

Finite and Algorithmic Model Theory

Lecture 1 (Dresden 12.10.22, Short version (corrected 20.10.22))

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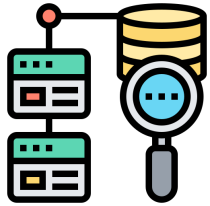
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Today's agenda

1. Basic information regarding the course.
2. An informal definition of a **logic** with **examples**.
3. Potential **applications** and **further research options**.

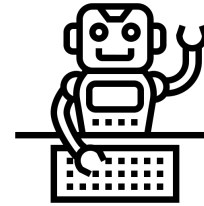
Query languages?



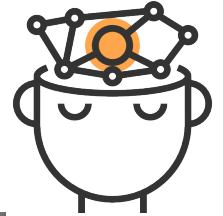
Formal verification?



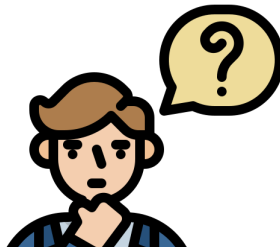
Formal languages?



Complexity?



4. **Recap** from BSc studies: **Syntax & Semantics** of First-Order Logic (FO).
5. Basic notations, provability, and **Gödel's theorem** " \models equals \vdash ".
6. Gödel's **Compactness** theorem with a **proof** and an **application**.



Feel free to ask questions and interrupt me!

Don't be shy! If needed send me an email (bartosz.bednarczyk@cs.uni.wroc.pl) or approach me after the lecture!

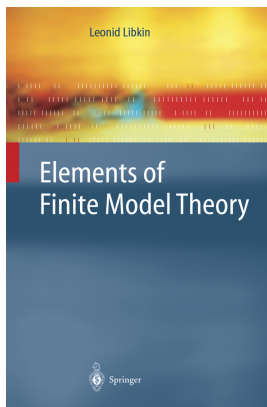
Reminder: this is an advanced lecture. Target: people that had fun learning logic during BSc studies!

Course Information

[https://iccl.inf.tu-dresden.de/web/Finite_and_algorithmic_model_theory_\(22/23\)_\(WS2022\)/en](https://iccl.inf.tu-dresden.de/web/Finite_and_algorithmic_model_theory_(22/23)_(WS2022)/en)

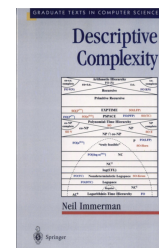
Contact me via email: bartosz.bednarczyk@cs.uni.wroc.pl

1. Lectures: **Wednesday 14:50-16:20** (APB/E007), Tutorials: **Thursday 13:00-14:30** (APB/2026) (important)
2. Course website: (at [ICCL]) ← check for **slides**, **notes**, and **exercise lists**.
3. **Each week** a **new exercise list** will be published. Do not worry if you can't solve all of them.
4. **Oral exam**: question about the basic understanding + selected theorems. Intended to be easy!
5. Goal: **understand** power/limitations of 1st-order logic and selected fragments (with a bit of complexity).



Books and literature.

+ Lecture notes by Martin Otto [HERE] and lecture notes by Erich Grädel [HERE]

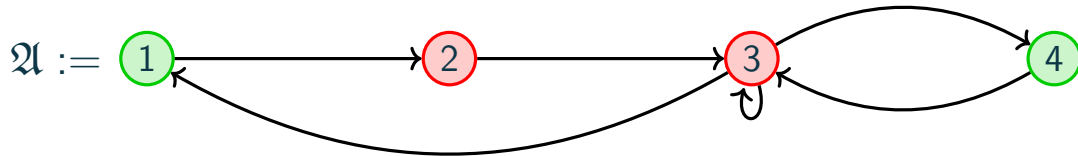


Last but Not Least: I offer MSc/PHD research projects for motivated students!

What is a “logic”? A running example.

Naively: a “formal language” for expressing properties of relational structures (\approx hypergraphs).

Made formal via abstract model theory, c.f. article at ncatlab.org and Lindström’s theorems.



over a signature $\tau := \{G^{(1)}, R^{(1)}, E^{(2)}\}$

$G^{\mathfrak{A}} := \{1, 4\}, \quad R^{\mathfrak{A}} := \{2, 3\}$

$E^{\mathfrak{A}} := \{(1, 2), (2, 3), (3, 1), (3, 3), (3, 4), (4, 3)\}$

A signature contains (at most countably* many) constant and relation symbols (each with a fixed arity).

