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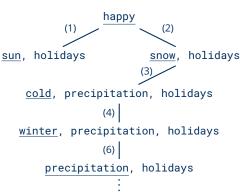
### **Correctness of SLD Resolution**

Lecture 4, 7th Nov 2022 // Foundations of Logic Programming, WS 2022/23

### Previously ...

- A proof theory for (definite) logic programs is given by SLD resolution.
- A query is resolved with a (variant of a) program clause to another query.
- There are choices to be made (renaming of clause, mgu of query atom and clause, selected atom in query, program clause) with consequences.
- The search space can be visualized by (selection rule-induced) **SLD trees**.

```
(1) happy :- sun, holidays.
(2) happy :- snow, holidays.
(3) snow :- cold, precipitation.
(4) cold :- winter.
(5) precipitation :- holidays.
(6) winter.
(7) holidays.
| ?- happy.
```







### **Overview**

Algebras and Interpretations

Soundness of SLD Resolution

Completeness of SLD Resolution





# **Algebras and Interpretations**





# **Algebras (Semantics of Terms)**

#### Definition

Let *V* be a set of variables, *F* be a ranked alphabet of function symbols. An **algebra** *J* for *F* (or *F*-**algebra** or **pre-interpretation** for *F*) consists of:

- 1. A **domain**, a non-empty set *D*;
- 2. the assignment of a mapping  $f_j: D^n \to D$  to every  $f \in F^{(n)}$  with  $n \ge 0$ .

For  $f \in F^{(0)}$ , the constant function  $f_I : D^0 \to D$  maps () to some  $d \in D$ .

#### Definition

A **state**  $\sigma$  over D is a mapping  $\sigma: V \to D$ .

The extension of  $\sigma$  to  $TU_{F,V}$  is the function  $\sigma: TU_{F,V} \to D$  such that for every  $f \in F^{(n)}$ ,

$$\sigma(f(t_1,\ldots,t_n)):=f_J(\sigma(t_1),\ldots,\sigma(t_n))$$

For first-order logic, a state is typically called a variable assignment.





# **Interpretations (Semantics of Programs)**

#### Definition

Let F be a ranked alphabet of function symbols,  $\Pi$  be a ranked alphabet of predicate symbols.

An **interpretation** *I* for *F* and  $\Pi$  consists of :

- 1. An algebra *J* for *F* (with domain *D*);
- 2. the assignment of a relation

$$p_1 \subseteq \underbrace{D \times \cdots \times D}_n$$

to every  $p \in \Pi^{(n)}$  with  $n \ge 0$ .

For  $p \in \Pi^{(0)}$ , we have  $p_l \subseteq \{()\}$ , that is, either  $p_l = \emptyset$  (false) or  $p_l = \{()\}$  (true).

→ Standard definition of first-order logic interpretations.





Consider the addition program,  $P_{add}$ :

$$add(x, 0, x) \leftarrow$$
  
 $add(x, s(y), s(z)) \leftarrow add(x, y, z)$ 

$$I_1$$
:  $D_{I_1} = \mathbb{N}$ ,  $0_{I_1} = 0$ ,  $s_{I_1}(n) = n+1$  f.e.  $n \in \mathbb{N}$ ,  $add_{I_1} = \{(m, n, m+n) \mid m, n \in \mathbb{N}\}$ 





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$$I_5$$
:  $D_{l_5} = HU_{\{s,0\}}$ ,  $O_{l_5} = 0$ ,  $s_{l_5}(t) = s(t)$  f.e.  $t \in HU_{\{s,0\}}$ ,  $add_{l_5} = (HU_{\{s,0\}})^3$ 





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$$I_6$$
:  $D_{I_6} = \{0, 1\}, 0_{I_6} = 0, s_{I_6}(n) = n \text{ f.e. } n \in \{0, 1\}, add_{I_6} = \{(m, n, m) \mid m, n \in \{0, 1\}\}$ 





# **Logical Truth (1)**

### Definition

An **expression** *E* is an atom, a query, a clause, or a resultant.

#### Definition

Let *E* be an expression, *I* be an interpretation,  $\sigma$  be a state.

