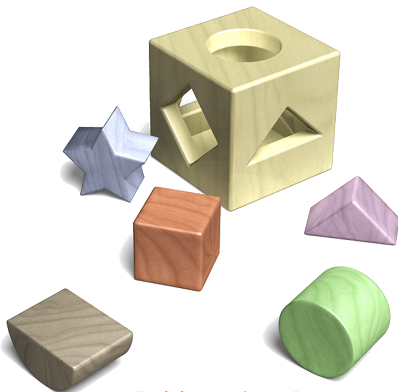


SAT Solving – Algorithms

Steffen Hölldobler and Norbert Manthey
International Center for Computational Logic
Technische Universität Dresden
Germany

- ▶ DPLL
- ▶ CDCL
- ▶ A solving abstraction



"Logic is everywhere ..."



Warm Up

- ▶ **Used programming languages**



Warm Up

- ▶ **Used programming languages**
- ▶ **Size of implemented projects**



Warm Up

- ▶ **Used programming languages**
- ▶ **Size of implemented projects**
- ▶ **Parallel computing (multi-core, GPGPU, cluster)**



Warm Up

- ▶ **Used programming languages**
- ▶ **Size of implemented projects**
- ▶ **Parallel computing (multi-core, GPGPU, cluster)**
- ▶ **Interest in computer architecture**



Revision

- ▶ **Used Data Types**
- ▶ **Semantics**



Formulas and Interpretations

- ▶ Let F be a formula and I be an interpretation
- ▶ I can
 - ▷ **satisfy** F , if $F|_I \equiv \top$
 - ▷ **falsify** F , if $F|_I \equiv \perp$



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 - ▷ **unsatisfiable**, $F \equiv \perp$
 - ▷ **satisfiable**
 - ▷ **tautologic**, $F \equiv \top$



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 - ▷ **unsatisfiable**, $F \equiv \perp$
 - ▷ **satisfiable**
 - ▷ **tautologic**, $F \equiv \top$
- ▶ **Property:** $F \equiv \top$, then $\neg F \equiv \perp$.



Clauses and Conjunctive Normal Forms

► Definition

- ▷ A **clause** is a generalized disjunction $[L_1, \dots, L_n]$, $n \geq 0$, where every L_i , $1 \leq i \leq n$, is a literal
- ▷ A clause is a **unit clause** if it contains precisely one literal
- ▷ A clause is a **binary clause** if it contains precisely two literals



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► Definition

- ▷ A formula is in **conjunctive normal form (clause form, CNF)** iff it is of the form $\langle C_1, \dots, C_m \rangle$, $m \geq 0$, and every C_j , $1 \leq j \leq m$, is a clause

► Implementation and **working assumptions**

- ▷ A clause is an array of literals
 - Maintained to be a **set** of literals (no duplicates)
 - Clauses are **no tautologies** (excluded during parsing)
- ▷ A formula is an array of (pointers/references to) clauses
 - Maintained to be a **multi set**



Propositional Resolution

- ▶ **Remind:** clauses are considered to be **sets**
- ▶ **Definition** Let C_1 be a clause containing L and C_2 be a clause containing \bar{L} ; The **(propositional) resolvent of C_1 and C_2 with respect to L** is the clause

$$(C_1 \setminus \{L\}) \cup (C_2 \setminus \{\bar{L}\})$$

C is said to be a **resolvent of C_1 and C_2** iff
there exists a literal L such that C is the resolvent of C_1 and C_2 wrt L

- ▶ **Examples when resolving on a**
- ▶ $(a \vee \neg a) \otimes (\neg a \vee a) = (a \vee \neg a)$
- ▶ $(a \vee \neg b) \otimes (\neg a \vee b) = (b \vee \neg b)$
- ▶ $(a \vee b) \otimes (\neg a \vee b) = (b)$



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- ▶ **Resolvents can **subsume** antecedents**



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- ▶ $(a \vee b) \otimes (\neg a \vee b) = (b)$
- ▶ **Resolvents can **subsume** antecedents**
- ▶ **Usually, resolvents have more literals than antecedents**



