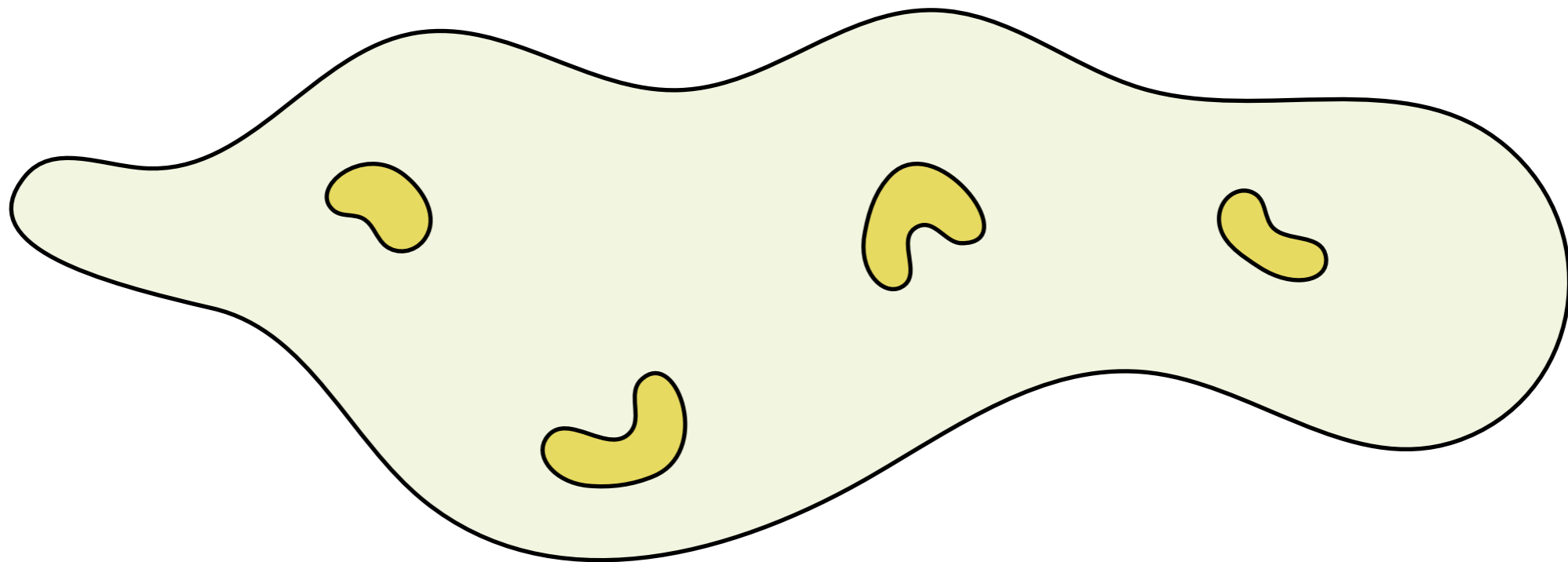


Idea: First order logic can only express “local” properties

**Local** = properties of nodes which are close to one another



[Some of the slides are by Diego Figueira, some of them by Anuj Dwar.]

## What kind of problems we study?

**Definability:** is the property  $P$  expressible in logic  $\mathcal{L}$ ?

E.g. is **connectivity** expressible in First-Order Logic?

**Expressive power:** Can the logics  $\mathcal{L}_1$  and  $\mathcal{L}_2$  express exactly **the same** properties?

**Succinctness:** Can  $\mathcal{L}_1$  express the properties of  $\mathcal{L}_2$  but **shorter**?

**Descriptive complexity:** Is there a logic **characterising** the complexity class  $\mathcal{C}$ ?

**Satisfiability:** is there a **model** of a formula  $\varphi$ ?

**Model-checking** (a.k.a. **query evaluation**): given  $\varphi$  and  $G$  is it the case that  $G \models \varphi$ ?

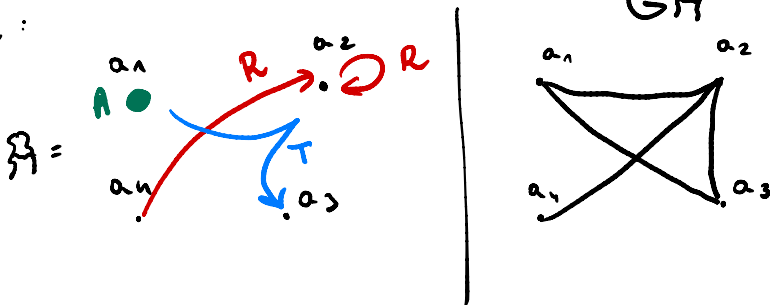
# Gaifman Graphs and Neighbourhoods

On a structure  $\mathbb{A}$ , define the binary relation:

$E(a_1, a_2)$  if, and only if, there is some relation  $R$  and some tuple  $\mathbf{a}$  containing both  $a_1$  and  $a_2$  with  $R(\mathbf{a})$ .

The graph  $G\mathbb{A} = (A, E)$  is called the *Gaifman graph* of  $\mathbb{A}$ .

Example :



# Gaifman Graphs and Neighbourhoods

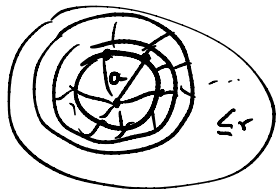
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The graph  $G\mathbb{A} = (A, E)$  is called the *Gaifman graph* of  $\mathbb{A}$ .

$dist(a, b)$  — the distance between  $a$  and  $b$  in the graph  $(A, E)$ .