Structure = Domain + interpretation of symbols, e.g. $\mathfrak{A} := (A, \cdot^{\mathfrak{A}})$ depicted above,

where $A = \{1, 2, 3, 4\}$ and $\cdot^{\mathfrak{A}}(G), \cdot^{\mathfrak{A}}(R), \cdot^{\mathfrak{A}}(E)$ are as above.

Example (of a First-Order Logic (FO) Formula), constants \approx elements, unary (FO) relations \approx colours, binary (resp. higher-arity) relations \approx (hyper)edges

(in a coloured graph:) Any node is either green or red.

We write $\mathfrak{A} \models \varphi$ to indicate that

$$\varphi := \forall x (G(x) \vee R(x)) \wedge (G(x) \leftrightarrow \neg R(x))$$

\mathfrak{A} satisfies φ or \mathfrak{A} is a model of φ .

Formulae often employ: Variables: x, y, z, X, Y, \dots Boolean connectives: $\wedge, \vee, \neg, \leftrightarrow, \bigvee_{i=0}^{\infty}, \dots$

Quantifiers: $\forall, \exists, \exists^{even}, \exists^{=42}, \exists^{35\%}, \exists_{Set}, \diamond$, Predicates (relational symbols): $P, \in, =, \sim$, and more?

More examples I.

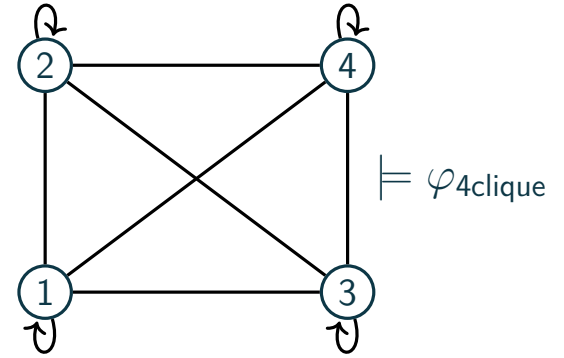
Exercise (An $FO\{E^{(2)}\}$ formula/query testing if a graph is a 4-element clique [here E = edge relation].)

1. There are precisely 4 elements ...

$$\exists x_1 \exists x_2 \exists x_3 \exists x_4 \left(x_1 \neq x_2 \wedge x_1 \neq x_3 \wedge x_1 \neq x_4 \wedge x_2 \neq x_3 \wedge x_2 \neq x_4 \wedge x_3 \neq x_4 \right. \\ \left. \wedge \forall x [x = x_1 \vee x = x_2 \vee x = x_3 \vee x = x_4] \right)$$

2. and any two of them are linked by E .

$$\wedge \forall x \forall y E(x, y).$$



Exercise (Write a formula over $\{E^{(2)}\}$ checking if a graph is two-colorable.)



$$\varphi_{2COL} = \exists G \exists R (x \in G \vee x \in R) \wedge (x \in G \leftrightarrow x \notin R) \wedge \varphi_{ok}$$

$$\varphi_{ok} = \forall x (x \in G \rightarrow (\forall y E(x, y) \rightarrow y \in R)) \wedge \forall x (x \in R \rightarrow (\forall y E(x, y) \rightarrow y \in G))$$



More examples II.

Exercise (Write an $\text{FO}[\{E^{(2)}, a, b\}]$ formula $\varphi_k^{\text{reach}(a,b)}$ testing if there is a path from a to b of length k .)

1. Case $k = 0$ is trivial: Take $\varphi_0^{\text{reach}(a,b)} := a = b$
2. Case $k = 1$ is easy too: Take $\varphi_1^{\text{reach}(a,b)} := E(a, b)$
3. Case $k = 2$ is a tiny bit harder: Take $\varphi_2^{\text{reach}(a,b)} := \exists x_1 E(a, x_1) \wedge E(x_1, b)$
4. Case $k = 3$ is a similar: Take $\varphi_3^{\text{reach}(a,b)} := \exists x_1 \exists x_2 E(a, x_1) \wedge E(x_1, x_2) \wedge E(x_2, b)$
5. So for any $k \geq 2$ just take: Take $\varphi_k^{\text{reach}(a,b)} := \exists x_1 \dots \exists x_{k-1} E(a, x_1) \wedge \bigwedge_{i=1}^{k-2} E(x_i, x_{i+1}) \wedge E(x_{k-1}, b)$

Question (Can we do better in terms the total number of quantifiers?)