SAT Solving



SAT Solving - Example

- ▶ Given: Conjunction of clauses
- ▶ Task: Find satisfying interpretation for variables if possible!

$$F = (a \vee c) \wedge (\bar{b} \vee \bar{e} \vee \bar{f}) \wedge (\bar{a} \vee \bar{d} \vee f) \wedge (\bar{a} \vee \bar{b} \vee \bar{d} \vee e) \wedge (\bar{a} \vee b)$$

- ▶ How to find a solution?
- ▶ Some questions:



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 1. **How many combinations (solution candidates) exist for 6 Boolean variables?**



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- ▶ How to find a solution?
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 1. How many combinations (solution candidates) exist for 6 Boolean variables?
 2. How many percent of the candidates are cut by a unit clause?



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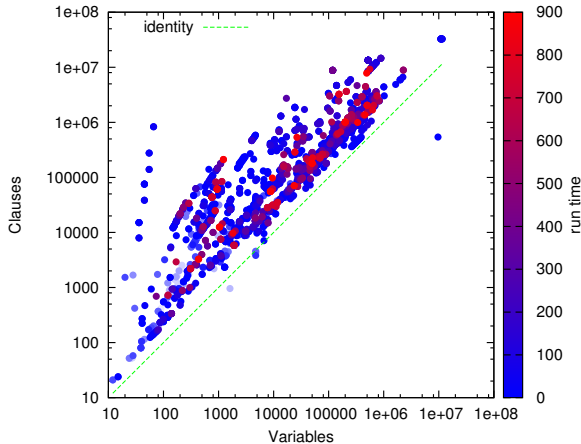
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- ▶ **Some questions:**
 1. **How many combinations (solution candidates) exist for 6 Boolean variables?**
 2. **How many percent of the candidates are cut by a unit clause?**
 3. **How many percent of the candidates are cut by a binary, ternary, ... clause?**



Power of Modern SAT Solvers



- ▶ Riss 4.27, SAT Competition 2014, application track
- ▶ Formulas with several million clauses and variables can be solved



SAT Solving – With Search

- ▶ **Assume a literal**
- ▶ **Propagate immediate consequences**
- ▶ **If a conflict, backtrack**



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- ▶ **Known as DPLL (Davis Putnam Logemann Loveland)**



SAT Solving – With Search

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- ▶ Assume $\bar{b} = \top$, then we have $J = (\bar{b})$
- ▶ Are there variables with a forced assignment?



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SAT Solving – With Search


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- ▶ Propagate immediate consequences
- ▶ If a conflict, backtrack
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- ▶ What are immediate consequences?

$$F|_{\bar{b}\bar{a}} = (\quad c) \wedge$$

- ▶ Assume $\bar{b} = \top$, then we have $J = (\bar{b})$
- ▶ Are there variables with a forced assignment?
 - ▷ \bar{a} and \bar{c}



Davis Putnam Logemann Loveland (DPLL) in a Nutshell

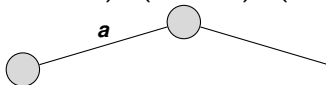
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Variable	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
Reason	-	-	-	-	-	-



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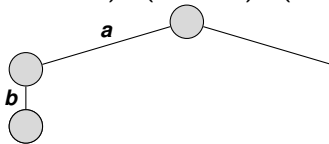
Variable	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
Reason	-	-	-	-	-	-

► add a search decision



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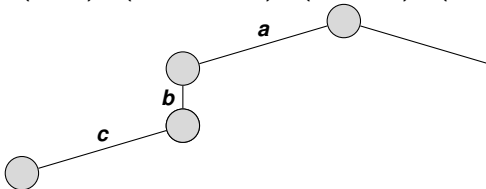
Variable	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
Reason	-	C_1	-	-	-	-