$Nbd_r^{\mathbb{A}}(a)$  — the substructure of  $\mathbb{A}$  given by the set:



$$\{b \mid dist(a, b) \leq r\}$$

sometimes  
called a "ball"  
of radius  $r$

# Hanf locality

Definition. Two structures  $S_1$  and  $S_2$  are **Hanf( $r, t$ ) - equivalent**

iff for each structure  $B$ , the two numbers



$\#u$  s.t.  $S_1[u, r] \cong B$

$\#v$  s.t.  $S_2[v, r] \cong B$



are *either the same* or *both*  $\geq t$ .

usually denoted with

$N_r^{S_1}(u)$  or  $\text{Neib}_r^{S_1}(u)$

# Hanf locality

Definition. Two structures  $S_1$  and  $S_2$  are **Hanf(1,1)**-equivalent

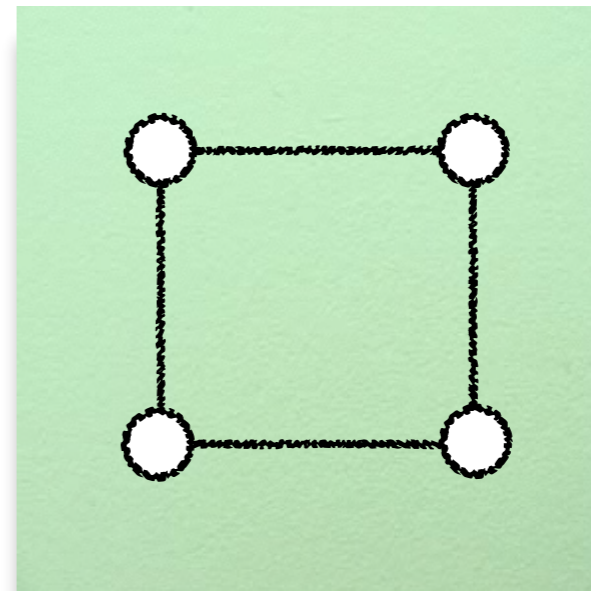
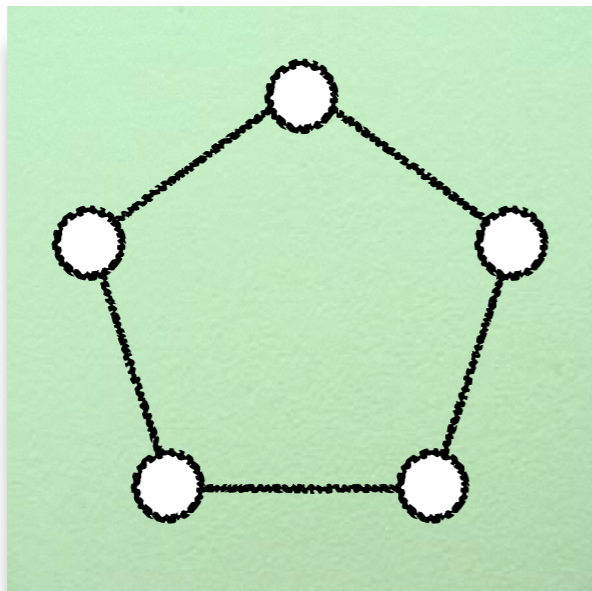
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$$\#u \text{ s.t. } S_1[u, \mathbf{1}] \cong B \quad \#v \text{ s.t. } S_2[v, \mathbf{1}] \cong B$$

are *either the same* or *both  $\geq 1$* .

Example.  $S_1, S_2$  are Hanf(1, 1)-equivalent iff they have the *same balls* of radius 1

*or just neighbourhoods*



# Hanf locality

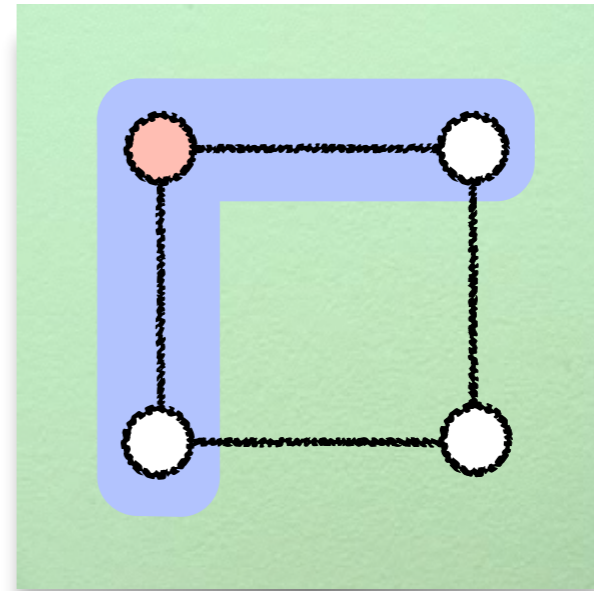
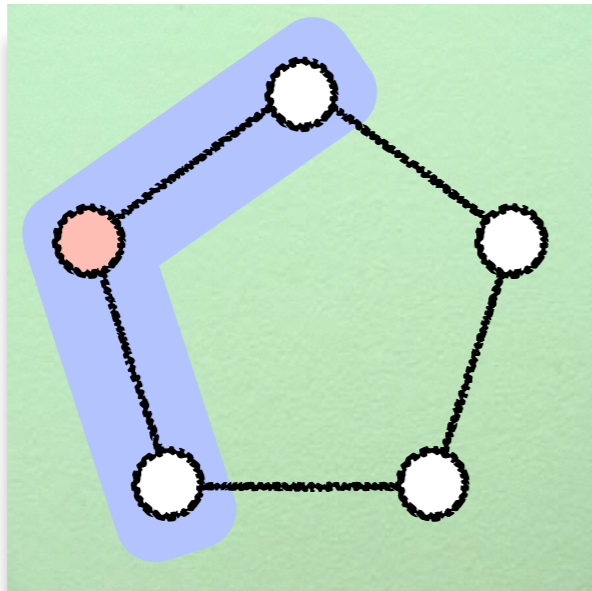
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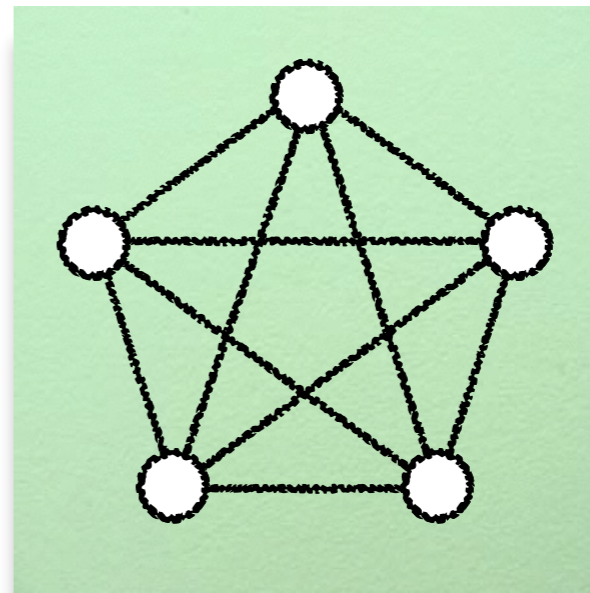
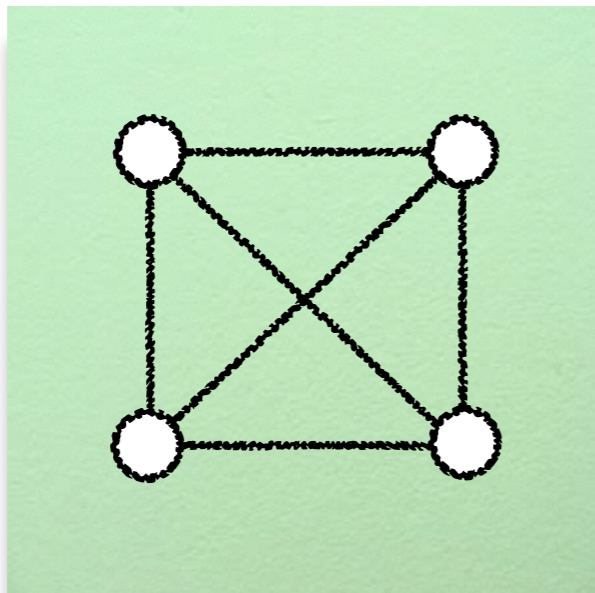
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# Hanf locality

