

# **Actions and Causality**

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- Introduction
- Conjunctive Planning Problems
- The Fluent Calculus





## States, Actions, and Causality

- ▶ Rational Agents, Agent Programming Languages, Cognitive Robotics
- ► Situation Calculus McCarthy 1963
- Core Ideas
  - A state is a snapshot of the world and
  - can only be changed by actions
- A state is specified with the help of fluents
- Problem Each state and each action is only partially known!





# **General Problems**

- Frame problem Which fluents are unaffected by the execution of an action?
- Ramification problem Which fluents are really present after the execution of an action?
- Qualification problem Which preconditions have to be satisfied such that an action is executable?
- Prediction problem How long are fluents present in certain situations?
- All problems have a cognitive as well as a technical aspect
- Only the frame problem is considered in this lecture





# **Requirements**

#### McCarthy 1963

- General properties of causality and facts about the possibility and results of actions are given as formulas
- It is a logical consequence of the facts of a state and the general axioms that goals can be achieved by performing certain actions
- The formal descriptions of states should correspond as closely as possible to what people may reasonably be presumed to know about them when deciding what to do





# **Conjunctive Planning Problems**

- ▶ Initial state  $\mathcal{I}$ :  $\{i_1, \ldots, i_m\}$  of ground fluents
- **•** Goal state  $\mathcal{G}: \{g_1, \ldots, g_n\}$  of ground fluents
- Finite set A of actions of the form

$$\dot{\boldsymbol{c}}_1,\ldots,\boldsymbol{c}_l\dot{\boldsymbol{j}}\Rightarrow\dot{\boldsymbol{c}}_1,\ldots,\boldsymbol{e}_k\dot{\boldsymbol{j}},$$

where  $\{c_1, \ldots, c_l\}$  and  $\{e_1, \ldots, e_k\}$  are multisets of fluents called conditions and (direct) effects, respectively

#### Assumption

Each variable occurring in the effects of an action occurs also in its conditions

A conjunctive planning problem is the question of whether there exists a sequence of actions whose execution transforms the initia into the goal state





## **Actions and Plans**

- Let S be a multiset of ground fluents
- ▶  $C \Rightarrow E$  is applicable in *S* iff there exists  $\theta$  such that  $C\theta \subseteq S$
- ▶ The application of  $C \Rightarrow \mathcal{E}$  in S leads to  $S' = (S \land C\theta) \cup \mathcal{E}\theta$ 
  - One should observe that S' is ground
    - S is ground
    - $\blacktriangleright$  var( $\mathcal{E}$ )  $\subseteq$  var( $\mathcal{C}$ )
    - $\mapsto \theta$  is grounding
- A plan is a sequence of actions
- ► A goal *G* is satisfied
  - iff there exists a plan p which transforms  $\mathcal{I}$  into  $\mathcal{S}$  and  $\mathcal{G} \stackrel{.}{\subseteq} \mathcal{S}$
  - Such a plan is called solution for the planning problem





## **Blocks World**

### The pickup action

 $pickup(V): iclear(V), ontable(V), empty i \Rightarrow iclear(V)$ 

#### The unstack action

unstack(V, W):  $\{clear(V), on(V, W), empty\} \Rightarrow \{holding(V), clear(W)\}$ 

#### The putdown action

 $putdown(V): \dot{holding}(V) \Rightarrow \dot{clear}(V), ontable(V), empty \dot{h}$ 

#### The stack action

 $stack(V, W): \dot{\{}holding(V), clear(W)\dot{\}} \Rightarrow \dot{\{}on(V, W), clear(V), empty\dot{\}}$ 



# Sussman's Anomaly



- $\mathbf{\mathcal{I}} = \dot{\{}ontable(a), ontable(b), on(c, a), clear(b), clear(c), empty \dot{\}}$
- $\mathbf{\mathcal{G}} = \dot{\{}ontable(c), on(b, c), on(a, b), clear(a), empty \dot{\mathbf{\mathbf{j}}}$

#### Solution

[unstack(c, a), putdown(c), pickup(b), stack(b, c), pickup(a), stack(a, b)]

What happens if we independently search for shortest solutions for the two subgoals on(a, b) and on(b, c)?





## Sussman's Anomaly – Solution







## A Fluent Calculus Implementation – Actions and Causality

An action  $\mathcal{C} \Rightarrow \mathcal{E}$  is represented by  $action(\mathcal{C}^{-1}, name, \mathcal{E}^{-1})$ , where name is a term identifying the action

 $action(clear(V) \circ ontable(V) \circ empty, pickup(V), holding(V))$  $action(clear(V) \circ on(V, W) \circ empty, unstack(V, W), holding(V) \circ clear(W))$ action(holding(V), putdown(V), clear(V)  $\circ$  ontable(V)  $\circ$  empty)  $action(holding(V) \circ clear(W), stack(V, W), on(V, W) \circ clear(V) \circ empty)$ 

Causality is expressed by causes(s, p, s'). where s and s' are fluent terms and p is a list of actions representing a plan

```
causes(X, [], Y) \leftarrow X \approx Y \circ Z
causes(X, [V|W], Y) \leftarrow action(P, V, Q)
                                   \wedge P \circ Z \approx X
                                   \wedge causes(Z \circ Q, W, Y)
```

