



Hannes Strass

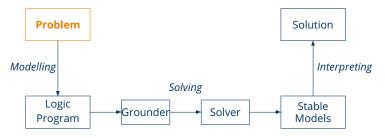
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Faculty of Computer Science, Institute of Artificial Intelligence, Computational Logic Group

## **ASP: Computation and Characterisation**

Lecture 12, 23rd Jan 2023 // Foundations of Logic Programming, WS 2022/23

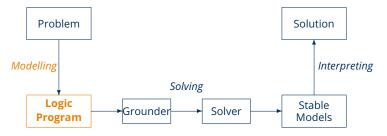
- The language of normal logic programs can be extended by constructs:
  - Integrity constraints for eliminating unwanted solution candidates
  - Choice rules for choosing subsets of atoms
  - Cardinality rules for counting certain present/absent atoms
- All of them can be translated back into normal logic program rules.
- The modelling methodology of ASP is generate and test:
  - Generate solution candidates, eliminate infeasible ones.







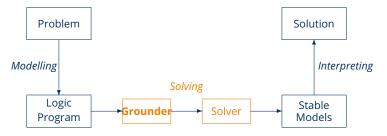
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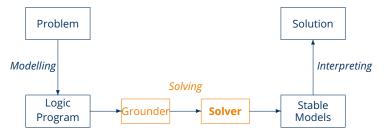
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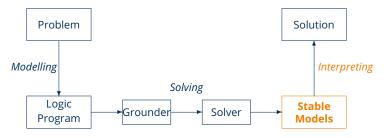
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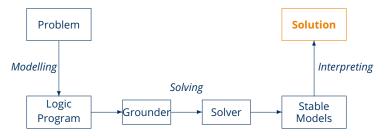
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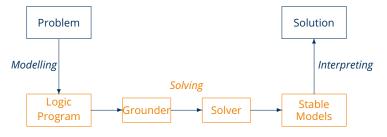
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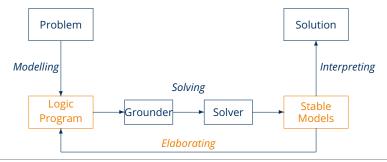
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### **Overview**

Computation
Consequence Operator
Computation from First Principles

Axiomatic Characterisation Completion Tightness Loops and Loop Formulas





# Computation





## **Consequence Operator**

#### Definition

Let *P* be a positive program and *X* a set of atoms.

The **consequence operator**  $T_P$  is defined as follows:

$$T_P(X) = \{head(r) \mid r \in P \text{ and } body(r) \subseteq X\}$$





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Iterated applications of  $T_P$  are written as  $T_P^j$  for  $j \ge 0$ , where

- $T_P^0(X) = X$  and
- $T_P^i(X) = T_P(T_P^{i-1}(X))$  for  $i \ge 1$



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- $T_{P}^{0}(X) = X$  and
- $T_P^i(X) = T_P(T_P^{i-1}(X))$  for  $i \ge 1$

For any positive program *P*, we have

- $Cn(P) = \bigcup_{i \geq 0} T_P^i(\emptyset)$
- $X \subseteq Y$  implies  $T_P(X) \subseteq T_P(Y)$
- Cn(P) is the  $\subseteq$ -least fixpoint of  $T_P$





Consider the program

$$P = \{p \leftarrow, q \leftarrow, r \leftarrow p, s \leftarrow q, t, t \leftarrow r, u \leftarrow v\}$$



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$$\begin{array}{llll} T_{P}^{0}(\emptyset) & = & \emptyset \\ T_{P}^{1}(\emptyset) & = & \{p,q\} & = & T_{P}(T_{P}^{0}(\emptyset)) & = & T_{P}(\emptyset) \\ T_{P}^{2}(\emptyset) & = & \{p,q,r\} & = & T_{P}(T_{P}^{1}(\emptyset)) & = & T_{P}(\{p,q\}) \\ T_{P}^{3}(\emptyset) & = & \{p,q,r,t\} & = & T_{P}(T_{P}^{2}(\emptyset)) & = & T_{P}(\{p,q,r\}) \\ T_{P}^{4}(\emptyset) & = & \{p,q,r,t,s\} & = & T_{P}(T_{P}^{3}(\emptyset)) & = & T_{P}(\{p,q,r,t\}) \\ T_{P}^{5}(\emptyset) & = & \{p,q,r,t,s\} & = & T_{P}(T_{P}^{4}(\emptyset)) & = & T_{P}(\{p,q,r,t,s\}) \\ T_{P}^{6}(\emptyset) & = & \{p,q,r,t,s\} & = & T_{P}(T_{P}^{5}(\emptyset)) & = & T_{P}(\{p,q,r,t,s\}) \end{array}$$