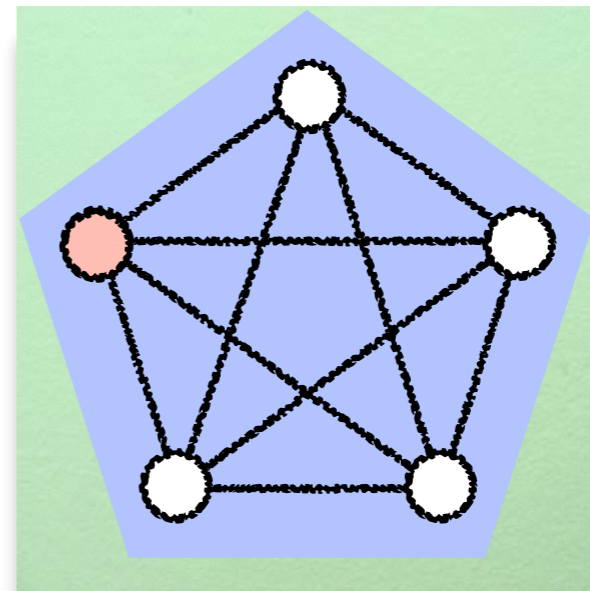
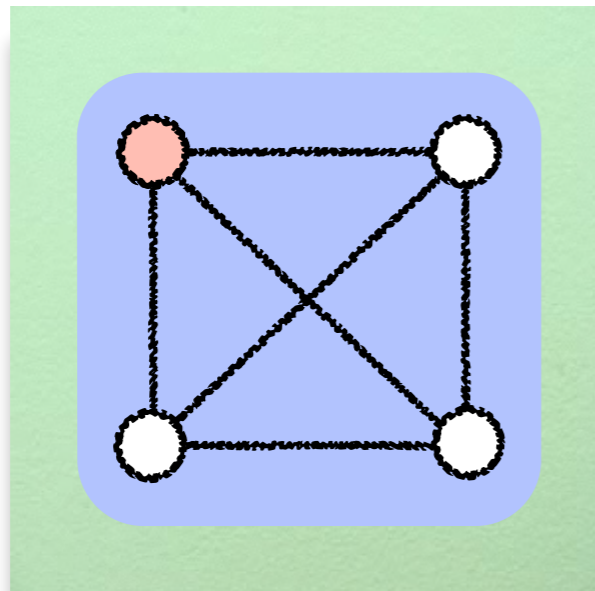
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**Theorem.**  $S_1, S_2$  are  $n$ -equivalent ( they satisfy the same sentences with quantifier rank  $n$  )  
whenever  $S_1, S_2$  are Hanf( ~~$\mathfrak{F}, n$~~ )-equivalent, ~~with  $r = 3^n$  and  $t = n$ .~~

[Hanf '60]

