A = r$
- ▶ This process is called **abduction**



Introduction to Induction

▶ Let $\mathcal{K}_{plus} = \{ (\forall Y: number) plus(0, Y) \approx Y, (\forall X, Y: number) plus(s(X), Y) \approx s(plus(X, Y)) \}$

▶ Does $\mathcal{K}_{plus} \models (\forall X, Y: number) plus(X, Y) \approx plus(Y, X)$ hold?

▶ Consider $\mathcal{D} = \mathbb{N} \cup \{\diamond\}$ and $\frac{I \quad 0 \quad s \quad plus}{0 \quad f \quad \oplus}$ where

▶ $f(d) = \begin{cases} f(0) & \text{if } d = \diamond \\ d + f(0) & \text{if } d \in \mathbb{N} \end{cases}$

▶ $d \oplus e = \begin{cases} 0 & \text{if } d = e = \diamond \\ \diamond & \text{if } d = 0 \text{ and } e = \diamond \\ d & \text{if } d \in \mathbb{N}^+ \text{ and } e = \diamond \\ e & \text{if } d = \diamond \text{ and } e \in \mathbb{N} \\ d + e & \text{if } d, e \in \mathbb{N} \end{cases}$

▶ $+$: $\mathbb{N} \rightarrow \mathbb{N}$ is the usual addition on \mathbb{N} and $\mathbb{N}^+ = \mathbb{N} \setminus \{0\}$

▶ Then $I \models \mathcal{K}_{plus}$ but $(\diamond \oplus 0) \neq (0 \oplus \diamond) \rightsquigarrow$ **Exercise**



The Example Continued

$$\triangleright \mathcal{K}_{plus} = \{ (\forall Y : number) plus(0, Y) \approx Y, \\ (\forall X, Y : number) plus(s(X), Y) \approx s(plus(X, Y)) \}$$

\triangleright Does $\mathcal{K}_{plus} \models (\forall X, Y : number) plus(X, Y) \approx plus(Y, X)$ hold?

\triangleright In order to prove the commutativity of *plus* add Peano's induction principle

$$(P(0) \wedge (\forall M : number) (P(M) \rightarrow P(s(M)))) \rightarrow (\forall M : number) P(M)$$

to \mathcal{K}_{plus} (where P is a relational variable)

\triangleright For the induction base ($X = 0$) we replace $P(Y)$ by $plus(Y, 0) \approx Y$

\triangleright Let \mathcal{K}_I be an appropriate set of induction axioms then

$$\mathcal{K}_{plus} \cup \mathcal{K}_I \models (\forall X, Y : number) plus(X, Y) \approx plus(Y, X)$$

\triangleright How does \mathcal{K}_I look like? \rightsquigarrow Exercise



Deduction, Abduction and Induction

▶ Peirce 1931 $\mathcal{K}_{facts} \cup \mathcal{K}_{rules} \models \mathbf{G}_{result}$

▷ **Deduction**

is an analytic process based on the application of general rules to particular facts, with the inference as a result

▷ **Abduction**

is synthetic reasoning which infers a fact from the rules and the result

▷ **Induction**

is synthetic reasoning which infers a rule from the facts and the result



Deduction

- ▶ **All reasoning processes considered in the module Foundations so far are deductions**
- ▶ **The logics (first-order, equational) are unsorted**
- ▶ **They can be easily extended to sorted logics**
- ▶ **We will use a sorted logic in the subsection on Induction**



Sorts

- ▶ $(\forall X, Y) (\text{number}(X) \wedge \text{number}(Y) \rightarrow \text{plus}(X, Y) \approx \text{plus}(Y, X))$
- ▶ $(\forall X, Y : \text{number}) \text{plus}(X, Y) \approx \text{plus}(Y, X)$

▶ A **first order language with sorts** consists of

- ▶ a first order language $\mathcal{L}(\mathcal{R}, \mathcal{F}, \mathcal{V})$ and
- ▶ a function **sort** : $\mathcal{V} \rightarrow 2^{\mathcal{R}_s}$

where $\mathcal{R}_s \subseteq \mathcal{R}$ is a finite set of unary predicate symbols called **base sorts**