Current state of the art: $\log_2(k) - \mathcal{O}(1) \leq ??? \leq 3 \log_3(k) + \mathcal{O}(1)$ by Fagin et al. [MFCS 2022]

Exercise (Write a formula φ^{conn} over $\{E^{(2)}\}$ testing if a structure is E -connected.)

$$\varphi^{\text{reach}(a,b)} := \forall x \forall y \bigvee_{i=0}^{\infty} \varphi_i^{\text{reach}(a,b)}[a/x, b/y].$$



Is there a chance to get an FO formula?

No. And we will show it today!

Motivations I: why do we care about logic?

Query: Give me IDs of all candidates who applied for “computer science”.

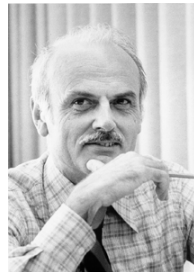
```
SELECT CandID
FROM Candidate
WHERE Major = "Computer Science"
```

$\rightsquigarrow \varphi(i)$

$\varphi(i) = \exists n \exists s \text{ CANDIDATE}(i, n, s) \wedge \text{APPL}(\text{"Computer Science"}, i)$

Theorem (Codd 1971)

Basic SQL \approx First-Order Logic



Other useful logic: Datalog \approx SQL + recursion

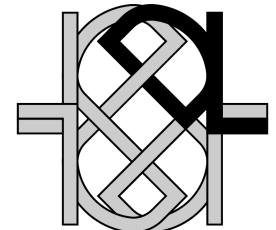
1. VLog: a rule engine for querying data graphs
2. Vadalog: querying data graphs based on Datalog

Nice lecture on VadaLog by Gottlob [here], and a course on knowledge graphs by Krötzsch [here].

Description logics: a family of logics for knowledge representation.



Dublin Core Metadata Initiative
Making it easier to find information

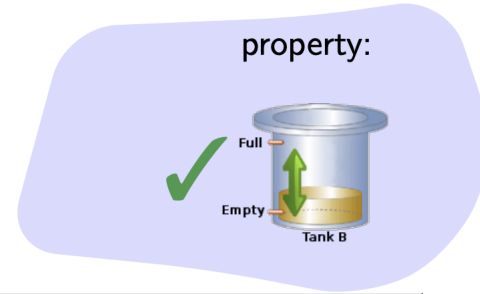
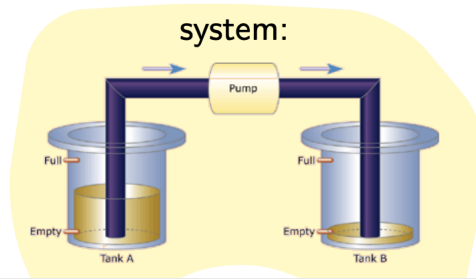


Motivations II: why do we care about logic?

1. Temporal logics as **specification languages**
2. **COQ**: verified algorithms!, c.f. [here]
3. **Separation logic**: verifying Cpp/Java

Nice lecture [here]. (I'm there running with a mic!)

Check also Infer tool by Facebook!



```
vim hello.c
// hello.c
#include <stdlib.h>

void test() {
    int *s = NULL;
    *s = 42;
}
```

```
bartoszbednarczyk@Minsky-Machine: ~/Downloads/Infer
$ infer run -- gcc -c hello.c

Capturing in make/cc mode..
Found 1 source file to analyze in /Users/bartoszbednarczyk/Downloads/Infer/infer-out

Analysis finished in 775ms

Found 1 issue

hello.c:6: error: NULL_DEREFERENCE
  pointer `s` last assigned on line 5 could be null and is dereferenced at line 6, column 3.
4.   void test() {
5.     int *s = NULL;
6. >  *s = 42;
7.   }

Summary of the reports

NULL_DEREFERENCE: 1
```


Motivations III: why do we care about logic?

In “standard” computational complexity we measure **resources**, e.g. **space** and **time**.

Descriptive Complexity: how strong the language must be to **describe the problem**?

A logic \mathcal{L} **characterises** the complexity class \mathcal{C} if for every property of finite structures \mathcal{P} :

1. \mathcal{P} is **expressible** in \mathcal{L} if and only if
2. There is an **algorithm in \mathcal{C}** deciding \mathcal{P} .