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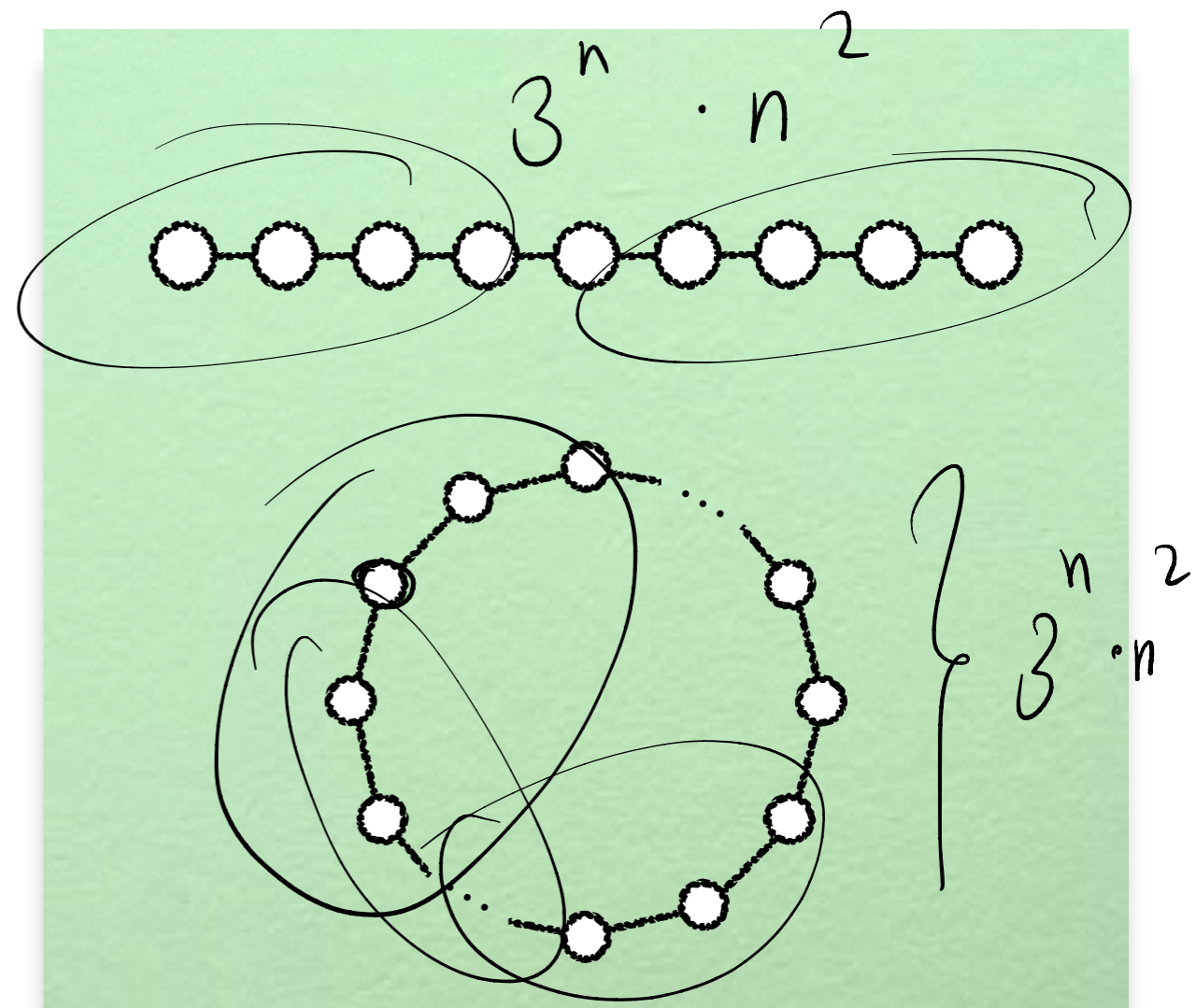
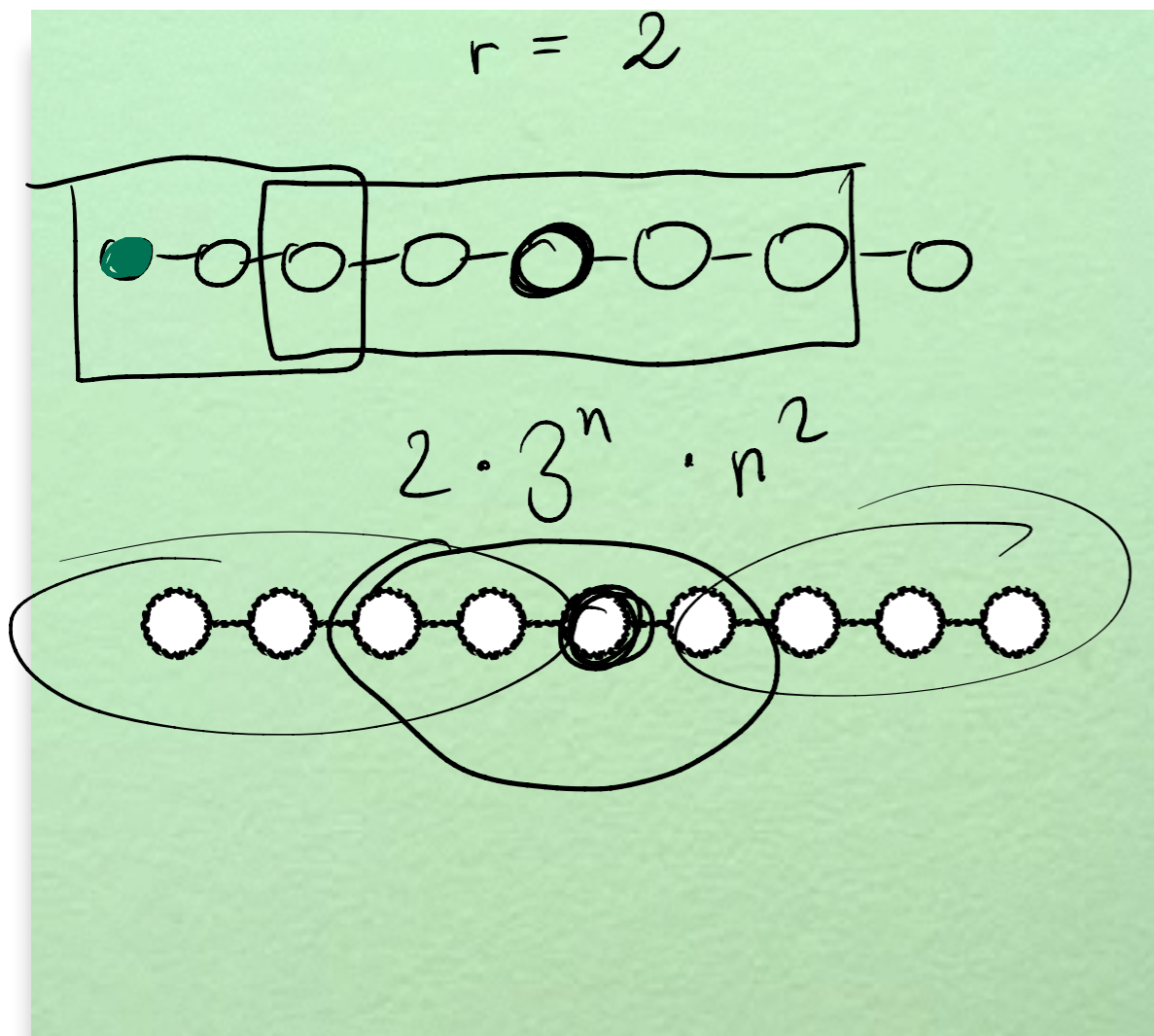
**Exercise:** prove that *acyclicity* is not FO-definable ( even on finite structures )