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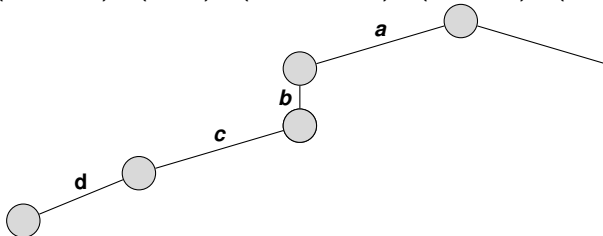
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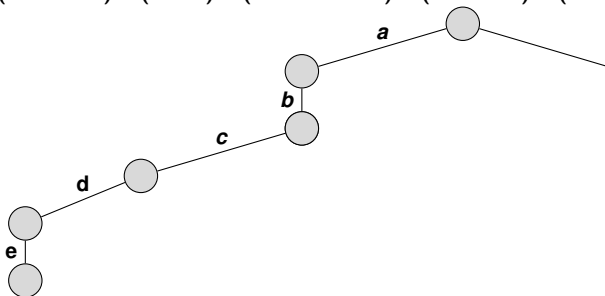
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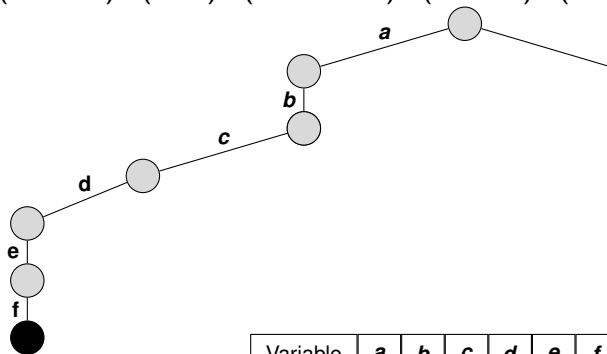
Variable	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
Reason	-	C_1	-	-	C_2	-

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found **conflict**

$$C_5 = (\bar{a} \vee \bar{e} \vee \bar{f})$$

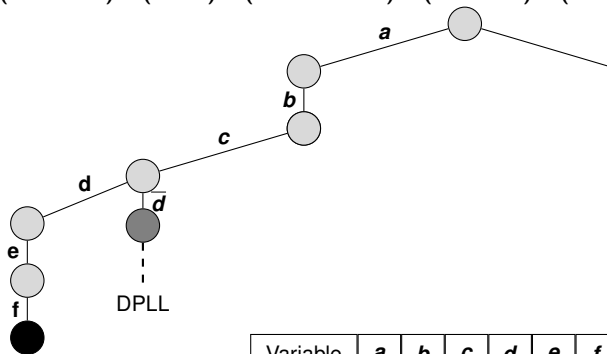
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found **conflict**
 $C_5 = (\bar{a} \vee \bar{e} \vee \bar{f})$

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Reason	-	C_1	-	-	C_2	C_4

► **backtrack from conflict and proceed with search**



DPLL pseudo code

► An iterative solving algorithm

IDPLL (CNF formula F)

Input: A formula F in CNF

Output: The solution **SAT** or **UNSAT** of this formula

1	$J := ()$	// start with empty interpretation
2	while true	// until we find a solution
3	if $F _J = \emptyset$ then return SAT	// satisfiability rule
4	if $[] \in F _J$ then	// there was a conflict
5	if $J = J' \dot{x} J''$ and $\nexists \dot{y} \in J''$ then	// backtrack and undo most recent decision
6	$J := J' \bar{x}$	// add the complement
7	continue	
8	else return UNSAT	// unsatisfiability rule
9	if $(x) \in F _J$ then	// unit rule
10	$J := Jx$	// extend the interpretation
11	continue	
12	if $x \in \text{lits}(F _J)$ and $\bar{x} \notin \text{lits}(F _J)$ then	// pure literal rule
13	$J := Jx$	
14	continue	
15	$J := J\dot{x}$ for some $x \in \text{lits}(F _J)$	// decide rule



Conclusions of the DPLL Algorithm

- ▶ Chronological backtracking
- ▶ Heavily depends on the order of the decision variables
- ▶ How to perform unit propagation? How to find a unit in the formula efficiently?



Unit Propagation

- ▶ How to perform unit propagation?
- ▶ How to find a unit in the formula efficiently?
- ▶ Assumption: we use the presented pseudo code as algorithm.