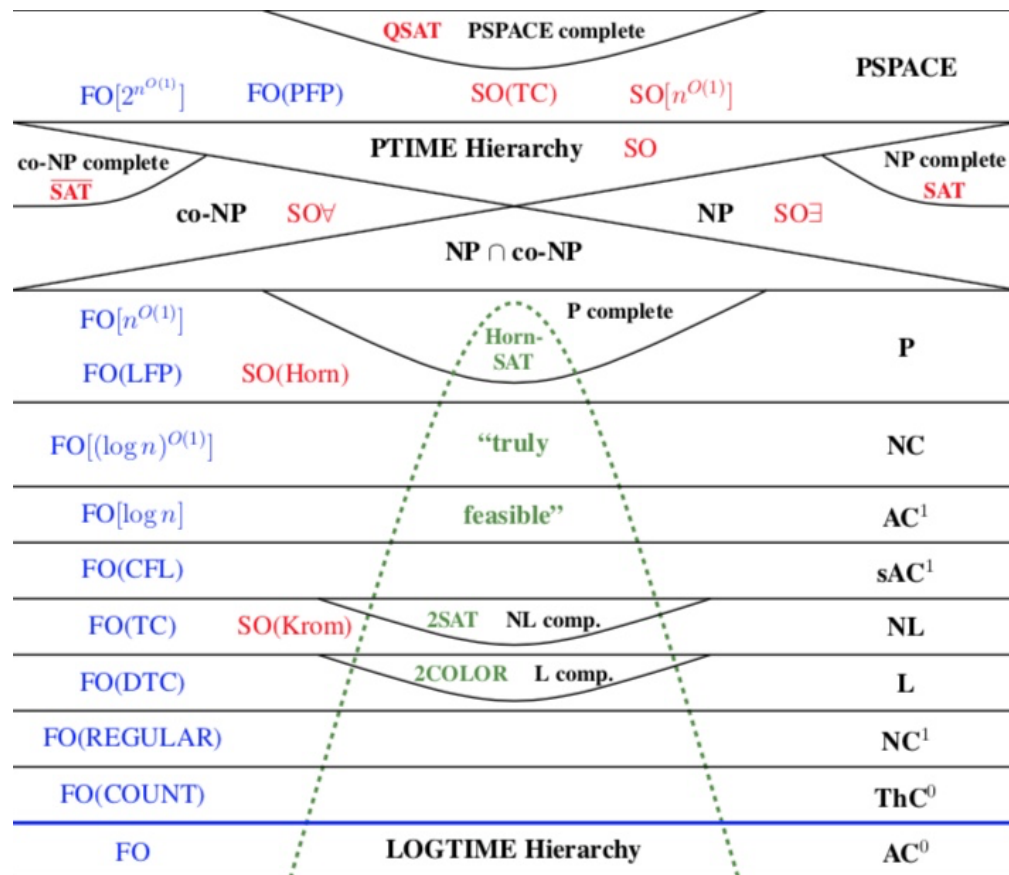
Theorem (Fagin'1973)

Existential Second Order Logic characterises NP.



Is there a logic for PTIME?

No idea since 1988.



Motivations IV: why do we care about logic?

Meta algorithms: say what you want instead of writing a code! Hot topic nowadays!

Is every property of graphs expressible in FO is checkable in linear time for all graphs from class \mathcal{C} ?

Theorem (Courcelle 1990)

$\mathcal{C} :=$ graphs of bounded-treewidth.

Theorem (Seese 1996)

$\mathcal{C} :=$ graphs of bounded-degree.

Theorem (Dvorák et al. 2010)