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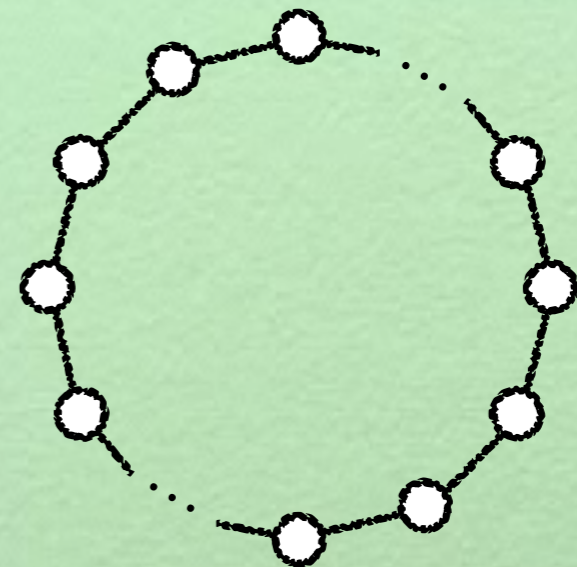


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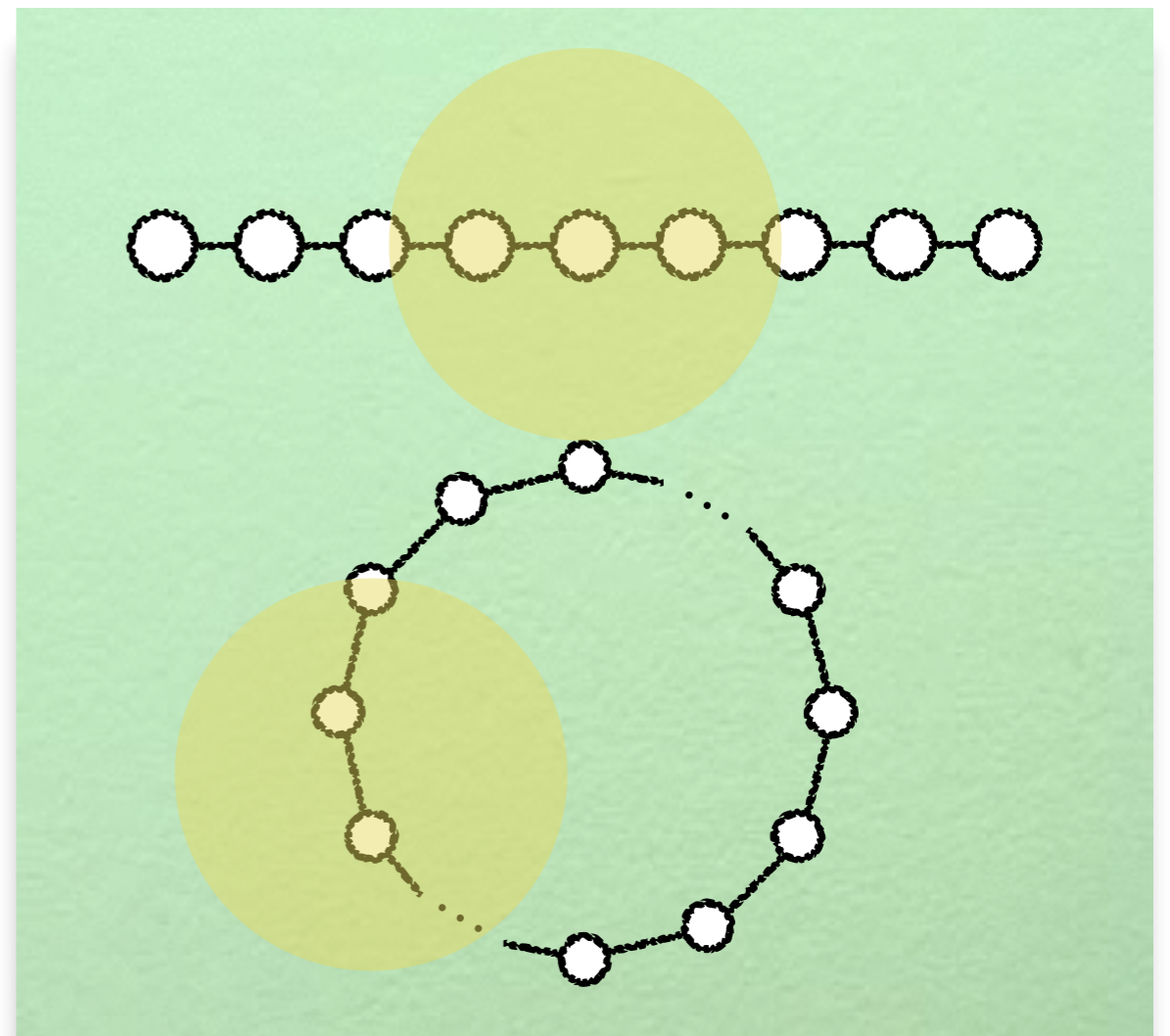
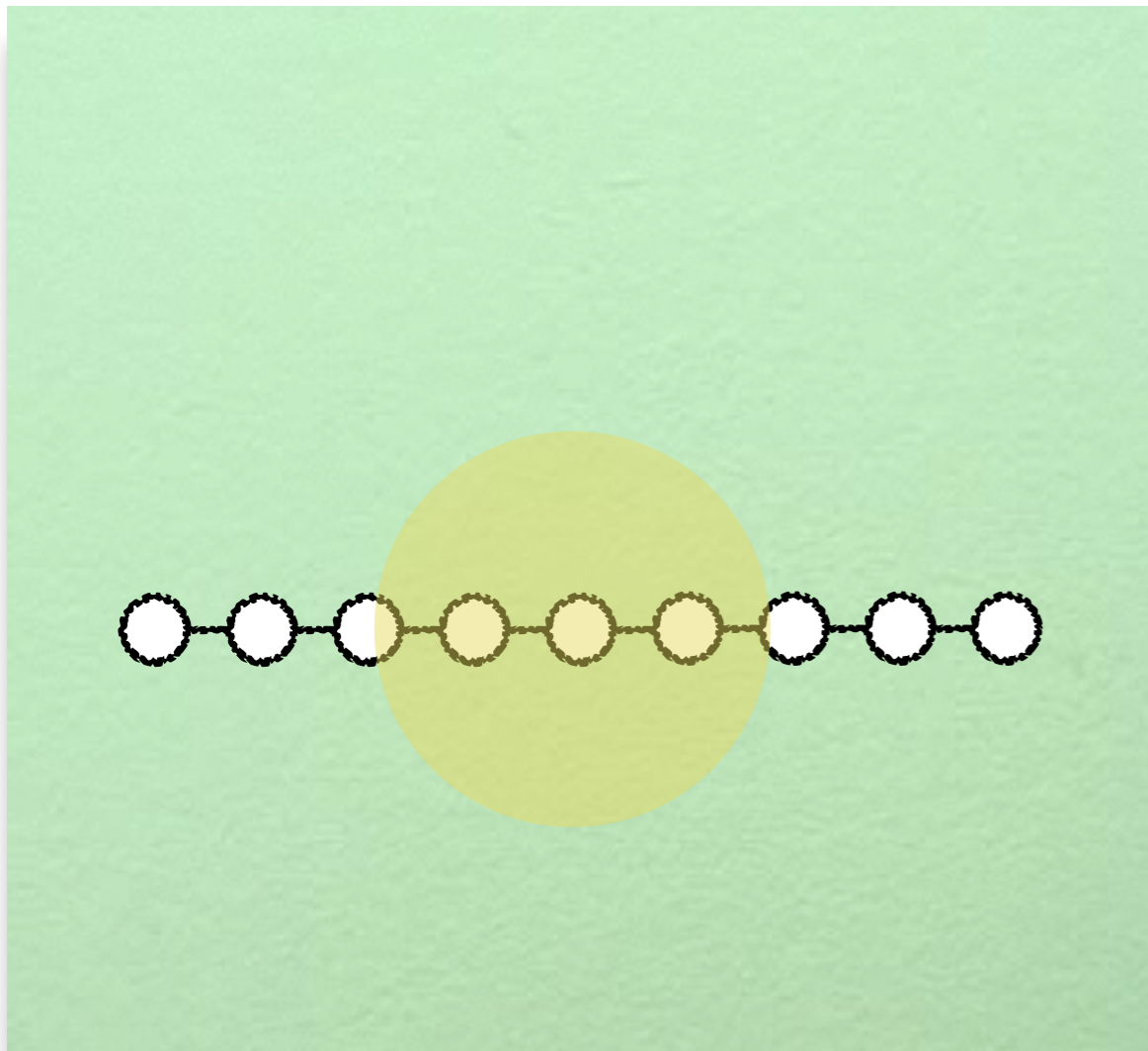