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- $Cn(P) = \{p, q, r, t, s\}$  is the  $\subseteq$ -least fixpoint of  $T_P$  because
  - $T_P(\{p,q,r,t,s\}) = \{p,q,r,t,s\}$  and
  - $T_P(X)$  ≠ X for each  $X \subset \{p, q, r, t, s\}$





#### First Idea

Approximate a stable model *X* by two atom sets *L* and *U* such that  $L \subseteq X \subseteq U$ 

- L and U constitute lower and upper bounds on X
- *L* and (*A* \ *U*) describe a three-valued model of the program





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#### Observation

 $L \subseteq U$  implies  $P^U \subseteq P^L$  implies  $Cn(P^U) \subseteq Cn(P^L)$ 





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### **Properties**

- If  $L \subseteq X$ , then  $X \subseteq Cn(P^L)$
- If  $X \subseteq U$ , then  $Cn(P^U) \subseteq X$
- If  $L \subseteq X \subseteq U$ , then  $L \cup Cn(P^U) \subseteq X \subseteq U \cap Cn(P^L)$





#### Second Idea

```
repeat

replace L by L \cup Cn(P^U)

replace U by U \cap Cn(P^L)

until L and U do not change anymore
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- At each iteration step
  - L becomes larger (or equal)
  - *U* becomes smaller (or equal)
- $L \subseteq X \subseteq U$  is invariant for every stable model X of P





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- $L \subseteq X \subseteq U$  is invariant for every stable model X of P
- If  $L \nsubseteq U$ , then P has no stable model
- If L = U, then L is a stable model of P





# The Simplistic expand Algorithm

```
 \begin{array}{l} \textbf{repeat} \\ L' \leftarrow L \\ U' \leftarrow U \\ L \leftarrow L' \cup Cn(P^{U'}) \\ U \leftarrow U' \cap Cn(P^{L'}) \\ \textbf{if } L \nsubseteq U \textbf{ then return} \\ \textbf{until } L = L' \textbf{ and } U = U' \\ \end{array}
```

### The algorithm:

- tightens the approximation on stable models
- is stable model preserving





Consider 
$$P = \left\{ \begin{array}{l} a \leftarrow \\ b \leftarrow a, \sim c \\ d \leftarrow b, \sim e \\ e \leftarrow \sim d \end{array} \right\}$$
 over atoms  $A = \{a, b, c, d, e\}$ .



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The **expand** algorithm – started on the trivial pair  $(\emptyset, A)$  – yields:

	L'	$Cn(P^{U'})$	L	U'	$Cn(P^{L'})$	U
1	Ø	{a}	{ <i>a</i> }	$\{a, b, c, d, e\}$	$\{a, b, d, e\}$	$\{a,b,d,e\}$
2	{ <i>a</i> }	{a,b}	{a,b}	$\{a, b, d, e\}$	$\{a, b, d, e\}$	$\{a, b, d, e\}$
3	{a, b}	{a,b}	{a, b}	$\{a, b, d, e\}$	$\{a, b, d, e\}$	$\{a, b, d, e\}$





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2	{ <i>a</i> }	{ <i>a</i> , <i>b</i> }	{a,b}	$\{a, b, d, e\}$	$\{a, b, d, e\}$	$\{a, b, d, e\}$
3	{a, b}	$\{a,b\}$	{ <i>a</i> , <i>b</i> }	$\{a, b, d, e\}$	$\{a, b, d, e\}$	$\{a,b,d,e\}$

#### Note

We have  $\{a,b\} \subseteq X$  and  $(A \setminus \{a,b,d,e\}) \cap X = (\{c\} \cap X) = \emptyset$  for every stable model X of P.





## Let us expand with $d \dots$

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2	{a, d}	$\{a, b, d\}$	$\{a, b, d\}$	$\{a, b, d\}$	$\{a, b, d\}$	$\{a, b, d\}$
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### Note

 $\{a, b, d\}$  is a stable model of P.





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2	{a, e}	{a,b,e}	$\{a,b,e\}$	$\{a, b, e\}$	$\{a, b, e\}$	$\{a,b,e\}$
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```
solve_P(L, U)
(L, U) \leftarrow expand_P(L, U) \qquad // propagation
if L \nsubseteq U then failure \qquad // failure
if L = U then output L \qquad // success
else choose a \in U \setminus L \qquad // choice
solve_P(L \cup \{a\}, U)
solve_P(L, U \setminus \{a\})
```









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- A node in the search tree corresponds to a three-valued interpretation





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  - making one choice at a time by appeal to a heuristic (choose)





- Backtracking search building a binary search tree
- A node in the search tree corresponds to a three-valued interpretation
- The search space is pruned by
  - deriving deterministic consequences and detecting conflicts (expand)
  - making one choice at a time by appeal to a heuristic (choose)
- Heuristic choices are made on atoms





## **Quiz: Solving**

### Quiz

. . .