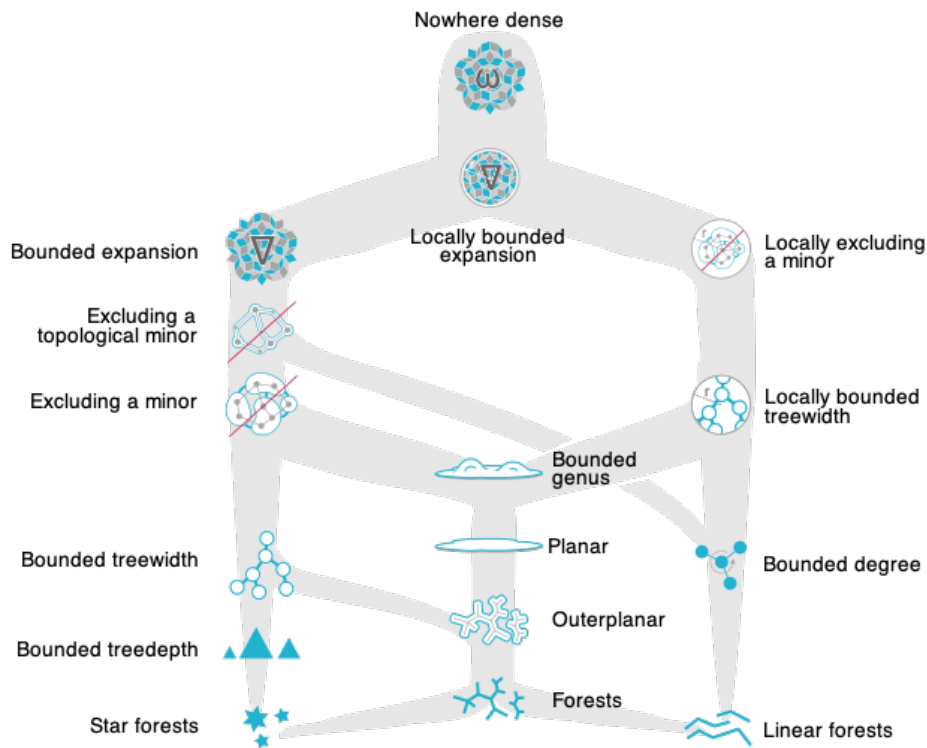
$\mathcal{C} :=$ graphs of bounded-expansion.

Theorem (Bonnet et al. 2022)

$\mathcal{C} :=$ graphs of bounded-twinwidth.

Theorem (Grohe, Kreutzer, Siebertz 2014)

$O(|\varphi|^{1+\varepsilon})$ for $\mathcal{C} :=$ nowhere-dense graphs.



Signatures (vocabularies)

Signature σ is a (countable) collection of **symbols**: $(c_1, c_2, \dots, R_1, R_2, \dots)$

Constant symbols, e.g. $\emptyset, 7, \text{Bartek}$

Relational symbols, e.g. $\in, \subseteq, \text{isEven}$

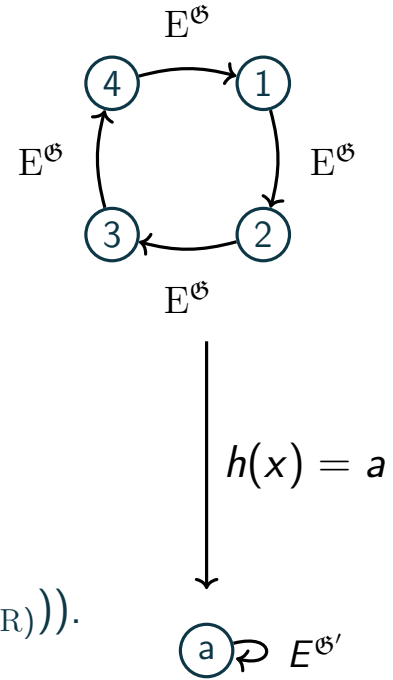
with an associated **arity**, e.g. $\text{ar}(\subseteq) = 2, \text{ar}(\text{isEven}) = 1$

Structures

Over a signature σ we define σ -**structures** $\mathfrak{A} = (A, \cdot^{\mathfrak{A}})$ composed of:

- Non-empty set A called the **domain** of \mathfrak{A} + **Interpretation function** $\cdot^{\mathfrak{A}}$ such that:

1. For each constant symbol c , we have $\cdot^{\mathfrak{A}} : c \mapsto (c^{\mathfrak{A}} \in A)$
2. For each relational symbol R , we have $\cdot^{\mathfrak{A}} : R \mapsto (R^{\mathfrak{A}} \subseteq A^{\text{ar}(R)})$



Morphisms

Let $\mathfrak{A}, \mathfrak{B}$ be σ -structures. A **homomorphism** from \mathfrak{A} to \mathfrak{B} is $h : A \rightarrow B$ satisfying:

- For all constant symbols $c \in \sigma$ we have $h(c^{\mathfrak{A}}) = c^{\mathfrak{B}}$, and
- For all relational symbols $R \in \sigma$, $R^{\mathfrak{A}}(a_1, \dots, a_{\text{ar}(R)})$ implies $R^{\mathfrak{B}}(h(a_1), \dots, h(a_{\text{ar}(R)}))$.