 $X \approx X$ 





## A Fluent Calculus Implementation – The Planning Problem

- Let  $\mathcal{K}_A$  be the set of facts representing actions
- Let K<sub>c</sub> be the set of clauses representing causality
- ► The planning problem (with intial and goal state *I* and *G*, respectively) is the problem whether

$$\mathcal{K}_{A} \cup \mathcal{K}_{C} \cup \mathcal{E}_{AC1} \cup \mathcal{E}_{\approx} \models (\exists P) causes(\mathcal{I}^{-l}, P, \mathcal{G}^{-l})$$

holds

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# **SLDE-Resolution**

- Let
  - $\triangleright~{\cal K}$  be a set of definite clauses not containing  $\approx$  in their heads
  - $\triangleright \mathcal{E}$  be an equational system and
  - $\triangleright \leftarrow B_1 \land \ldots \land B_n$  a goal clause
- ▶ Question Does  $\mathcal{K} \cup \mathcal{E} \cup \mathcal{E}_{\approx} \models \exists (B_1 \land \ldots \land B_n) \text{ hold}?$
- ▶ Let *C* be a new variant  $H \leftarrow A_1 \land \ldots \land A_m$  of a clause in  $\mathcal{K} \cup \{X \approx X\}$ , *G* the goal clause  $\leftarrow B_1 \land \ldots \land B_n$ , and UP<sub>*E*</sub> an *E*-unification procedure. If *H* and *B<sub>i</sub>*, *i* ∈ [1, *n*], are *E*-unifiable with  $\theta \in UP_{\mathcal{E}}(H, B_i)$  then

$$\leftarrow (B_1 \land \ldots \land B_{i-1} \land A_1 \land \ldots \land A_m \land B_{i+1} \land \ldots \land B_n)\theta$$

is called SLDE-resolvent of C and G

#### Theorem 4.10

- SLDE-resolution is sound if UP<sub>E</sub> is sound
- ▷ SLDE-resolution is complete if UP<sub>E</sub> is complete
- ▶ The selection of the literal B<sub>i</sub> is don't care non-deterministic





## A Solution to Sussman's Anomaly (1)

- (1)  $\leftarrow causes(ontable(a) \circ ontable(b) \circ on(c, a) \circ clear(b) \circ clear(c) \circ empty,$ W, $ontable(c) \circ on(b, c) \circ on(a, b) \circ clear(a) \circ empty).$
- (2)  $\leftarrow action(P_1, V_1, Q_1) \land P_1 \circ Z_1 \approx ontable(a) \circ ontable(b) \circ on(c, a) \circ clear(b) \circ clear(c) \circ empty \land causes(Z_1 \circ Q_1, W_1, ontable(c) \circ on(b, c) \circ on(a, b) \circ clear(a) \circ empty).$
- $(3) \leftarrow clear(V_2) \circ on(V_2, W_2) \circ empty \circ Z_1 \approx \\ontable(a) \circ ontable(b) \circ on(c, a) \circ clear(b) \circ clear(c) \circ empty \land \\causes(Z_1 \circ holding(V_2) \circ clear(W_2), \\W_1, \\ontable(c) \circ on(b, c) \circ on(a, b) \circ clear(a) \circ empty).$
- (4)  $\leftarrow$  causes(ontable(a)  $\circ$  ontable(b)  $\circ$  clear(b)  $\circ$  clear(a)  $\circ$  holding(c),  $W_1$ , ontable(c)  $\circ$  on(b, c)  $\circ$  on(a, b)  $\circ$  clear(a)  $\circ$  empty).



# A Solution to Sussman's Anomaly (2)





## Solving the Frame Problem

- In the fluent calculus the frame problem is mapped onto fluent matching and fluent unification problems
- For example, let

 $s = ontable(a) \circ ontable(b) \circ on(c, a) \circ clear(b) \circ clear(c) \circ empty$ 

 $t = clear(c) \circ on(c, a) \circ empty$ 

then

 $\theta = \{ Z \mapsto ontable(a) \circ ontable(b) \circ clear(b) \}$ 

is a most general  $\mathcal{E}$ -matcher for the  $\mathcal{E}$ -matching problem

 $\mathcal{E}_{AC1} \cup \mathcal{E}_{\approx} \models (\exists Z) \, s \approx t \circ Z$ 

Consequently, unstack(c, a) can be applied to s yielding

 $s' = ontable(a) \circ ontable(b) \circ clear(b) \circ clear(a) \circ holding(c)$ 





# Why are States not Modelled by Sets?

• Let 
$$\mathcal{E}_{ACI1} = \mathcal{E}_{AC1} \cup \{X \circ X \approx X\}$$

In this case the *E*-matching problem

```
\mathcal{E}_{ACI1} \cup \mathcal{E}_{\approx} \models (\exists Z) \ s \approx t \circ Z
```

has an additional solution, viz.

 $\eta = \{ \mathbf{Z} \mapsto ontable(\mathbf{a}) \circ ontable(\mathbf{b}) \circ clear(\mathbf{b}) \circ empty \}$ 

heta and  $\eta$  are independent wrt  $\mathcal{E}_{\text{ACI1}}$ 

Computing the successor state in this case yields

 $s'' = ontable(a) \circ ontable(b) \circ clear(b) \circ clear(a) \circ holding(c) \circ empty$ 

which is not intended because the arm of the robot cannot be empty and holding an object at the same time





# Remarks (1)

- Some people even believed that the frame problem cannot be solved within first order logic
- Forward versus backward planning
- Many extensions
  - > Incomplete specifications of initial situation, e.g.

```
 \begin{array}{l} (\exists X, P, Y) \\ causes(ontable(b) \circ Y, \\ P, \\ ontable(c) \circ on(b, c) \circ on(a, b) \circ clear(a) \circ empty \circ X) \end{array}
```

- Indeterminate effects
- Specificity
- Ramification and qualification problem





# **Remarks (2)**

- Fluent calculus versus linear logic versus linear connection method
- Fluent calculus versus situation calculus versus event calculus
- Planning problems can be reduced to SAT-problems if the length of a plan is restricted