- ▶ Elements of $2^{\mathcal{R}_s}$ are called **sorts**; $\emptyset \in 2^{\mathcal{R}_s}$ is called **top sort**
- ▶ We write **$X:s$** if $\text{sort}(X) = s$
- ▶ We assume that for every sort s there are countably many variables $X:s \in \mathcal{V}$



Sorts – Semantics

- ▶ Let I be an interpretation with domain \mathcal{D}

$$I : \mathbf{s} = \{p_1, \dots, p_n\} \mapsto \mathbf{s}^I = \mathcal{D} \cap p_1^I \cap \dots \cap p_n^I$$

▷ $I : \emptyset \mapsto \mathcal{D}$

- ▶ A variable assignment \mathcal{Z} is **sorted** iff for all $X : \mathbf{s} \in \mathcal{V}$ we find $X^{\mathcal{Z}} \in \mathbf{s}^I$
- ▶ We assume that all sorts are non-empty
- ▶ $F^{I, \mathcal{Z}}$ is defined as usual except for

$$[(\exists X : \mathbf{s}) F]^{I, \mathcal{Z}} = \top \quad \text{iff} \quad \text{there exists } d \in \mathbf{s}^I \text{ such that } F^{I, \{X \mapsto d\} \mathcal{Z}} = \top$$

$$[(\forall X : \mathbf{s}) F]^{I, \mathcal{Z}} = \top \quad \text{iff} \quad \text{for all } d \in \mathbf{s}^I \text{ we find } F^{I, \{X \mapsto d\} \mathcal{Z}} = \top$$



Relativization

- Sorted formulas can be mapped onto unsorted ones by means of a **relativization function** *rel*

$$\begin{aligned}
 \mathit{rel}(p(t_1, \dots, t_n)) &= p(t_1, \dots, t_n) \\
 \mathit{rel}(\neg F) &= \neg \mathit{rel}(F) \\
 \mathit{rel}(F_1 \wedge F_2) &= \mathit{rel}(F_1) \wedge \mathit{rel}(F_2) \\
 \mathit{rel}(F_1 \vee F_2) &= \mathit{rel}(F_1) \vee \mathit{rel}(F_2) \\
 \mathit{rel}(F_1 \rightarrow F_2) &= \mathit{rel}(F_1) \rightarrow \mathit{rel}(F_2) \\
 \mathit{rel}(F_1 \leftrightarrow F_2) &= \mathit{rel}(F_1) \leftrightarrow \mathit{rel}(F_2) \\
 \mathit{rel}((\forall X : s) F) &= (\forall Y) (p_1(Y) \wedge \dots \wedge p_n(Y) \rightarrow \mathit{rel}(F\{X \mapsto Y\})) \\
 &\quad \text{if } \mathit{sort}(X) = s = \{p_1, \dots, p_n\} \text{ and } Y \text{ is a new variable} \\
 \mathit{rel}((\exists X : s) F) &= (\exists Y) (p_1(Y) \wedge \dots \wedge p_n(Y) \wedge \mathit{rel}(F\{X \mapsto Y\})) \\
 &\quad \text{if } \mathit{sort}(X) = s = \{p_1, \dots, p_n\} \text{ and } Y \text{ is a new variable}
 \end{aligned}$$



Sorting Function and Relation Symbols

- ▶ Each atom of the form $p(t_1, \dots, t_n)$ can be equivalently replaced by

$$(\forall X_1 \dots X_n) (p(X_1, \dots, X_n) \leftarrow X_1 \approx t_1 \wedge \dots \wedge X_n \approx t_n)$$

- ▶ Each atom $A[f(t_1, \dots, t_n)]$ can be equivalently replaced by

$$(\forall X_1 \dots X_n) A[f(t_1, \dots, t_n)/f(X_1, \dots, X_n)] \leftarrow X_1 \approx t_1 \wedge \dots \wedge X_n \approx t_n$$

- ▶ Each formula F can be transformed into an equivalent formula F' , in which
 - ▷ all arguments of function and relation symbols different from \approx are variables and
 - ▷ all equations are of the form $t_1 \approx t_2$ or $f(X_1, \dots, X_n) \approx t$, where X_1, \dots, X_n are variables and t, t_1 , and t_2 are variables or constants
- ▶ Sorting the variables occurring in F' effectively sorts the function and relation symbols