An **isomorphism** h between \mathfrak{A} and \mathfrak{B} is a bijection s.t. h, h^{-1} are homomorphisms.

In this case we write: $\mathfrak{A} \cong \mathfrak{B}$.

Important! $\mathfrak{A} \cong \mathfrak{B}$ implies $\mathfrak{A} \models \varphi \Leftrightarrow \mathfrak{B} \models \varphi$ for all formulae φ .

Syntax of FO[σ]

- Let $\text{Var} := \{x, y, z, u, v, \dots\}$ be a countably-infinite set of **variables**.
- The set of **terms** is $\text{Terms}(\sigma) := \text{Var} \cup \{c \mid c \text{ is a constant from } \sigma\}$.
- The set of **atomic formulae** $\text{Atoms}(\sigma)$ is the smallest set such that:
 1. If t_1, t_2 are terms from $\text{Terms}(\sigma)$ then $t_1 = t_2$ belongs to $\text{Atoms}(\sigma)$.
 2. If $t_1, \dots, t_{\text{ar}(\text{R})} \in \text{Terms}(\sigma)$, and $\text{R} \in \sigma$ is relational implies $\text{R}(t_1, \dots, t_{\text{ar}(\text{R})}) \in \text{Atoms}(\sigma)$.
- The set FO[σ] of **First-Order formulae over σ** is the closure of $\text{Atoms}(\sigma)$ under
$$\wedge, \vee, \rightarrow, \leftrightarrow, \neg, \exists x, \forall x \text{ (for all variables } x \in \text{Var}).$$

Free variables

$$\exists x (E(x, y) \wedge \forall z (E(z, y) \rightarrow x = z)) \qquad \exists x (E(x, y) \wedge \exists y \neg E(y, x))$$

Formally, we define the **set of free variables of φ** , denoted with $\text{FVar}(\varphi)$, as follows:

- $\text{FVar}(x) = \{x\}$, $\text{FVar}(c) = \emptyset$ for all $x \in \text{Var}$ and constant symbols c from σ .
- $\text{FVar}(t_1 = t_2) = \text{FVar}(t_1) \cup \text{FVar}(t_2)$ for all $t_1, t_2 \in \text{Terms}(\sigma)$.
- $\text{FVar}(\neg\varphi) = \text{FVar}(\varphi)$ and $\text{FVar}(\varphi \wedge \psi) = \text{FVar}(\varphi) \cup \text{FVar}(\psi)$. (and similarly for $\rightarrow, \leftrightarrow, \vee, \top, \perp$)
- $\text{FVar}(\exists x \varphi) = \text{FVar}(\varphi) \setminus \{x\}$ for all $x \in \text{Var}$.

Notation regarding formulae

We write $\varphi(x_1, x_2, \dots, x_k)$ to indicate that the variables x_1, \dots, x_k are free in φ .

Formula without free-variables is called a **sentence**.

Formula without occurrences of \forall, \exists is called a **quantifier-free**.

A set of sentences is called a **theory**.

Semantics of FO

For a σ -structure \mathfrak{A} we define inductively, for each term $t(x_1, x_2, \dots, x_n)$

the value of $t^{\mathfrak{A}}(a_1, \dots, a_n)$, where $(a_1, \dots, a_n) \in A^n$ as follows:

1. For a constant symbol $c \in \sigma$, the value of c in \mathfrak{A} is $c^{\mathfrak{A}}$.
2. The value of x_i in $t^{\mathfrak{A}}(a_1, a_2, \dots, a_n)$ is a_i .

Now we define \models for $\varphi(x_1, x_2, \dots, x_n)$:

- If $\varphi \equiv t_1 = t_2$, then $\mathfrak{A} \models \varphi(\bar{a})$ iff $t_1^{\mathfrak{A}}(\bar{a}) = t_2^{\mathfrak{A}}(\bar{a})$.
- If $\varphi \equiv R(t_1, t_2, \dots, t_n)$, then $\mathfrak{A} \models \varphi(\bar{a})$ iff $(t_1^{\mathfrak{A}}(\bar{a}), \dots, t_n^{\mathfrak{A}}(\bar{a})) \in R^{\mathfrak{A}}$.
- $\mathfrak{A} \models \neg\varphi$ iff not $\mathfrak{A} \models \varphi$; $\mathfrak{A} \models \varphi \wedge \psi$ iff $\mathfrak{A} \models \varphi$ and $\mathfrak{A} \models \psi$ (similarly for other connectives)
- If $\varphi \equiv \exists x \psi(x, \bar{y})$, then $\mathfrak{A} \models \varphi(\bar{a})$ iff $\mathfrak{A} \models \psi(a', \bar{a})$ for some $a' \in A$ (similarly for \forall quantifier)

The last bunch of notations. Proof systems.