We say that *E* is **true** in *I* under  $\sigma$  and write  $I \models_{\sigma} E$ 

$$:\iff$$

by case analysis on *E*:

$$I \models_{\sigma} p(t_1,\ldots,t_n) :\iff (\sigma(t_1),\ldots,\sigma(t_n)) \in p_I$$

$$I \models_{\sigma} A_1, \dots, A_n : \iff I \models_{\sigma} A_i \text{ for every } i \in [1, n]$$

$$I \models_{\sigma} A \leftarrow \vec{B}$$
 :  $\iff$  if  $I \models_{\sigma} \vec{B}$  then  $I \models_{\sigma} A$ 

$$I \models_{\sigma} \vec{A} \leftarrow \vec{B}$$
 :  $\iff$  if  $I \models_{\sigma} \vec{B}$  then  $I \models_{\sigma} \vec{A}$ 





# **Logical Truth (2)**

#### Definition

Let *E* be an expression and *I* be an interpretation.

Furthermore, let  $x_1, \ldots, x_k$  be the variables occurring in E.

- $\forall x_1, \dots, \forall x_k E$  is the **universal closure** of *E* (abbreviated  $\forall E$ )
- $\exists x_1, \dots, \exists x_k E$  is the **existential closure** of *E* (abbreviated  $\exists E$ )
- $I \models \forall E :\iff I \models_{\sigma} E$  for every state  $\sigma$
- $I \models \exists E :\iff I \models_{\sigma} E$  for some state  $\sigma$
- *E* is **true in** *I* (or: *I* is a **model of** *E*), written:  $I \models E :\iff I \models \forall E$
- → Standard first-order logic definition of logical truth (for expressions).





# **Logical Truth (III)**

### Definition

Let *S* and *T* be sets of expressions and *I* be an interpretation.

- *I* is a **model of** *S*, written:  $I \models S :\iff I \models E$  for every  $E \in S$
- T is a logical consequence of S, written: S ⊨ T
   : every model of S is a model of T

We sometimes refer to logical consequences as **semantic** consequences to stress their model-theoretic definition.

### Definition

Let *P* be a program,  $Q_0$  be a query, and  $\theta$  be a substitution.

- $\theta|_{Var(Q_0)}$  is a correct answer substitution of  $Q_0 :\iff P \models Q_0\theta$
- $Q_0\theta$  is a **correct instance** of  $Q_0 :\iff P \models Q_0\theta$

→ Model-theoretic counterparts to *computed* answer substitutions/instances.





Consider again  $P_{add}$ :

$$add(x, 0, x) \leftarrow$$
  
 $add(x, s(y), s(z)) \leftarrow add(x, y, z)$ 

- $I_1 \models P_{add}$ , since  $I_1 \models_{\sigma} c$  for every clause  $c \in P_{add}$  and state  $\sigma : V \to \mathbb{N}$ :
  - 1.  $(\sigma(x), \sigma(0), \sigma(x)) \in add_{l_1}$  and
  - 2. if  $(\sigma(x), \sigma(y), \sigma(z)) \in add_{I_1}$  then  $(\sigma(x), \sigma(y) + 1, \sigma(z) + 1) \in add_{I_1}$ .

$$I_1: D_{I_2} = \mathbb{N}, 0_{I_3} = 0, s_{I_3}(n) = n+1 \text{ f.e. } n \in \mathbb{N}, add_{I_3} = \{(m, n, m+n) \mid m, n \in \mathbb{N}\}$$





Consider again  $P_{add}$ :

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- $I_2 \not\models P_{add}$ :

(E.g. let 
$$\sigma(x) = 1$$
, then  $I_2 \not\models_{\sigma} add(x, 0, x)$  since  $(\sigma(x), \sigma(0), \sigma(x)) = (1, 0, 1) \notin add_{I_2}$ .)

$$I_2: D_{I_2} = \mathbb{N}, 0_{I_2} = 0, s_{I_2}(n) = n+1 \text{ f.e. } n \in \mathbb{N}, add_{I_2} = \{(m, n, m*n) \mid m, n \in \mathbb{N}\}$$





Consider again  $P_{add}$ :

$$add(x, 0, x) \leftarrow$$
  
 $add(x, s(y), s(z)) \leftarrow add(x, y, z)$ 

Furthermore, let  $I_1$ ,  $I_2$ ,  $I_3$ ,  $I_4$ ,  $I_5$ , and  $I_6$  be the interpretations from Slide 7.