Sort Declaration

- ▶ F' is usually quite lengthy and cumbersome to read
- ▶ If $\text{sort}(X) = s$ then the **sort declaration** for the variable X is

$$X : s$$

- ▶ Let $s_i, 1 \leq i \leq n$, and s be sorts, f a function and p a relation symbol, both with arity n . Then

$$f : s_1 \times \dots \times s_n \rightarrow s$$

and

$$p : s_1 \times \dots \times s_n$$

are **sort declarations** for f and p , respectively



Abduction

- ▶ **Example** Starting a car
- ▶ **Applications**
 - ▷ **fault diagnosis**
 - ▷ **medical diagnosis**
 - ▷ **high level vision**
 - ▷ **natural language understanding**
 - ▷ **reasoning about states, actions, and causality**
 - ▷ **knowledge assimilation**



A First Characterization of Abduction

- ▶ Given \mathcal{K} and G ; find **explanation** \mathcal{K}' such that
 - ▷ $\mathcal{K} \cup \mathcal{K}' \models G$ and
 - ▷ $\mathcal{K} \cup \mathcal{K}'$ is satisfiable

The elements of \mathcal{K}' are said to be **abduced**

- ▶ **Abducing atoms is no real restriction**
- ▶ **Weakness of this first characterization**
We want to abduce causes of effects but no other effects



Restrictions

- ▶ **Abducible formulas**
 - ▷ set of pre-specified and domain-dependent formulas
 - ▷ abduction is restricted to this set
 - ▷ default in logic programming: set of undefined predicates
- ▶ **Typical criteria for choosing a set of abducible formulas**
 - ▷ an explanation should be **basic**,
i.e., it cannot be explained by another explanation
 - ▷ an explanation should be **minimal**,
i.e., it cannot be subsumed by another explanation
 - ▷ additional information
 - ▷ domain-dependent preference criteria
 - ▷ integrity constraints



Abductive Framework

- ▶ **Abductive framework** $\langle \mathcal{K}, \mathcal{K}_A, \mathcal{K}_{IC} \rangle$ where
 - ▷ \mathcal{K} is a set of formulas
 - ▷ \mathcal{K}_A is a set of ground atoms called **abducibles**
 - ▷ \mathcal{K}_{IC} is a set of integrity constraints
- ▶ **Observation G is explained by \mathcal{K}' iff**
 - ▷ $\mathcal{K}' \subseteq \mathcal{K}_A$
 - ▷ $\mathcal{K} \cup \mathcal{K}' \models G$ and
 - ▷ $\mathcal{K} \cup \mathcal{K}'$ satisfies \mathcal{K}_{IC}
- ▶ **$\mathcal{K} \cup \mathcal{K}'$ satisfies \mathcal{K}_{IC} iff**
 - ▷ $\mathcal{K} \cup \mathcal{K}' \cup \mathcal{K}_{IC}$ are satisfiable (**satisfiability view**) or
 - ▷ $\mathcal{K} \cup \mathcal{K}' \models \mathcal{K}_{IC}$ (**theoremhood view**)



Knowledge Assimilation

- ▶ **Task** assimilate new knowledge into a given knowledge base

- ▶ **Example**

$$\triangleright \mathcal{K} = \{ \textit{sibling}(X, Y) \leftarrow \textit{parents}(Z, X) \wedge \textit{parents}(Z, Y), \\ \textit{parents}(X, Y) \leftarrow \textit{father}(X, Y), \\ \textit{parents}(X, Y) \leftarrow \textit{mother}(X, Y), \\ \textit{father}(\textit{john}, \textit{mary}), \\ \textit{mother}(\textit{jane}, \textit{mary}) \}$$

$$\triangleright \mathcal{K}_{IC} = \{ X \approx Y \leftarrow \textit{father}(X, Z) \wedge \textit{father}(Y, Z), \\ X \approx Y \leftarrow \textit{mother}(X, Z) \wedge \textit{mother}(Y, Z) \}$$

$$\triangleright \mathcal{K}_A = \{ A \mid A \text{ is a ground instance of } \textit{father}(\textit{john}, Y) \text{ or } \textit{mother}(\textit{jane}, Y) \}$$