## **Axiomatic Characterisation**





- There exist sophisticated algorithms and efficient implementations for SATisfiability testing in propositional logic
- Can we harness these systems for answer set programming?

### Question

Is there a propositional formula/theory F(P) such that the models of F(P) correspond one-to-one to the stable models of P?





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Is there a propositional formula/theory F(P) such that the models of F(P) correspond one-to-one to the stable models of P?

### Recall

• For every normal program *P*, there is a propositional theory *comp*(*P*) such that its models correspond one-to-one to the supported models of *P*.





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### Question

Is there a propositional formula/theory F(P) such that the models of F(P) correspond one-to-one to the stable models of P?

### Recall

- For every normal program *P*, there is a propositional theory *comp(P)* such that its models correspond one-to-one to the supported models of *P*.
- Every stable model is a supported model, but not vice versa.





- There exist sophisticated algorithms and efficient implementations for SATisfiability testing in propositional logic
- Can we harness these systems for answer set programming?

### Question

Is there a propositional formula/theory F(P) such that the models of F(P) correspond one-to-one to the stable models of P?

### Recall

- For every normal program *P*, there is a propositional theory *comp*(*P*) such that its models correspond one-to-one to the supported models of *P*.
- Every stable model is a supported model, but not vice versa.

 $\sim$  Can we add a second theory T(P) such that the models of  $comp(P) \cup T(P)$  correspond one-to-one to the stable models of P?





## **Program Completion: A Closer Look**

The theory comp(P) is logically equivalent to  $\overrightarrow{comp}(P) \cup \overrightarrow{comp}(P)$ , where

$$\overleftarrow{comp}(P) = \left\{ a \leftarrow \bigvee_{B \in body_P(a)} BF(B) \mid a \in atom(P) \right\}$$

$$\overrightarrow{comp}(P) = \left\{ a \rightarrow \bigvee_{B \in body_P(a)} BF(B) \mid a \in atom(P) \right\}$$

$$body_P(a) = \left\{ body(r) \mid r \in P \text{ and } head(r) = a \right\}$$

$$BF(body(r)) = \bigwedge_{a \in body(r)^+} a \land \bigwedge_{a \in body(r)^-} \neg a$$

- comp(P) characterises the classical models of P.
- $\overrightarrow{comp}(P)$  characterises that all true atoms must be supported.
- — How to axiomatise that all true atoms must be well-supported?





$$P = \left\{ \begin{array}{ll} a \leftarrow & c \leftarrow a, \sim d & e \leftarrow b, \sim f \\ b \leftarrow \sim a & d \leftarrow \sim c, \sim e & e \leftarrow e \end{array} \right\}$$



### Example

$$P = \left\{ \begin{array}{ll} a \leftarrow & c \leftarrow a, \sim d & e \leftarrow b, \sim f \\ b \leftarrow \sim a & d \leftarrow \sim c, \sim e & e \leftarrow e \end{array} \right\}$$

• *P* has 21 models, including  $\{a, c\}$ ,  $\{a, d\}$ , but also  $\{a, b, c, d, e, f\}$ .





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- The model  $\{a, c, e\}$  is not well-supported (stable) because e supports itself.





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### Observation

Atoms in a strictly positive cycle (not being "supported from outside the cycle") cannot be "derived" from a program in a finite number of steps.





### **Definition**

The **positive atom dependency graph** G(P) of a logic program P is given by  $(atom(P), \{(a,b) \mid r \in P, a \in body(r)^+, head(r) = b\})$ 

A logic program *P* is called **tight** : $\iff$  G(P) is acyclic.

### Example

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$$P = \left\{ \begin{array}{ll} a \leftarrow & c \leftarrow a, \sim d & e \leftarrow b, \sim f \\ b \leftarrow \sim a & d \leftarrow \sim c, \sim e & e \leftarrow e \end{array} \right\}$$

### Theorem (Fages)





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  $d$ 

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- P has supported models: {a, c}, {a, d}, and {a, c, e}
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### Theorem (Fages)

### Question

Is there a propositional formula F(P) such that the models of F(P) correspond to the stable models of P?

### Observation

Starting from the completion of a program, the problem boils down to eliminating the circular support of atoms holding in the supported models.

### Idea

Add formulas prohibiting circular support of sets of atoms.

Circular support between atoms a and b is possible if a has a path to b and b has a path to a in the program's positive atom dependency graph.