- $I_1 \models P_{add}$ , since  $I_1 \models_{\sigma} c$  for every clause  $c \in P_{add}$  and state  $\sigma : V \to \mathbb{N}$ :
  - 1.  $(\sigma(x), \sigma(0), \sigma(x)) \in add_{l_1}$  and
  - 2. if  $(\sigma(x), \sigma(y), \sigma(z)) \in add_{I_1}$  then  $(\sigma(x), \sigma(y) + 1, \sigma(z) + 1) \in add_{I_1}$ .
- $I_2 \not\models P_{add}$ :

(E.g. let  $\sigma(x) = 1$ , then  $I_2 \not\models_{\sigma} add(x, 0, x)$  since  $(\sigma(x), \sigma(0), \sigma(x)) = (1, 0, 1) \notin add_{I_2}$ .)

•  $I_3 \models P_{add}$  (like for  $I_1$ ; we call  $I_3$  a (least) Herbrand model)

$$I_3$$
:  $D_{I_3} = HU_{\{s,0\}}$ ,  $O_{I_3} = 0$ ,  $s_{I_3}(t) = s(t)$  f.e.  $t \in HU_{\{s,0\}}$ ,  $add_{I_3} = \{(s^m(0), s^n(0), s^{m+n}(0)) \mid m, n \in \mathbb{N}\}$ 





Consider again  $P_{add}$ :

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- $I_1 \models P_{add}$ , since  $I_1 \models_{\sigma} c$  for every clause  $c \in P_{add}$  and state  $\sigma : V \to \mathbb{N}$ :
  - 1.  $(\sigma(x), \sigma(0), \sigma(x)) \in add_{l_1}$  and
  - 2. if  $(\sigma(x), \sigma(y), \sigma(z)) \in add_{I_1}$  then  $(\sigma(x), \sigma(y) + 1, \sigma(z) + 1) \in add_{I_1}$ .
- $I_2 \not\models P_{add}$ : (E.g. let  $\sigma(x) = 1$ , then  $I_2 \not\models_{\sigma} add(x, 0, x)$  since  $(\sigma(x), \sigma(0), \sigma(x)) = (1, 0, 1) \notin add_{I_2}$ .)
- $I_3 \models P_{add}$  (like for  $I_1$ ; we call  $I_3$  a (least) Herbrand model)
- $I_4 \not\models P_{add}$  (e.g. let  $\sigma(x) = s(0)$ , then  $I_4 \not\models_{\sigma} add(x, 0, x)$  since  $(\sigma(x), \sigma(0), \sigma(x)) = (s(0), 0, s(0)) \notin add_{I_4})$

$$I_4$$
:  $D_{I_4} = HU_{\{s,0\}}$ ,  $O_{I_4} = O$ ,  $s_{I_4}(t) = s(t)$  f.e.  $t \in HU_{\{s,0\}}$ ,  $add_{I_4} = \emptyset$ 





Consider again  $P_{add}$ :

$$add(x, 0, x) \leftarrow$$
  
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- $I_1 \models P_{add}$ , since  $I_1 \models_{\sigma} c$  for every clause  $c \in P_{add}$  and state  $\sigma : V \to \mathbb{N}$ :
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  - 2. if  $(\sigma(x), \sigma(y), \sigma(z)) \in add_{l_1}$  then  $(\sigma(x), \sigma(y) + 1, \sigma(z) + 1) \in add_{l_1}$ .
- $I_2 \not\models P_{add}$ : (E.g. let  $\sigma(x) = 1$ , then  $I_2 \not\models_{\sigma} add(x, 0, x)$  since  $(\sigma(x), \sigma(0), \sigma(x)) = (1, 0, 1) \notin add_{I_2}$ .)
- $I_3 \models P_{add}$  (like for  $I_1$ ; we call  $I_3$  a (least) Herbrand model)
- $I_4 \not\models P_{add}$  (e.g. let  $\sigma(x) = s(0)$ , then  $I_4 \not\models_{\sigma} add(x, 0, x)$  since  $(\sigma(x), \sigma(0), \sigma(x)) = (s(0), 0, s(0)) \notin add_{I_4})$
- $I_5 \models P_{add}$  (like for  $I_1$ ; we call  $I_5$  a Herbrand model)