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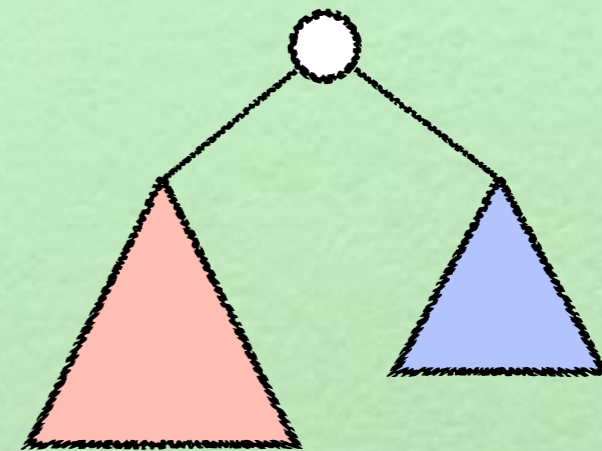
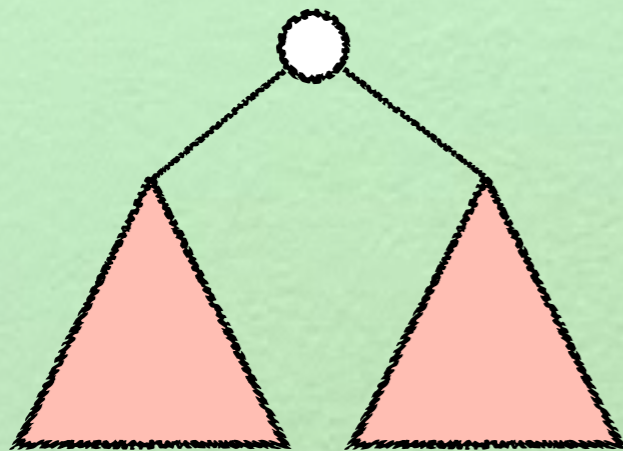


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**Exercise:** prove that testing whether a binary tree is *complete* is not FO-definable



Next task : How to show that FO is Hanf-local ?