- ▶ \approx is a 'built-in' predicate such that

- ▶▶ $X \approx X$ holds and

- ▶▶ $s \not\approx t$ holds for all distinct ground terms s and t

- ▶ **Task** assimilate $\textit{sibling}(\textit{mary}, \textit{bob})$



The Example Continued

- ▶ **Two minimal explanations**
 - ▷ $\{father(john, bob)\}$
 - ▷ $\{mother(jane, bob)\}$
- ▶ **What happens if we additionally observe that *mother(joan, bob)*?**
 - ▷ **belief revision**



Theory Revision

- ▶ Default reasoning and jumping to a conclusion
- ▶ Example
 - ▷ $\mathcal{K} = \{$
 - $penguin(X) \rightarrow bird(X),$
 - $birdsFly(X) \rightarrow (bird(X) \rightarrow fly(X)),$
 - $penguin(X) \rightarrow \neg fly(X),$
 - $penguin(tweedy),$
 - $bird(john)$ $\}$
 - ▷ $\mathcal{K}_{IC} = \emptyset$
 - ▷ $\mathcal{K}_A = \{A \mid A \text{ is a ground instance of } birdsFly(X)\}$
- ▶ **Task 1** Explain $fly(john)$
- ▶ **Task 2** Explain $fly(tweedy)$
- ▶ What happens if we additionally observe $penguin(john)$?



Abduction and Model Generation

► Example

$$\triangleright \mathcal{K} = \left\{ \begin{array}{l} \text{wobblyWheel} \leftrightarrow \text{brokenSpokes} \vee \text{flatTyre}, \\ \text{flatTyre} \leftrightarrow \text{puncturedTube} \vee \text{leakyValve} \end{array} \right\}$$

$$\triangleright \mathcal{K}_{IC} = \emptyset$$

$$\triangleright \mathcal{K}_A = \{\text{brokenSpokes}, \text{puncturedTube}, \text{leakyValve}\}$$

► $\mathcal{K} = \mathcal{K}_{\leftarrow} \cup \mathcal{K}_{\rightarrow}$ where

$$\triangleright \mathcal{K}_{\leftarrow} = \left\{ \begin{array}{l} \text{wobblyWheel} \leftarrow \text{brokenSpokes}, \\ \text{wobblyWheel} \leftarrow \text{flatTyre}, \\ \text{flatTyre} \leftarrow \text{puncturedTube}, \\ \text{flatTyre} \leftarrow \text{leakyValve} \end{array} \right\}$$

$$\triangleright \mathcal{K}_{\rightarrow} = \left\{ \begin{array}{l} \text{wobblyWheel} \rightarrow \text{brokenSpokes} \vee \text{flatTyre}, \\ \text{flatTyre} \rightarrow \text{puncturedTube} \vee \text{leakyValve} \end{array} \right\}$$



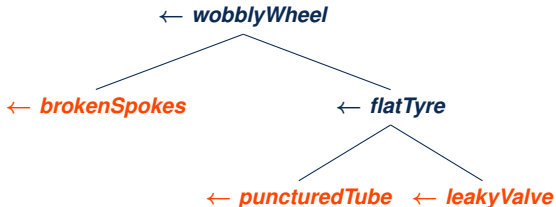
The Wobbly–Wheel Example

- ▶ **Observation** *wobblyWheel*
- ▶ **What are the minimal and basic explanations?**
- ▶ **How can these explanation be computed?**
 - ▷ **SLD–resolution**
 - ▷ **Model generation**



Abduction and SLD-Resolution

- Consider the SLD-derivation tree for $\leftarrow wobblyWheel$ wrt \mathcal{K}_{\leftarrow}



Abduction and Model Generation

- ▶ Remember $\mathcal{K}_{\rightarrow} = \{$
 - $wobblyWheel \rightarrow brokenSpokes \vee flatTyre,$
 - $flatTyre \rightarrow puncturedTube \vee leakyValve$ $\}$
- ▶ Add *wobblyWheel* to $\mathcal{K}_{\rightarrow}$
- ▶ What are the minimal models of the extended knowledge base?