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Consider again  $P_{add}$ :

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- $I_1 \models P_{add}$ , since  $I_1 \models_{\sigma} c$  for every clause  $c \in P_{add}$  and state  $\sigma : V \to \mathbb{N}$ :
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- $I_2 \not\models P_{add}$ :

(E.g. let 
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- $I_3 \models P_{add}$  (like for  $I_1$ ; we call  $I_3$  a (least) Herbrand model)
- $I_4 \not\models P_{add}$  (e.g. let  $\sigma(x) = s(0)$ , then  $I_4 \not\models_{\sigma} add(x, 0, x)$  since  $(\sigma(x), \sigma(0), \sigma(x)) = (s(0), 0, s(0)) \notin add_{I_4})$
- $I_5 \models P_{add}$  (like for  $I_1$ ; we call  $I_5$  a Herbrand model)
- $I_6 \models P_{add}$  (like for  $I_1$ )

$$I_6: D_{I_6} = \{0, 1\}, 0_{I_6} = 0, s_{I_6}(n) = n \text{ f.e. } n \in \{0, 1\}, add_{I_6} = \{(m, n, m) \mid m, n \in \{0, 1\}\}$$





## **Semantic Consequences (Example)**

Consider again the addition program  $P_{add}$ .

•  $P_{add} \models add(x, 0, x)$ (For every interpretation I: if  $I \models P_{add}$  then  $I \models add(x, 0, x)$ , since  $add(x, 0, x) \in P_{add}$ .)





### **Semantic Consequences (Example)**

Consider again the addition program  $P_{add}$ .

- $P_{add} \models add(x, 0, x)$ (For every interpretation I: if  $I \models P_{add}$  then  $I \models add(x, 0, x)$ , since  $add(x, 0, x) \in P_{add}$ .)
- $P_{add} \models add(x, s(0), s(x))$ (For every interpretation I: if  $I \models P_{add}$  then  $I \models add(x, 0, x)$  and  $I \models add(x, s(0), s(x)) \leftarrow add(x, 0, x)$  (instance of clause), thus  $I \models add(x, s(0), s(x))$ .)



## **Semantic Consequences (Example)**

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- $P_{add} \not\models add(0, x, x)$ (Consider interpretation  $I_6$  from Slide 7 with  $I_6 \models P_{add}$ ;  $I_6 \not\models add(0, x, x)$ , since e.g.  $I_6 \not\models_{\sigma} add(0, x, x)$  for  $\sigma(x) = 1$ , since  $(\sigma(0), \sigma(x), \sigma(x)) = (0, 1, 1) \not\in add_{I_6}$ .)



# **Quiz: Models and Consequences**

### Quiz

Consider the following logic program P where only x is a variable: ...





### **Soundness of SLD Resolution**





### **Towards Soundness of SLD Resolution (1)**

### Lemma 4.3 (i)

Let  $Q \xrightarrow{\theta} Q'$  be an SLD derivation step and  $Q\theta \leftarrow Q'$  the resultant associated with it. Then

$$c \models Q\theta \leftarrow Q'$$

### Proof.

Let  $Q = \vec{A}$ , B,  $\vec{C}$  with selected atom B. Let  $H \leftarrow \vec{B}$  be the input clause and  $Q' = (\vec{A}, \vec{B}, \vec{C})\theta$ . Then:

$$c \models H \leftarrow \vec{B}$$
 (variant of  $c$ )  
implies  $c \models H\theta \leftarrow \vec{B}\theta$  (instance)  
implies  $c \models B\theta \leftarrow \vec{B}\theta$  ( $\theta$  unifier)  
implies  $c \models (\vec{A}, \vec{B}, \vec{C})\theta \leftarrow (\vec{A}, \vec{B}, \vec{C})\theta$  ("context" unchanged)

Intuitively: The resultant is a logical consequence of the program clause.