A formula φ is **satisfiable** if it has a **model** (there is a structure \mathfrak{A} s.t. $\mathfrak{A} \models \varphi$).

For a **theory** \mathcal{T} (set of sentences) we write $\mathfrak{A} \models \mathcal{T}$ instead of $\mathfrak{A} \models \bigwedge_{\varphi \in \mathcal{T}} \varphi$.

φ is a **tautology** iff **every** structure satisfies φ (written: $\models \varphi$). Note: φ is a tautology iff $\neg\varphi$ is unsatisfiable.

We write $\mathcal{T} \models \varphi$ to say that **every** model of \mathcal{T} is a model of φ . Note: $\mathcal{T} \models \perp$ iff \mathcal{T} is unSAT.

Warning! Models can be of any size: finite, countably-infinite and even larger!

Löwenheim–Skolem 1922

If a countable theory \mathcal{T} has an infinite model then \mathcal{T} has a countably-inf one.



FO has **dedicated proof systems**, e.g. Gentzen's sequents. Check Tim Lyon's lectures! [\[HERE\]](#)

$\mathcal{T} \vdash \varphi$ means φ is **provable** from \mathcal{T} with sequents.

(we treat \mathcal{T} as extra axioms, note that proofs are **finite**)

Gödel 1929: $\mathcal{T} \models \varphi$ iff $\mathcal{T} \vdash \varphi$

SAT for FO is Recursively Enumerable

$$\begin{array}{c}
 \frac{Ax}{\forall x[P(x)], \forall x[P(x) \rightarrow Q(x)], Q(a) \vdash Q(a)} \quad \frac{\frac{Ax}{\forall x[P(x)], \forall x[P(x) \rightarrow Q(x)] \vdash P(a), Q(a)}}{\forall x[P(x)], \forall x[P(x) \rightarrow Q(x)], \neg P(a) \vdash Q(a)} [\neg \vdash]}{\forall x[P(x)], \forall x[P(x) \rightarrow Q(x)], \neg P(a) \vee Q(a) \vdash Q(a)} [\vee \vdash]} \\
 \frac{\frac{\forall x[P(x)], \forall x[P(x) \rightarrow Q(x)], P(a) \rightarrow Q(a) \vdash Q(a)}{\forall x[P(x)], \forall x[P(x) \rightarrow Q(x)] \vdash Q(a)} [\rightarrow \vdash \text{ r.w.}]}{\forall x[P(x)], \forall x[P(x) \rightarrow Q(x)] \vdash Q(a)} [\forall \vdash]} \\
 \frac{}{\vdash \forall}
 \end{array}$$

The Gödel's Compactness Theorem



Use case:
Showing
inexpressivity

Let \mathcal{T} be an FO-theory and let φ be an FO sentence.

1. If $\mathcal{T} \models \varphi$ then there is a finite $\mathcal{T}_0 \subseteq \mathcal{T}$ such that $\mathcal{T}_0 \models \varphi$.
2. If every *finite* $\mathcal{T}_0 \subseteq \mathcal{T}$ is satisfiable then \mathcal{T} is satisfiable.

1st excursion: Proving (1)

" $\models = \vdash$ "

Proofs are finite



Craft \mathcal{T}_0



Assume $\mathcal{T} \models \varphi$. Then by Gödel's completeness theorem $\mathcal{T} \vdash \varphi$. So there is a formal proof \mathcal{P} of $\mathcal{T} \vdash \varphi$. Since proofs are finite the proof \mathcal{P} uses only finitely many axioms of \mathcal{T} . Call them \mathcal{T}_0 .

Thus $\mathcal{T}_0 \vdash \varphi$ holds (use the same proof as before!). After asking Gödel about " $\models = \vdash$ " again we are done.