$\{wobblyWheel, flatTyre, puncturedTube\}$
 $\{wobblyWheel, flatTyre, leakyValve\}$
 $\{wobblyWheel, brokenSpokes\}$

- ▶ Restrict these models to the abducible predicates



Mathematical Induction

- ▶ **Essential proof technique used to verify properties about recursively defined objects like natural numbers, lists, trees, logic formulas, etc.**
- ▶ **Central role in the fields of mathematics, algebra, logic, computer science, formal language theory, etc.**



Some Typical Questions

- ▶ **Should induction be really used to prove a statement?**
- ▶ **Should the statement be generalized before an attempt is made to prove it by induction?**
- ▶ **Which variable should be the induction variable?**
- ▶ **What induction principle should be used?**
- ▶ **What property should be used within the induction principle?**
- ▶ **Should nested induction be taken into account?**



Data Structures

- ▶ Function symbols are split into **constructors** and **defined function symbols**
- ▶ Let \mathcal{F} be the set of function symbols
 - ▷ **Constructors** $\mathcal{C} \subseteq \mathcal{F}$
 - ▷ **Defined function symbols** $\mathcal{D} \subseteq \mathcal{F}$
 - ▷ $\mathcal{C} \cap \mathcal{D} = \emptyset$
 - ▷ $\mathcal{C} \cup \mathcal{D} = \mathcal{F}$
 - ▷ $\mathcal{T}(\mathcal{C})$ is called the set of **constructor ground terms**
- ▶ **Data structures** (or **sorts**) are sets of constructor ground terms



Data Structures – Examples

▶ 0 : *number*

s : *number* \rightarrow *number*

▷ $\mathcal{T}(\{0, s\}) = \{0, s(0), s(s(0)), \dots\}$ is called the sort *number*

▶ \top : *bool*

\perp : *bool*

▷ $\mathcal{T}(\{\top, \perp\}) = \{\top, \perp\}$ is called the sort *bool*

▶ $[\]$: *list(number)*

$::$ *number* \times *list(number)* \rightarrow *list(number)*

▷ $\mathcal{T}([\], :s) = \{[\], [0], [0, 0], [s(0)], \dots\}$ is called the sort *list(number)*



Well-Sortedness and Selectors

▶ Well-Sortedness

▷ Constants and variables are well-sorted

▷ If $f: \text{sort}_1 \times \dots \times \text{sort}_n \rightarrow \text{sort}$
and for all $1 \leq i \leq n$ we find that t_i is well-sorted and of sort sort_i
then $f(t_1, \dots, t_n)$ is well-sorted and of sort sort

▶ **Assumption** All terms are well-sorted!

▶ Selectors

▷ For each n -ary constructor c we have n unary selectors s_i
such that for all $1 \leq i \leq n$ we find $s_i(c(t_1, \dots, t_n)) \approx t_i$



Data Structures – Requirements

- ▶ Different constructors denote different objects
- ▶ Constructors are injective
- ▶ Each object can be denoted as an application of some constructor to its selectors (if any exist)
- ▶ Each selector is ‘inverse’ to the constructor it belongs to
- ▶ Each selector returns a so-called **witness term** if applied to a constructor it does not belong to



Requirements for Numbers

- ▶ The requirements can be translated into first order formulas
- ▶ The requirements for *number* are

$$\mathcal{K}_{\text{number}} = \left\{ \begin{array}{l} (\forall N: \text{number}) 0 \not\approx s(N), \\ (\forall N, M: \text{number}) (s(N) \approx s(M) \rightarrow N \approx M), \\ (\forall N: \text{number}) (N \approx 0 \vee N \approx s(p(N))), \\ (\forall N: \text{number}) p(s(N)) \approx N, \\ p(0) \approx 0, \end{array} \right\}$$

where

- ▶ p is the selector for the only argument of the constructor s and
- ▶ 0 is the witness term assigned to $p(0)$
- ▶ **Note** p is a defined function symbol!