### **Towards Soundness of SLD Resolution (2)**

### Lemma 4.3 (ii)

Let  $\xi$  be an SLD derivation of  $P \cup \{Q_0\}$ . For  $i \ge 0$ , let  $R_i$  be the resultant of level i of  $\xi$ . Then

$$P \models R_i$$

#### Proof.

Let 
$$\xi = Q_0 \xrightarrow[c_1]{\theta_1} Q_1 \cdots Q_n \xrightarrow[c_{n+1}]{\theta_{n+1}} Q_{n+1} \cdots$$
. We use induction on  $i \ge 0$ :  
 $i = 0$ :  $R_0 = Q_0 \leftarrow Q_0$  is equivalent to true, thus  $P \models R_0$   
 $i = 1$ :  $R_1 = Q_0\theta_1 \leftarrow Q_1$ ; by Lemma 4.3 (i):  $P \models R_1$   
 $i \leadsto i + 1$ : By Lemma 4.3 (i),  $c_{i+1} \models Q_i\theta_{i+1} \leftarrow Q_{i+1}$ , thus  $P \models Q_i\theta_{i+1} \leftarrow Q_{i+1}$ .  
By (IH),  $P \models R_i$ , that is,  $P \models Q_0\theta_1 \cdots \theta_i \leftarrow Q_i$  and in particular  $P \models Q_0\theta_1 \cdots \theta_i\theta_{i+1} \leftarrow Q_i\theta_{i+1}$ . In combination,  $P \models Q_0\theta_1 \cdots \theta_i\theta_{i+1} \leftarrow Q_{i+1}$ , that is,  $P \models R_{i+1}$ .





### **Soundness of SLD Resolution**

#### Theorem 4.4

If there exists a successful SLD derivation of  $P \cup \{Q_0\}$  with cas  $\theta$ , then

$$P \models Q_0\theta$$

Proof.

Let 
$$\xi = Q_0 \xrightarrow{\theta_1} \cdots \xrightarrow{\theta_n} \square$$
 be a successful SLD derivation.

Lemma 4.3 (ii) applied to the resultant of level n of  $\xi$  implies  $P \models Q_0\theta_1 \cdots \theta_n$  and  $Q_0\theta_1 \cdots \theta_n = Q_0(\theta_1 \cdots \theta_n \mid var(Q_0)) = Q_0\theta$ .



## **Comparison to Intuitive Meaning of Queries**

### Corollary 4.5

If there exists a successful SLD derivation of  $P \cup \{Q_0\}$ , then  $P \models \exists Q_0$ .

### Proof.

Theorem 4.4 implies  $P \models Q_0\theta$  for some cas  $\theta$ . Then,

$$P \models Q_0\theta$$

implies for every interpretation *I*: if  $I \models P$ , then  $I \models Q_0 \theta$ 

implies for every interpretation *I*: if  $I \models P$ , then  $I \models \forall (Q_0 \theta)$ 

implies for every interpretation *I*: if  $I \models P$ , then  $I \models \exists Q_0$ 

implies  $P \models \exists Q_0$ 







# **Completeness of SLD Resolution**





## **Towards Completeness of SLD Resolution**

To show completeness of SLD resolution we need to syntactically characterize the set of semantically derivable queries.

The concepts of term models and implication trees serve this purpose.

#### Definition

Let *E* be an expression and *S* be a set of expressions.

- inst(E) : ⇒ set of all instances of E
- $inst(S) :\iff$  set of all instances of elements  $E \in S$
- ground(E) : ⇒ set of all ground instances of E
- $ground(S) :\iff$  set of all ground instances of elements  $E \in S$





### **Term Models**

#### Definition

Let V be a set of variables, F function symbols,  $\Pi$  predicate symbols.