Theorem.  $S_1, S_2$  are  $n$ -equivalent ( they satisfy the same sentences with quantifier rank  $n$  )  
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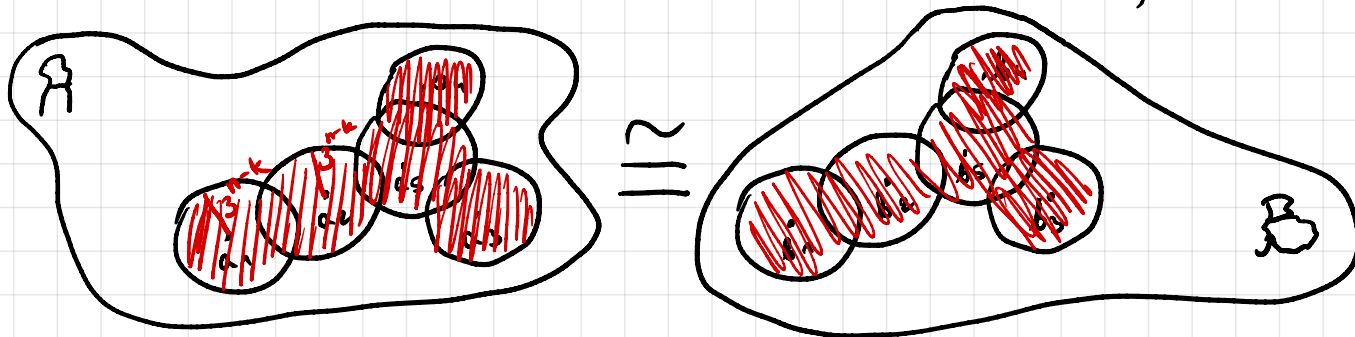
Proof idea :

Assume that  $\mathfrak{A}$  and  $\mathfrak{B}$  are Hanf( $3^n, n$ ) - equivalent and  
let us find a winning strategy for duplicator in  $n$ -round  $\varepsilon$ -F game.

! Our invariant : After  $k$  rounds we have that

$$\bigcup_{1 \leq i \leq k} N_{3^{n-k}}^{\mathfrak{A}}(a_i) \cong \bigcup_{1 \leq i \leq k} N_{3^{n-k}}^{\mathfrak{B}}(b_i),$$

where  $a_1, \dots, a_k \in A$  and  $b_1, \dots, b_k$  are the selected elements.





Next task : How to show that FO is Hanf-local ?

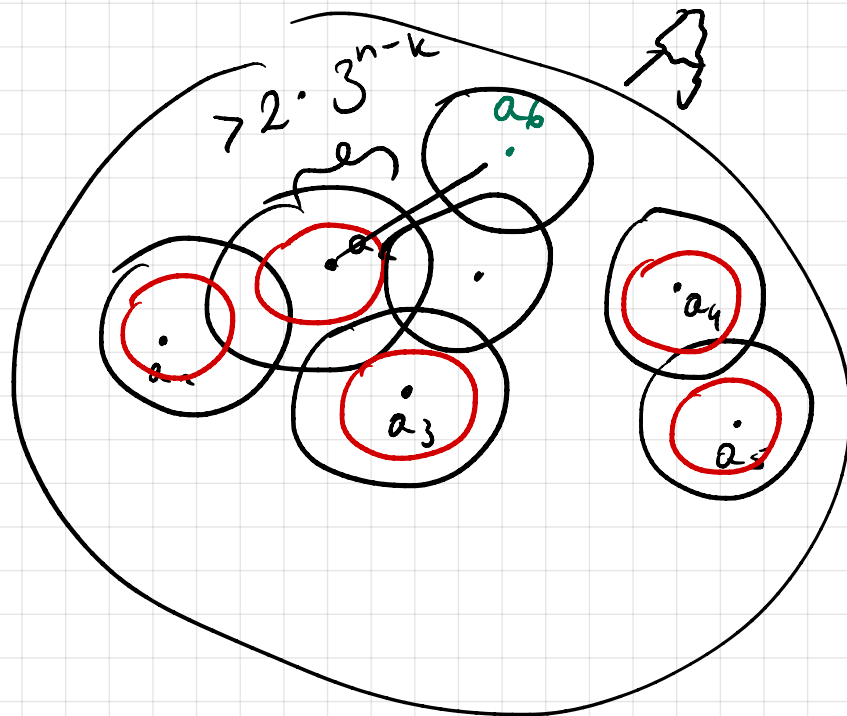
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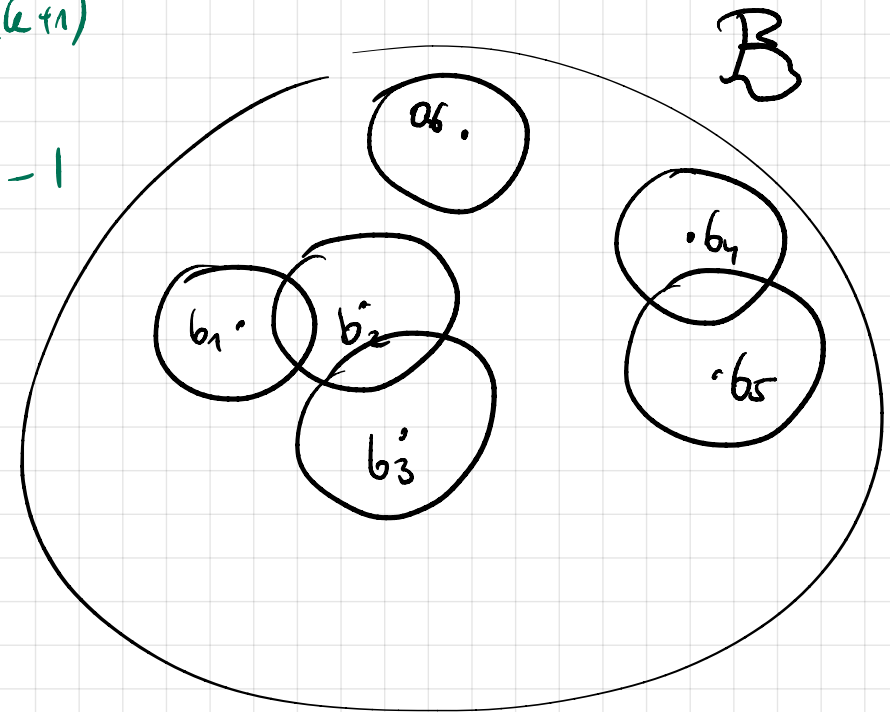
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$$3^{n-(k+n)}$$

$$3^{n-k-1}$$



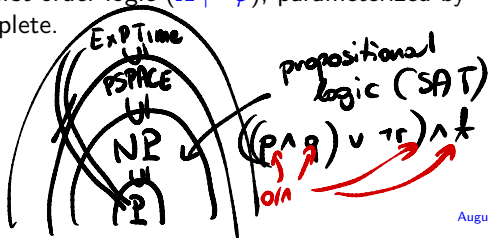
# Parameterized Complexity

**FPT**—the class of problems of input size  $n$  and *parameter*  $l$  which can be solved in time  $O(f(l)n^c)$  for some computable function  $f$  and constant  $c$ .