2nd excursion: Proving (2)

Ad absurdum

Employ (1)



\mathcal{T} unSAT iff $\mathcal{T} \models \perp$



Towards a contradiction suppose \mathcal{T} is unsatisfiable. So $\mathcal{T} \models \perp$. By (1) there is a finite $\mathcal{T}_0 \subseteq \mathcal{T}$ such that $\mathcal{T}_0 \models \perp$. Thus \mathcal{T} has an unsatisfiable finite subset (\mathcal{T}_0). A contradiction!

Employing compactness I: Reachability in $\{E\}$ -structures

The general **proof scheme** to show that the property \mathcal{P} is not FO-definable.

Ad absurdum suppose that φ defines \mathcal{P} . \rightsquigarrow **Manufacture a theory** \mathcal{T} containing φ . \rightsquigarrow

\rightsquigarrow **Prove that** \mathcal{T} is unsatisfiable \rightsquigarrow but its **every finite subset** is satisfiable. \rightsquigarrow **Contradict Compactness.**

There is no FO $\{\{E\}\}$ formula for connectivity over $\{E\}$ -structures.

So there is no formula saying that between any two nodes there is a directed $\{E\}$ -path.



No info about the finite models!

Proof:

Assume that there is such φ , and let \mathcal{T} be

$$\mathcal{T} := \{\varphi\} \cup \{\neg\varphi_k^{\text{reach}(a,b)} \mid k \geq 0\}.$$

Since a and b are disconnected, \mathcal{T} is unSAT.

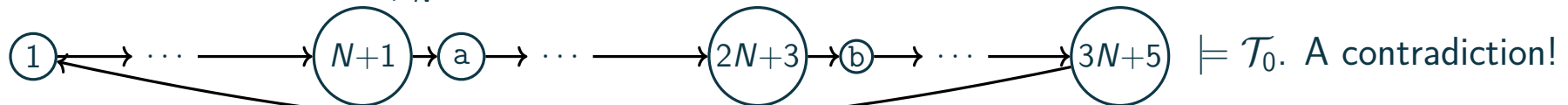
Let \mathcal{T}_0 be any non-empty finite subset of \mathcal{T} .

Let N be max such that $\neg\varphi_N^{\text{reach}(a,b)}$ is in \mathcal{T}_0 . Then:



Employ reachability!

$$\varphi_0^{\text{reach}(a,b)} := a = b, \varphi_1^{\text{reach}(a,b)} := E(a, b), \varphi_k^{\text{reach}(a,b)} := \exists x_1 \dots \exists x_{k-1} E(a, x_1) \wedge \bigwedge_{i=1}^{k-2} E(x_i, x_{i+1}) \wedge E(x_{k-1}, b)$$



Employing compactness II: Parity of the domain

The previous proof does not give us **any information** about the **finite domain reasoning**.

Even worse, **Compactness fails in the finite** setting (exercise). Can we use it nevertheless?

There is no FO[\emptyset] formula expressing that the domain is even over \emptyset -structures.

Proof:

Suppose that such a φ exists. Consider two theories \mathcal{T}_1 and \mathcal{T}_2 :

$$\mathcal{T}_1 := \{\varphi\} \cup \{\lambda_k \mid k \geq 0\}, \quad \mathcal{T}_2 := \{\neg\varphi\} \cup \{\lambda_k \mid k \geq 0\}.$$

It's easy to see that any finite subset of \mathcal{T}_1 and \mathcal{T}_2 is satisfiable (WHY?).

So by compactness \mathcal{T}_1 and \mathcal{T}_2 are also satisfiable (∞ models!).

Thus, by Löwenheim–Skolem, $\mathcal{T}_1, \mathcal{T}_2$ have countably-inf models \mathfrak{A} and \mathfrak{B} .

By $\mathfrak{A} \models \mathcal{T}_1$ we get $\mathfrak{A} \models \varphi$, and $\mathfrak{B} \models \mathcal{T}_2$ we get $\mathfrak{B} \models \neg\varphi$.

As there is a bijection between any two countably-inf sets, we get $\mathfrak{A} \cong \mathfrak{B}$.

Formulae are preserved by isomorphisms, so $\mathfrak{B} \models \neg\varphi$ implies $\mathfrak{A} \models \neg\varphi$:

Thus $\mathfrak{A} \models \varphi$ and $\mathfrak{A} \models \neg\varphi$. A **contradiction** (with the semantics of \models)!



Exploit ∞ !

Let λ_k say “there are $\geq k$ elem.”.



Löwenheim–Skolem!



\emptyset -structures = sets

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