The **term algebra** *J* for *F* is defined as follows:

- 1. domain  $D = TU_{F,V}$ ,
- 2. mapping  $f_j: (TU_{F,V})^n \to TU_{F,V}$  assigned to every  $f \in F^{(n)}$  with  $f_j(t_1, \ldots, t_n) := f(t_1, \ldots, t_n)$

#### Definition

A **term interpretation** *I* for *F* and  $\Pi$  consists of:

- 1. term algebra for *F*,
- 2.  $I \subseteq TB_{\Pi,F,V}$  (set of atoms that are true; equivalently: assignment of a relation  $p_I \subseteq (TU_{F,V})^n$  to every  $p \in \Pi^{(n)}$ ).

/ is a **term model** of a set S of expressions : ⇒ / term interpretation and model of S.





### **Herbrand Models**

#### Definition

The **Herbrand algebra** *J* for *F* is defined as follows:

- 1. domain  $D = HU_F$
- 2. mapping  $f_J: (HU_F)^n \to HU_F$  assigned to every  $f \in F^{(n)}$  with  $f_J(t_1, \ldots, t_n) := f(t_1, \ldots, t_n)$

#### Definition

A **Herbrand interpretation** *I* for *F* and  $\Pi$  consists of:

- 1. Herbrand algebra for *F*,
- 2.  $I \subseteq HB_{\Pi,F}$  (set of ground atoms that are true).

*I* is a **Herbrand model** of a set *S* of expressions

:⇔ / Herbrand interpretation and model of S





## **Implication Trees**

### Definition

Timplication tree w.r.t. program P



- tree T is finite
- nodes are atoms
- if A is a node with the direct descendants  $B_1, \ldots, B_n$  then  $A \leftarrow B_1, \ldots, B_n \in inst(P)$
- if A is a leaf, then  $A \leftarrow \in inst(P)$

T ground implication tree w.r.t. program P

 $:\iff \mathfrak{T}$  implication tree w.r.t. *P* and all nodes are ground atoms





# **Implication Trees (Example)**

Let  $P_{add}$  be the addition program,  $n \in \mathbb{N}$ , V set of variables,  $t \in TU_{\{s,0\},V}$ . Consider the tree  $\mathfrak{T}$  given by

$$add(t, s^{n}(0), s^{n}(t))$$
|
 $add(t, s^{n-1}(0), s^{n-1}(t))$ 
|
 $add(t, s(0), s(t))$ 
|
 $add(t, 0, t)$ 

 $\mathfrak{T}$  is an implication tree w.r.t.  $P_{add}$ . If additionally  $t \in HU_{\{s,0\}}$ , then  $\mathfrak{T}$  is a ground implication tree w.r.t.  $P_{add}$ .





# **Implication Trees Constitute Term Models**

#### Lemma 4.7

Consider term interpretation *I*, atom *A*, program *P*.

- $I \models A \text{ iff } inst(A) \subseteq I$
- $I \models P$  iff for every  $A \leftarrow B_1, \ldots, B_n \in inst(P)$ ,

$$\{B_1,\ldots,B_n\}\subseteq I \text{ implies } A\in I$$

#### Lemma 4.12

The term interpretation

$$\mathbb{C}(P) := \{ A \mid A \text{ is the root of some implication tree w.r.t. } P \}$$

is a model of P.





# **Ground Implication Trees Constitute Herbrand Models**

#### Lemma 4.26

Consider Herbrand interpretation I, atom A, program P.

- $I \models A \text{ iff } ground(A) \subseteq I$
- $I \models P$  iff for every  $A \leftarrow B_1, \ldots, B_n \in ground(P)$ ,

$$\{B_1,\ldots,B_n\}\subseteq I \text{ implies } A\in I$$

#### Lemma 4.28

The Herbrand interpretation

 $\mathcal{M}(P) := \{ A \mid A \text{ is the root of some ground implication tree w.r.t. } P \}$ 

is a model of P.





# **Constituted Models (Example)**

Consider again the addition program  $P_{add}$  the a set V of variables. The term interpretation

$$\mathcal{C}(P_{add}) = \left\{ add(t, s^{n}(0), s^{n}(t)) \mid n \in \mathbb{N}, t \in TU_{\{s,0\},V} \right\} \\
= \left\{ add(s^{m}(v), s^{n}(0), s^{n+m}(v)) \mid m, n \in \mathbb{N}, v \in V \cup \{0\} \right\}$$

and the Herbrand interpretation

$$\mathcal{M}(P_{add}) = \left\{ add(t, s^{n}(0), s^{n}(t)) \mid n \in \mathbb{N}, t \in HU_{\{s,0\}} \right\}$$

$$= \left\{ add(s^{m}(0), s^{n}(0), s^{n+m}(0)) \mid m, n \in \mathbb{N} \right\}$$

are models of  $P_{add}$ .





