# DEDUCTION SYSTEMS 

## Lecture 5 ASP Solving II *sildes adapped from Torsten <br> Schaub [Gebser et al.(2012)]

Sarah Gaggl

Dresden, 25th June 2015
 yintint

## Outline

(9) Nogoods from loop formulas

2 Conflict-driven nogood learning
(3) Summary

## Nogoods from logic programs via loop formulas

Let $P$ be a normal logic program and recall that:

- For $L \subseteq \operatorname{atom}(P)$, the external supports of $L$ for $P$ are

$$
E S_{P}(L)=\left\{r \in P \mid \operatorname{head}(r) \in L, \operatorname{body}(r)^{+} \cap L=\emptyset\right\}
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- The (disjunctive) loop formula of $L$ for $P$ is

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\begin{aligned}
L F_{P}(L) & =\left(\bigvee_{A \in L} A\right) \rightarrow\left(\bigvee_{r \in E S_{p}(L)} \operatorname{body}(r)\right) \\
& \equiv\left(\bigwedge_{r \in E S_{P}(L)} \neg \operatorname{body}(r)\right) \rightarrow\left(\bigwedge_{A \in L} \neg A\right)
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- Note: The loop formula of $L$ enforces all atoms in $L$ to be false whenever $L$ is not externally supported
- The external bodies of $L$ for $P$ are

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E B_{P}(L)=\left\{\operatorname{body}(r) \mid r \in E S_{P}(L)\right\}
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## Nogoods from logic programs loop nogoods

- For a logic program $P$ and some $\emptyset \subset U \subseteq \operatorname{atom}(P)$, define the loop nogood of an atom $a \in U$ as

$$
\lambda(a, U)=\left\{\boldsymbol{T} a, \boldsymbol{F} B_{1}, \ldots, \boldsymbol{F} B_{k}\right\}
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where $E B_{P}(U)=\left\{B_{1}, \ldots, B_{k}\right\}$

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- The set $\Lambda_{P}$ of loop nogoods denies cyclic support among true atoms


## Example

- Consider the program

$$
\left\{\begin{array}{ll}
x \leftarrow \operatorname{not} y & u \leftarrow x \\
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- For $u$ in the set $\{u, v\}$, we obtain the loop nogood:

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- For $u$ in the set $\{u, v\}$, we obtain the loop nogood:

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\lambda(u,\{u, v\})=\{\boldsymbol{T} u, \boldsymbol{F}\{x\}\}
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Similarly for $v$ in $\{u, v\}$, we get:

$$
\lambda(v,\{u, v\})=\{\boldsymbol{T} v, \boldsymbol{F}\{x\}\}
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## Characterization of stable models

Theorem<br>Let $P$ be a logic program. Then,<br>$X \subseteq \operatorname{atom}(P)$ is a stable model of $P$ iff<br>$X=A^{T} \cap \operatorname{atom}(P)$ for a (unique) solution $A$ for $\Delta_{P} \cup \Lambda_{P}$

## Characterization of stable models

```
Theorem
Let P be a logic program. Then,
    X\subseteqatom(P) is a stable model of P iff
    X= A
```

Some remarks

- Nogoods in $\Lambda_{P}$ augment $\Delta_{P}$ with conditions checking for unfounded sets, in particular, those being loops
- While $\left|\Delta_{P}\right|$ is linear in the size of $P, \Lambda_{P}$ may contain exponentially many (non-redundant) loop nogoods


## Outline

(1) Nogoods from loop formulas
(2) Conflict-driven nogood learning

3 Summary

## Towards conflict-driven search

Boolean constraint solving algorithms pioneered for SAT led to:

- Traditional DPLL-style approach
(DPLL stands for 'Davis-Putnam-Logemann-Loveland')
- (Unit) propagation
- (Chronological) backtracking
- in ASP, eg smodels
- Modern CDCL-style approach
(CDCL stands for 'Conflict-Driven Constraint Learning’)
- (Unit) propagation
- Conflict analysis (via resolution)
- Learning + Backjumping + Assertion
- in ASP, eg clasp