Conflict Driven Clause Learning (CDCL) in a Nutshell



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$$F = (\bar{a} \vee b) \wedge (\bar{b} \vee \bar{d} \vee e) \wedge (c \vee d) \wedge (\bar{a} \vee \bar{b} \vee \bar{e} \vee f) \wedge (\bar{a} \vee \bar{e} \vee \bar{f}) \wedge (d \vee \bar{f}) \wedge (\bar{c} \vee e \vee f)$$

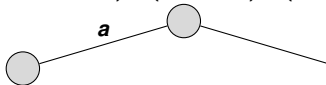


Variable	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
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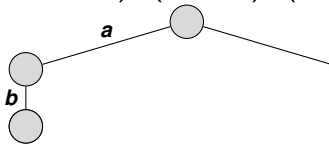
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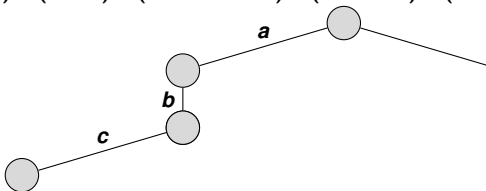
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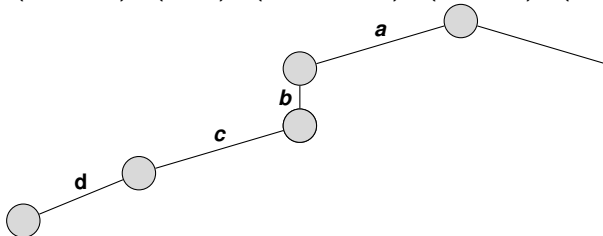
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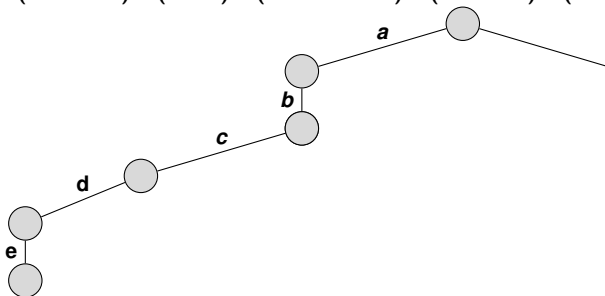
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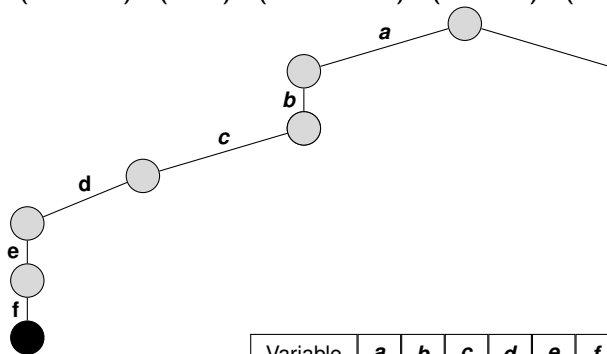
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found **conflict**

$$C_5 = (\bar{a} \vee \bar{e} \vee \bar{f})$$

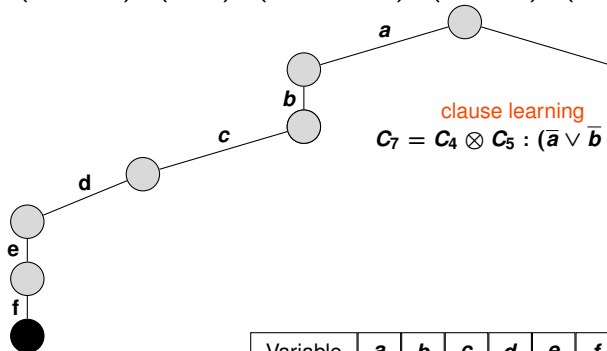
Variable	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
Reason	-	C_1	-	-	C_2	C_4