# **Correct vs. Computed Answer Substitutions**

Consider Padd

$$add(x, 0, x) \leftarrow$$
  
 $add(x, s(y), s(z)) \leftarrow add(x, y, z)$ 

along with the query Q = add(u, s(0), s(u)).

- $\theta = \{u/s^2(v)\}\$  is a correct answer substitution of Q, since  $P_{add} \models Q\theta = add(s^2(v), s(0), s^3(v))$  (in analogy to Slide 22 with  $x = s^2(v)$ )
- SLD derivation of  $P_{add} \cup \{Q\}$ :  $add(u, s(0), s(u)) \xrightarrow{\theta_1} add(u, 0, u) \xrightarrow{\theta_2} \square$  with  $\theta_1 = \{x/u, y/0, z/u\}$  and  $\theta_2 = \{x/u\}$ , thus  $\eta = (\theta_1\theta_2)|_{\{u\}} = \varepsilon$  is a computed answer substitution of Q.
- We observe that  $\eta$  is strictly more general than  $\theta$ .
- In fact, no SLD derivation of  $P_{add} \cup \{Q\}$  can deliver  $\theta$ .





#### Definition

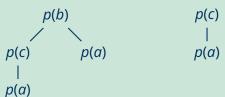
Query Q is n-deep



every atom in *Q* is the root of a implication tree, and *n* is the total number of nodes in these trees.

### Example

Consider  $P = \{p(a) \leftarrow, p(c) \leftarrow p(a), p(b) \leftarrow p(c), p(a)\}$ . Then the query Q = p(b), p(c) is 6-deep, as witnessed by these implication trees:







#### Lemma 4.15

Suppose that query  $Q\theta$  is n-deep for some  $n \ge 0$ , where  $\theta$  is a correct answer substitution of Q.

Then for every selection rule  $\Re$ , there exists a successful SLD derivation of  $P \cup \{Q\}$  with cas  $\eta$  such that  $Q\eta$  is more general than  $Q\theta$ .

### Example

Consider  $P = \{p(a) \leftarrow, p(c) \leftarrow p(a), p(b) \leftarrow p(c), p(a)\}$  and implication trees





#### Lemma 4.15

Suppose that query  $Q\theta$  is n-deep for some  $n \ge 0$ , where  $\theta$  is a correct answer substitution of Q.

Then for every selection rule  $\Re$ , there exists a successful SLD derivation of  $P \cup \{Q\}$  with cas  $\eta$  such that  $Q\eta$  is more general than  $Q\theta$ .

### Example

Consider 
$$P = \{p(a) \leftarrow, p(c) \leftarrow p(a), p(b) \leftarrow p(c), p(a)\}$$
 and implication trees





#### Lemma 4.15

Suppose that query  $Q\theta$  is n-deep for some  $n \ge 0$ , where  $\theta$  is a correct answer substitution of Q.

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### Example

Consider 
$$P = \{p(a) \leftarrow, p(c) \leftarrow p(a), p(b) \leftarrow p(c), p(a)\}$$
 and implication trees



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Suppose that query  $Q\theta$  is n-deep for some  $n \ge 0$ , where  $\theta$  is a correct answer substitution of Q.

Then for every selection rule  $\Re$ , there exists a successful SLD derivation of  $P \cup \{Q\}$  with cas  $\eta$  such that  $Q\eta$  is more general than  $Q\theta$ .

### Example

Consider 
$$P = \{p(a) \leftarrow, p(c) \leftarrow p(a), p(b) \leftarrow p(c), p(a)\}$$
 and implication trees

p(a)





#### Lemma 4.15

Suppose that query  $Q\theta$  is n-deep for some  $n \ge 0$ , where  $\theta$  is a correct answer substitution of Q.