## DPLL-style solving

## loop

propagate
// deterministically assign literals
if no conflict then
if all variables assigned then return solution
else decide // non-deterministically assign some literal
else
if top-level conflict then return unsatisfiable else
backtrack // unassign literals made after last decision flip // assign complement of last decision literal

## CDCL-style solving

loop
propagate
// deterministically assign literals
if no conflict then
if all variables assigned then return solution
else decide // non-deterministically assign some literal
else
if top-level conflict then return unsatisfiable else
analyze // analyze conflict and add conflict constraint backjump // unassign literals until conflict constraint is unit

## Outline

## (1) Nogoods from loop formulas

(2) Conflict-driven nogood learning

- CDNL-ASP Algorithm
- Nogood Propagation
- Conflict Analysis
(3) Summary


## Outline of CDNL-ASP algorithm

- Keep track of deterministic consequences by unit propagation on:
- Program completion
- Loop nogoods, determined and recorded on demand
- Dynamic nogoods, derived from conflicts and unfounded sets


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- When a nogood in $\Delta_{P} \cup \nabla$ becomes violated:
- Analyze the conflict by resolution (until reaching a Unique Implication Point, short: UIP)
- Learn the derived conflict nogood $\delta$
- Backjump to the earliest (heuristic) choice such that the complement of the UIP is unit-resulting for $\delta$
- Assert the complement of the UIP and proceed (by unit propagation)


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- Assert the complement of the UIP and proceed (by unit propagation)
- Terminate when either:
- Finding a stable model (a solution for $\Delta_{P} \cup \Lambda_{P}$ )
- Deriving a conflict independently of (heuristic) choices


## Algorithm 1: CDNL-ASP

```
Input \(\quad:\) A normal program \(P\)
Output : A stable model of \(P\) or "no stable model"
```

```
\(A:=\emptyset \quad / /\) assignment over \(\operatorname{atom}(P) \cup \operatorname{body}(P)\)
```