Defined Function Symbols

- ▶ Functions are defined on top of data structures
- ▶ We define functions with the help of a set of conditional equations, i.e., universally closed equations of the form

$$\forall l \approx r \leftarrow \mathit{Body}$$

such that l is a non-variable term (i.e. of the form $g(s_1, \dots, s_n)$),

$$\mathit{var}(l) \supseteq \mathit{var}(r) \cup \mathit{var}(\mathit{Body})$$

and Body denotes a conjunction of literals

- ▶ We sometimes omit the universal quantifiers in writing conditional equations
- ▶ g is a **defined function symbol** wrt a set \mathcal{K} of conditional equations if \mathcal{K} contains a conditional equation of the form

$$g(t_1, \dots, t_n) \approx r \leftarrow \mathit{Body}$$

The set of conditional equations of this form in \mathcal{K} is called **definition of g** wrt \mathcal{K}



Defined Function Symbols – Examples

► **Predecessor** on *number* \mathcal{K}_p

$$(\forall N: \textit{number}) p(s(N)) \approx N$$

$$p(0) \approx 0$$

► **Addition** on *number* \mathcal{K}_{plus}

$$(\forall X, Y: \textit{number}) (\textit{plus}(X, Y) \approx Y) \quad \leftarrow \quad X \approx 0$$

$$(\forall X, Y: \textit{number}) (\textit{plus}(X, Y) \approx s(\textit{plus}(p(X), Y))) \quad \leftarrow \quad X \not\approx 0$$

► **Less-than** on *number* \mathcal{K}_{lt}

$$(\forall X, Y: \textit{number}) (\textit{lt}(X, Y) \approx \perp) \quad \leftarrow \quad Y \approx 0$$

$$(\forall X, Y: \textit{number}) (\textit{lt}(X, Y) \approx \top) \quad \leftarrow \quad X \approx 0 \wedge Y \not\approx 0$$

$$(\forall X, Y: \textit{number}) (\textit{lt}(X, Y) \approx \textit{lt}(p(X), p(Y))) \quad \leftarrow \quad X \not\approx 0 \wedge Y \not\approx 0$$



Rewriting Extended to Conditional Equations

- ▶ Let \mathcal{K} be a finite set of conditional equations
- ▶ A term t can be **rewritten** wrt \mathcal{K} iff
 - 1 t is well-sorted and ground
 - 2 t contains a subterm of the form $g(t_1, \dots, t_n)$
where for all $1 \leq i \leq n$ we find that t_i is a constructor ground term
 - 3 $g(s_1, \dots, s_n) \approx r \leftarrow \mathit{Body} \in \mathcal{K}$ and
 - 4 we find an mgu θ for $g(s_1, \dots, s_n)$ and $g(t_1, \dots, t_n)$ such that $\mathcal{K} \models \mathit{Body}\theta$
- ▶ In this case t is rewritten to the term obtained from t by replacing $g(t_1, \dots, t_n)$ by $r\theta$
- ▶ **Note** θ is a matcher because t is ground



Cases

- ▶ Let $g(s_1, \dots, s_n) \approx r \leftarrow \text{Body}$ be a rule and X_1, \dots, X_n new variables

$$g(X_1, \dots, X_n) \approx r \leftarrow X_1 \approx s_1 \wedge \dots \wedge X_n \approx s_n \wedge \text{Body}$$

is called **homogeneous form** of this rule

- ▶ **Example**

$$(\forall X, N: \text{number}) (p(X) \approx N \leftarrow X \approx s(N))$$

is the homogeneous form of

$$(\forall N: \text{number}) p(s(N)) \approx N$$

- ▶ **Obervation** A rule is semantically equivalent to its homogeneous form
- ▶ The **case** of a rule is the condition of its homogeneous form



Programs

- ▶ A **program** is a set of clauses consisting of data structure declarations and function definitions
- ▶ **Example** $\mathcal{K}_{\text{number}} \cup \mathcal{K}_{\text{plus}}$ is a program



Properties of Programs

- ▶ A program \mathcal{K} is
 - ▷ **well-formed** iff it can be ordered such that each function symbol occurring in the definition of a function g in \mathcal{K} either is introduced before by a data structure declaration or another function definition or, otherwise, is g in which case the function is **recursive**
 - ▷ **well-sorted** iff each term occurring in \mathcal{K} is well-sorted
 - ▷ **deterministic** iff for each function definition occurring in \mathcal{K} the cases are mutually exclusive
 - ▷ **case-complete** iff for each function definition of an n -ary function g occurring in \mathcal{K} and each well-sorted n -tuple of constructor ground terms given as input to g there is at least one of the cases which is satisfied
 - ▷ **terminating** iff there is no infinite rewriting sequence for any well-sorted ground term
 - ▷ **admissible** iff it is well-formed, well-sorted, deterministic, case-complete and terminating
- ▶ The rewrite relation wrt an admissible program is confluent \rightsquigarrow **Exercise**