Then for every selection rule  $\Re$ , there exists a successful SLD derivation of  $P \cup \{Q\}$  with cas  $\eta$  such that  $Q\eta$  is more general than  $Q\theta$ .

### Example

Consider 
$$P = \{p(a) \leftarrow, p(c) \leftarrow p(a), p(b) \leftarrow p(c), p(a)\}$$
 and implication trees



#### Lemma 4.15

Suppose that query  $Q\theta$  is n-deep for some  $n \ge 0$ , where  $\theta$  is a correct answer substitution of Q.

Then for every selection rule  $\Re$ , there exists a successful SLD derivation of  $P \cup \{Q\}$  with cas  $\eta$  such that  $Q\eta$  is more general than  $Q\theta$ .

### Example

Consider  $P = \{p(a) \leftarrow, p(c) \leftarrow p(a), p(b) \leftarrow p(c), p(a)\}$  and implication trees





#### Lemma 4.15

Suppose that query  $Q\theta$  is n-deep for some  $n \ge 0$ , where  $\theta$  is a correct answer substitution of Q.

Then for every selection rule  $\Re$ , there exists a successful SLD derivation of  $P \cup \{Q\}$  with cas  $\eta$  such that  $Q\eta$  is more general than  $Q\theta$ .

### Example

Consider  $P = \{p(a) \leftarrow, p(c) \leftarrow p(a), p(b) \leftarrow p(c), p(a)\}$  and implication trees

p(a)





#### Lemma 4.15

Suppose that query  $Q\theta$  is n-deep for some  $n \ge 0$ , where  $\theta$  is a correct answer substitution of Q.

Then for every selection rule  $\Re$ , there exists a successful SLD derivation of  $P \cup \{Q\}$  with cas  $\eta$  such that  $Q\eta$  is more general than  $Q\theta$ .

### Example

Consider  $P = \{p(a) \leftarrow, p(c) \leftarrow p(a), p(b) \leftarrow p(c), p(a)\}$  and implication trees



# **Completeness of SLD Resolution (1)**

#### Theorem 4.13

Suppose that  $\theta$  is a correct answer substitution of Q.

Then for every selection rule  $\Re$ , there exists a successful SLD derivation of  $P \cup \{Q\}$  with cas  $\eta$  such that  $Q\eta$  is more general than  $Q\theta$ .

```
Proof.
```

```
Let Q = A_1, \ldots, A_m. Then:
	\theta correct answer substitution of A_1, \ldots, A_m
	implies P \models A_1\theta, \ldots, A_m\theta
	implies for every interpretation I: if I \models P, then I \models A_1\theta, \ldots, A_m\theta
	implies \mathcal{C}(P) \models A_1\theta, \ldots, A_m\theta (since \mathcal{C}(P) \models P by Lemma 4.12)
	implies inst(A_i\theta) \subseteq \mathcal{C}(P) for every i \in [1, m] (by Lemma 4.7)
	implies A_1\theta, \ldots, A_m\theta is n-deep for some n \geq 0 (by def. of \mathcal{C}(P))
	implies claim (by Lemma 4.15)
```





# **Completeness of SLD Resolution (2)**

### Corollary 4.16

Suppose  $P \models \exists Q$ .

Then there exists a successful SLD derivation of  $P \cup \{Q\}$ .

#### Proof.

$$P \models \exists Q$$

implies  $P \models Q\theta$  for some substitution  $\theta$ 

implies  $\theta$  correct answer substitution of Q

implies claim (by Theorem 4.13)





## **Conclusion**

### Summary

- The semantics of (definite) logic programs is given by a standard first-order model theory.
- SLD resolution is **sound**: For every successful SLD derivation of  $P \cup \{Q_0\}$  with *computed* answer substitution  $\theta$ , we have  $P \models Q_0\theta$ .
- SLD resolution is **complete**: If  $\theta$  is a *correct* answer substitution of Q, then
  - for every selection rule
  - − there exists a successful SLD derivation of  $P \cup \{Q\}$  with cas η
  - such that  $Q\eta$  is more general than  $Q\theta$ .

### Suggested action points:

- Compare implication trees to SLD trees
- Clarify the distinction between computed and correct answer substitutions