$A:=\emptyset \quad / /$ assignment over $\operatorname{atom}(P) \cup \operatorname{body}(P)$
$\nabla:=\emptyset$ // set of recorded nogoods
$d l:=0$
loop
$(A, \nabla):=\operatorname{NogoodPropagation}(P, \nabla, A)$
if $\varepsilon \subseteq A$ for some $\varepsilon \in \Delta_{P} \cup \nabla$ then // conflict
if $\max (\{\operatorname{dlevel}(\sigma) \mid \sigma \in \varepsilon\} \cup\{0\})=0$ then return no stable model
$(\delta, d l):=$ ConflictAnalysis $(\varepsilon, P, \nabla, A)$
$\nabla:=\nabla \cup\{\delta\} \quad$ // (temporarily) record conflict nogood
$A:=A \backslash\{\sigma \in A \mid \operatorname{dl}<\operatorname{dlevel}(\sigma)\} \quad / /$ backjumping
else if $A^{T} \cup A^{F}=\operatorname{atom}(P) \cup \operatorname{body}(P)$ then // stable model
return $A^{\boldsymbol{T}} \cap \operatorname{atom}(P)$
else
$\sigma_{d}:=\operatorname{Select}(P, \nabla, A) \quad / /$ decision
$d l:=d l+1$
dlevel $\left(\sigma_{d}\right):=d l$
$A:=A \circ \sigma_{d}$

```

\section*{Observations}
- Decision level \(d l\), initially set to 0 , is used to count the number of heuristically chosen literals in assignment \(A\)
- For a heuristically chosen literal \(\sigma_{d}=\boldsymbol{T} a\) or \(\sigma_{d}=\boldsymbol{F} a\), respectively, we require \(a \in(\operatorname{atom}(P) \cup \operatorname{body}(P)) \backslash\left(A^{T} \cup A^{F}\right)\)
- For any literal \(\sigma \in A, d l(\sigma)\) denotes the decision level of \(\sigma\), viz. the value \(d l\) had when \(\sigma\) was assigned

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- A conflict at decision level 0 (where \(A\) contains no heuristically chosen literals) indicates non-existence of stable models
- A nogood \(\delta\) derived by conflict analysis is asserting, that is, some literal is unit-resulting for \(\delta\) at a decision level \(k<d l\)

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- A nogood \(\delta\) derived by conflict analysis is asserting, that is, some literal is unit-resulting for \(\delta\) at a decision level \(k<d l\)
- After learning \(\delta\) and backjumping to decision level \(k\), at least one literal is newly derivable by unit propagation
- No explicit flipping of heuristically chosen literals !

\section*{Example: CDNL-ASP}

Consider
\[
P=\left\{\begin{array}{llll}
x \leftarrow \operatorname{not} y & u \leftarrow x, y & v \leftarrow x & w \leftarrow \operatorname{not} x, \text { not } y \\
y \leftarrow \operatorname{not} x & u \leftarrow v & v \leftarrow u, y &
\end{array}\right\}
\]
\begin{tabular}{|l|l|l|}
\hline\(d l\) & \(\sigma_{d}\) & \(\bar{\sigma}\) \\
\hline & & \(\delta\) \\
\hline \hline & & \\
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\section*{(1) Nogoods from loop formulas}
(2) Conflict-driven nogood learning

CDNL-ASP Algorithm
- Nogood Propagation
- Conflict Analysis
(3) Summary

\section*{Outline of NogoodPropagation}
- Derive deterministic consequences via:
- Unit propagation on \(\Delta_{P}\) and \(\nabla\);
- Unfounded sets \(U \subseteq\) atom \((P)\)
- Note that \(U\) is unfounded if \(E B_{P}(U) \subseteq A^{F}\)
- Note: For any \(a \in U\), we have \((\lambda(a, U) \backslash\{\boldsymbol{T} a\}) \subseteq A\)

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- Note: Tight programs do not yield "interesting" unfounded sets !
- Given an unfounded set \(U\) and some \(a \in U\), adding \(\lambda(a, U)\) to \(\nabla\) triggers a conflict or further derivations by unit propagation
- Note: Add loop nogoods atom by atom to eventually falsify all \(a \in U\)

\section*{Algorithm 2: NogoodPropagation}
```