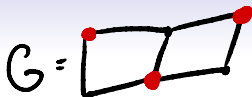
There is a hierarchy of *intractable* classes.

$$\text{FPT} \subseteq W[1] \subseteq W[2] \subseteq \dots \subseteq AW[*]$$

The satisfaction relation for first-order logic ( $\mathbb{A} \models \varphi$ ), parameterized by the length of  $\varphi$  is  $AW[*]$ -complete.



# Graph Problems



$$O(f(k) \cdot n^2) =$$

Vertex cover of size  $k$ :

$$\exists x_1 \cdots \exists x_k (\forall y \forall z (E(y, z) \Rightarrow (\bigvee_{1 \leq i \leq k} y = x_i \vee \bigvee_{1 \leq i \leq k} z = x_i)))$$

Vertex Cover is FPT

Independent Set:

$$\exists x_1 \cdots \exists x_k (\bigwedge_{i < j} \neg E(x_i, x_j))$$

Independent Set is  $W[1]$ -complete

Dominating Set:

$$\exists x_1 \cdots \exists x_k \forall y (\bigwedge_i x_i \neq y \Rightarrow \bigvee_i E(x_i, y))$$

Dominating Set is  $W[2]$ -complete.

# Restricted Classes

One way to get a handle on the complexity of first-order satisfaction is to consider restricted classes of structures.

*Given: a first-order formula  $\varphi$  and a structure  $\mathbb{A} \in \mathcal{C}$*

*Decide: if  $\mathbb{A} \models \varphi$*

For many interesting classes  $\mathcal{C}$ , this problem has been shown to be **FPT**.

The theorem of **(Courcelle 1990)** shows this for  $\mathcal{T}_k$ —the class of graphs of tree-width at most  $k$ , even for **MSO**.

## Bounded Degree



$\mathcal{D}_k$ —the class of structures  $\mathbb{A}$  in which every element has at most  $k$  neighbours in  $G\mathbb{A}$ .

**Theorem (Seese)**

$$O(f(k) \cdot n)$$

For every sentence  $\varphi$  of FO and every  $k$  there is a linear time algorithm which, given a structure  $\mathbb{A} \in \mathcal{D}_k$  determines whether  $\mathbb{A} \models \varphi$ .

**Note:** this is not true for MSO unless  $P = NP$ .

The proof is based on *locality* of first-order logic. Specifically, *Hanf's theorem*.

# Motivations: why do we care about logic? Meta-Algorithms

Logical characterisation of problems leads to **meta-algorithms**:

Any property of “graphs” expressible in logic  $\mathcal{L}$  is **linear-time checkable** on graphs from the class  $\mathcal{C}$ .

**Theorem (Courcelle 1990)**

$\mathcal{L} = \text{MSO}$ ,  $\mathcal{C} = \text{bounded-treewidth}$ .

**Theorem (Seese 1996)**

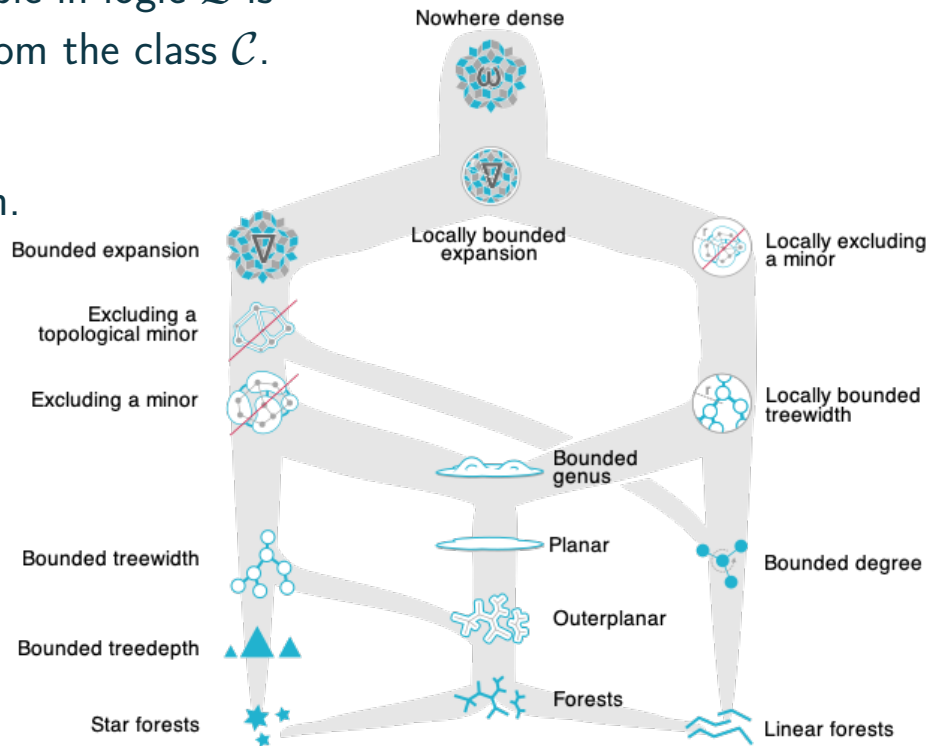
$\mathcal{L} = \text{FO}$ ,  $\mathcal{C} = \text{bounded-degree}$ .

**Theorem (Dvorák et al. 2010)**

$\mathcal{L} = \text{FO}$ ,  $\mathcal{C} = \text{bounded-expansion}$ .

**Theorem (Grohe, Kreutzer, Siebertz 2014)**

$\mathcal{O}(n^{1+\epsilon})$  algorithms for  $\mathcal{L} = \text{FO}$  and  $\mathcal{C} = \text{nowhere-dense graphs}$ .



Picture by © Felix Reidl. No changes have been made.