Evaluation

- ▶ Admissible programs \mathcal{K} define a unique **evaluator** $eval_{\mathcal{K}}$ which maps terms to their normal form
- ▶ $eval_{\mathcal{K}} : \mathcal{T}(\mathcal{F}) \rightarrow \mathcal{T}(\mathcal{C})$
- ▶ $eval_{\mathcal{K}}(t)$ is called **value** of t
- ▶ $eval_{\mathcal{K}}$ is an interpretation with domain $\mathcal{T}(\mathcal{C})$
- ▶ $eval_{\mathcal{K}}$ is called **standard interpretation** of \mathcal{K}
- ▶ **Example** Consider $\mathcal{K}_{\text{number}} \cup \mathcal{K}_{\text{plus}}$

$plus(s(0), s(0))$
 $\rightarrow s(plus(p(s(0)), s(0)))$
 $\rightarrow s(plus(0, s(0)))$
 $\rightarrow s(s(0))$



Evaluation – Example

- ▶ Consider $\mathcal{K} = \mathcal{K}_{\text{number}} \cup \mathcal{K}_{\text{plus}}$
 - ▷ $\text{eval}_{\mathcal{K}} \models \mathcal{K}$
 - $\text{eval}_{\mathcal{K}} \models (\forall X, Y : \text{number}) \text{plus}(X, Y) \approx \text{plus}(Y, X),$
 - $\text{eval}_{\mathcal{K}} \models (\forall X : \text{number}) X \not\approx s(X)$
 - ↪ **Exercise**
 - ▷ $\mathcal{K} \not\models (\forall X, Y : \text{number}) \text{plus}(X, Y) \approx \text{plus}(Y, X)$
 - $\mathcal{K} \not\models (\forall X : \text{number}) X \not\approx s(X)$
 - ↪ **Exercise**



Theory of Admissible Programs

- ▶ Let \mathcal{K} be an admissible program
- ▶ We consider $\{G \mid eval_{\mathcal{K}} \models G\}$
- ▶ In other words, we restrict us to one specific interpretation
This interpretation is sometimes called **standard** or **intended** interpretation
- ▶ **Idea** Add formulas to \mathcal{K} such that non-standard interpretations are no longer models of \mathcal{K}
 - ▷ These formulas are called **induction axioms**
 - ▷ Let \mathcal{K}_I be a decidable set of induction axioms such that $eval_{\mathcal{K}} \models \mathcal{K}_I$



Induction – Example

- ▶ Let $\mathcal{K} = \mathcal{K}_{\text{number}} \cup \mathcal{K}_{\text{plus}}$
- ▶ Let \mathcal{K}_I be the set of all formulas of the form

$$(P(0) \wedge (\forall X: \text{number}) (P(X) \rightarrow P(s(X)))) \rightarrow (\forall X: \text{number}) P(X)$$

- ▶ This scheme can be instantiated by, e.g., replacing $P(X)$ by $X \approx s(X)$

$$(0 \approx s(0) \wedge (\forall X: \text{number}) (X \approx s(X) \rightarrow s(X) \approx s(s(X)))) \rightarrow (\forall X: \text{number}) X \approx s(X) \quad (1)$$

- ▶ $eval_{\mathcal{K}} \models (1) \rightsquigarrow$ **Exercise**
- ▶ $\mathcal{K} \cup \{(1)\} \models (\forall X: \text{number}) X \approx s(X) \rightsquigarrow$ **Exercise**
 - ▷ The proof is finite
(in contrast to a proof of $eval_{\mathcal{K}} \models (\forall X: \text{number}) X \approx s(X)$)



Inductive Theorem Proving

- ▶ **Theorem proving by induction is incomplete (Gödel's incompleteness theorem)**
- ▶ **Induction axioms may be computed from inductively defined data structures**
- ▶ **Heuristics may guide selection of**
 - ▷ the induction variable
 - ▷ the induction schema and
 - ▷ the induction axiom
- ▶ **Several theorem provers based on induction are available, e.g.,**
 - ▷ NQTHM
 - ▷ OYSTER-CLAM
 - ▷ INKA