Input $\quad:$ A normal program $P$, a set $\nabla$ of nogoods, and an assignment $A$.
Output : An extended assignment and set of nogoods.
$U:=\emptyset \quad / /$ unfounded set
loop
repeat
if $\delta \subseteq A$ for some $\delta \in \Delta_{P} \cup \nabla$ then return $(A, \nabla) \quad / /$ conflict
$\Sigma:=\left\{\delta \in \Delta_{P} \cup \nabla \mid \delta \backslash A=\{\bar{\sigma}\}, \sigma \notin A\right\} \quad$ // unit-resulting nogoods
if $\Sigma \neq \emptyset$ then let $\bar{\sigma} \in \delta \backslash A$ for some $\delta \in \Sigma$ in
$\operatorname{dlevel}(\sigma):=\max (\{\operatorname{dlevel}(\rho) \mid \rho \in \delta \backslash\{\bar{\sigma}\}\} \cup\{0\})$
$A:=A \circ \sigma$
until $\Sigma=\emptyset$
if $\operatorname{loop}(P)=\emptyset$ then return $(A, \nabla)$
$U:=U \backslash A^{F}$
if $U=\emptyset$ then $U:=\operatorname{UnfoundedSet}(P, A)$
if $U=\emptyset$ then return $(A, \nabla) \quad / /$ no unfounded set $\emptyset \subset U \subseteq \operatorname{atom}(P) \backslash A^{F}$
let $a \in U$ in
$\nabla:=\nabla \cup\left\{\{\boldsymbol{T} a\} \cup\left\{\boldsymbol{F B} \mid B \in E B_{p}(U)\right\}\right\} \quad / /$ record loop nogood

```

\section*{Requirements for UnfoundedSet}
- Implementations of UnfoundedSet must guarantee the following for a result \(U\)
(1) \(U \subseteq\left(\operatorname{atom}(P) \backslash A^{F}\right)\)
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(3) \(U=\emptyset\) iff there is no nonempty unfounded subset of \(\left(\operatorname{atom}(P) \backslash A^{F}\right)\)
- Beyond that, there are various alternatives, such as:
- Calculating the greatest unfounded set
- Calculating unfounded sets within strongly connected components of the positive atom dependency graph of \(P\)
- Usually, the latter option is implemented in ASP solvers

\section*{Example: NogoodPropagation}

Consider
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\begin{tabular}{|c|ll|l|}
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\hline 3 & \(\boldsymbol{F}\{\) not \(y\}\) & & \\
& & \(\boldsymbol{F} x\) & \(\{\boldsymbol{T} x, \boldsymbol{F}\{\) not \(y\}\}=\delta(x)\) \\
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& & \(\boldsymbol{T}\{u, y\}\) & \(\{\boldsymbol{F}\{u, y\}, \boldsymbol{T} u, \boldsymbol{T} y\}=\delta(\{u, y\})\) \\
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& & & \(\{\boldsymbol{T} u, \boldsymbol{F}\{x\}, \boldsymbol{F}\{x, y\}\}=\lambda(u,\{u, v\})\) \\
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\section*{Outline}

\section*{(1) Nogoods from loop formulas}
(2) Conflict-driven nogood learning
- CDNL-ASP Algorithm
- Nogood Propagation
- Conflict Analysis
(3) Summary

\section*{Outline of ConflictAnalysis}
- Conflict analysis is triggered whenever some nogood \(\delta \in \Delta_{P} \cup \nabla\) becomes violated, viz. \(\delta \subseteq A\), at a decision level \(d l>0\)
- Note that all but the first literal assigned at \(d l\) have been unit-resulting for nogoods \(\varepsilon \in \Delta_{P} \cup \nabla\)
- If \(\sigma \in \delta\) has been unit-resulting for \(\varepsilon\), we obtain a new violated nogood by resolving \(\delta\) and \(\varepsilon\) as follows:
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(\delta \backslash\{\sigma\}) \cup(\varepsilon \backslash\{\bar{\sigma}\})
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- Iterated resolution progresses in inverse order of assignment
- Iterated resolution stops as soon as it generates a nogood \(\delta\) containing exactly one literal \(\sigma\) assigned at decision level \(d l\)
- This literal \(\sigma\) is called First Unique Implication Point (First-UIP)
- All literals in \((\delta \backslash\{\sigma\})\) are assigned at decision levels smaller than \(d l\)

\section*{Algorithm 3: ConflictAnalysis}
```

Input $\quad:$ A non-empty violated nogood $\delta$, a normal program $P$, a set $\nabla$ of nogoods, and an assignment $A$.
Output : A derived nogood and a decision level.
loop
let $\sigma \in \delta$ such that $\delta \backslash A[\sigma]=\{\sigma\}$ in
$k:=\max (\{\operatorname{dlevel}(\rho) \mid \rho \in \delta \backslash\{\sigma\}\} \cup\{0\})$
if $k=\operatorname{dlevel}(\sigma)$ then
let $\varepsilon \in \Delta_{P} \cup \nabla$ such that $\varepsilon \backslash A[\sigma]=\{\bar{\sigma}\}$ in
L $\delta:=(\delta \backslash\{\sigma\}) \cup(\varepsilon \backslash\{\bar{\sigma}\}) \quad$ // resolution
else return $(\delta, k)$

```

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\begin{tabular}{|c|c|c|c|}
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- Asserting nogoods direct conflict-driven search into a different region of the search space than traversed before, without explicitly flipping any heuristically chosen literal !

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\section*{References}

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