## Loops

### Definition

Let *P* be a normal logic program with positive atom dependency graph G(P) = (atom(P), E).

That is, each pair of atoms in a loop L is connected by a path of non-zero length in  $(L, E \cap (L \times L))$ .

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A program *P* is tight iff  $loops(P) = \emptyset$ .





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 it induces a non-trivial strongly connected subgraph of G(P).

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- A non-empty set L ⊆ atom(P) is a loop of P
   it induces a non-trivial strongly connected subgraph of G(P).
- We denote the set of all loops of *P* by *loops(P)*.

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A program *P* is tight iff  $loops(P) = \emptyset$ .





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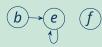
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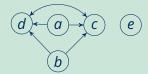
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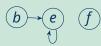


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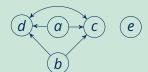
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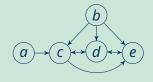


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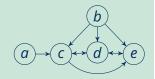




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Let *P* be a normal logic program.

• For  $L \subseteq atom(P)$ , define the **external supports** of L for P as

$$ES_P(L) := \{r \in P \mid head(r) \in L \text{ and } body(r)^+ \cap L = \emptyset\}$$





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- The (disjunctive) loop formula of L for P is

$$LF_{P}(L) := \left(\bigvee_{\alpha \in L} \alpha\right) \to \left(\bigvee_{B \in EB_{P}(L)} BF(B)\right) \equiv \left(\bigwedge_{B \in EB_{P}(L)} \neg BF(B)\right) \to \left(\bigwedge_{\alpha \in L} \neg \alpha\right)$$





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• Define  $LF(P) := \{LF_P(L) \mid L \in loops(P)\}.$ 





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- $loops(P) = \{\{e\}\}$
- $LF(P) = \{e \rightarrow b \land \neg f\}$

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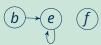


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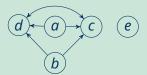
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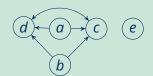
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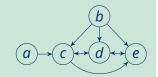




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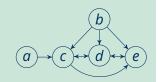




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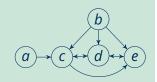




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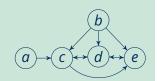
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# **Lin-Zhao Theorem and Properties**

Theorem (Lin and Zhao, 2004)

Let *P* be a normal logic program and  $X \subseteq atom(P)$ . Then:

*X* is a stable model of *P* iff  $X \models comp(P) \cup LF(P)$ .





# **Lin-Zhao Theorem and Properties**

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#### Properties of Loop Formulas

Let X be a supported model of normal LP P. Then, X is a stable model of P iff

- $X \models \{LF_P(U) \mid U \subseteq atom(P)\};$
- $X \models \{LF_P(U) \mid U \subseteq X\};$
- $X \models \{LF_P(L) \mid L \in loops(P)\}$ , that is,  $X \models LF(P)$ ;
- $X \models \{LF_P(L) \mid L \in loops(P) \text{ and } L \subseteq X\}.$
- If supported X is not stable for P, there is a loop  $L \subseteq X \setminus Cn(P^X)$  with  $X \not\models LF_P(L)$ .
- There might be exponentially many loop formulas.
- Blowup seems to be unavoidable in general [Lifschitz and Razborov, 2006].





### **Conclusion**

### Summary

• The stable models of P can be approximated using the operator  $T_P$ :

$$(L, U) \leadsto (L \cup \bigcup_{i \geq 0} T^i_{P^U}(\emptyset), U \cap \bigcup_{i \geq 0} T^i_{P^L}(\emptyset))$$

- Solving may use non-deterministic choice, propagation, and backtracking.
- Supported non-stable models are caused by loops in the program.
- A **loop** is a non-empty set of atoms that mutually depend on each other.
- The **loop formulas** *LF(P)* of *P* enforce that every support is well-founded.
- The stable models of *P* can be characterised by  $comp(P) \cup LF(P)$ .

#### Suggested action points:

- Prove the properties on Slide 7.
- Try the algorithm on Slide 13 for some example programs.





### **Course Summary**

- LPs are a declarative language for knowledge representation and reasoning.
- PROLOG-based logic programming focuses on theorem proving.
- PROLOG is also a programming language (via non-logical side effects).
- For definite LPs, SLD resolution is a sound and complete proof theory.
- For normal LPs, SLDNF resolution is sound and (sometimes) complete.
- Stable models are recognised as the "standard" semantics for normal LPs.
- ASP-based logic programming focuses on model generation.
- ASP is a modelling language for problem solving.
- Its modelling methodology is based on the generate-and-test paradigm.
- ASP solvers can make use of technology from propositional satisfiability.