► propagate consequences



Conflict Driven Clause Learning (CDCL) in a Nutshell

$$F = (\bar{a} \vee b) \wedge (\bar{b} \vee \bar{d} \vee e) \wedge (c \vee d) \wedge (\bar{a} \vee \bar{b} \vee \bar{e} \vee f) \wedge (\bar{a} \vee \bar{e} \vee \bar{f}) \wedge (d \vee \bar{f}) \wedge (\bar{c} \vee e \vee f)$$



clause learning
 $C_7 = C_4 \otimes C_5 : (\bar{a} \vee \bar{b} \vee \bar{e})$

found **conflict**
 $C_5 = (\bar{a} \vee \bar{e} \vee \bar{f})$

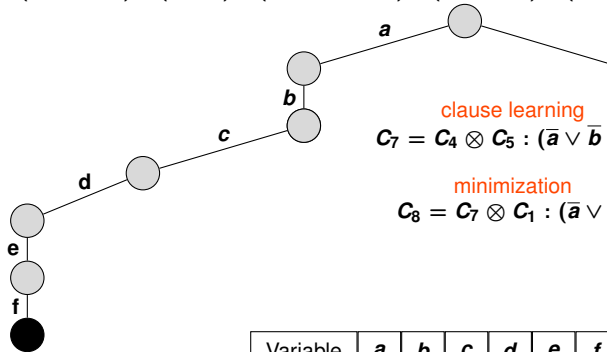
Variable	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
Reason	-	C_1	-	-	C_2	C_4

- create and add the learned clause to the formula



Conflict Driven Clause Learning (CDCL) in a Nutshell

$$F = (\bar{a} \vee b) \wedge (\bar{b} \vee \bar{d} \vee e) \wedge (c \vee d) \wedge (\bar{a} \vee \bar{b} \vee \bar{e} \vee f) \wedge (\bar{a} \vee \bar{e} \vee \bar{f}) \wedge (d \vee \bar{f}) \wedge (\bar{c} \vee e \vee f)$$



clause learning

$$C_7 = C_4 \otimes C_5 : (\bar{a} \vee \bar{b} \vee \bar{e})$$

minimization

$$C_8 = C_7 \otimes C_1 : (\bar{a} \vee \bar{e})$$

found conflict

$$C_5 = (\bar{a} \vee \bar{e} \vee \bar{f})$$

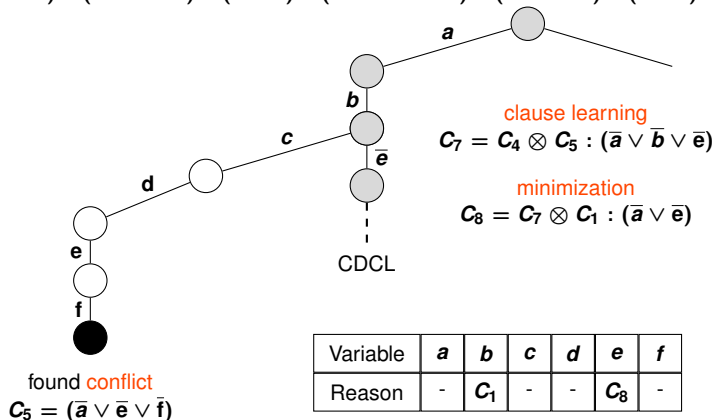
Variable	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
Reason	-	C_1	-	-	C_2	C_4

- create and add the learned clause to the formula



Conflict Driven Clause Learning (CDCL) in a Nutshell

$$F = (\bar{a} \vee b) \wedge (\bar{b} \vee \bar{d} \vee e) \wedge (c \vee d) \wedge (\bar{a} \vee \bar{b} \vee \bar{e} \vee f) \wedge (\bar{a} \vee \bar{e} \vee \bar{f}) \wedge (d \vee \bar{f}) \wedge (\bar{c} \vee e \vee f)$$



► backtrack, add C_8 , and proceed with unit propagation



The CDCL Algorithm

CDCL (CNF formula F)

Input: A formula F in CNF

Output: The solution **SAT** or **UNSAT** of this formula

```

1   $J := ()$  // start with empty interpretation
2  while true
3    while  $(x) \in F|_J$  do // unit rule
4       $J := Jx$ 
5    if  $[] \in F|_J$  then // conflict
6      if  $\exists \dot{y} \in J$ , such that  $J = J'J''\dot{y}J'''$  then
7         $F := F \cup C$  with  $F \models C$  and  $C \notin F$  // learning
8         $J := J'$  // backjumping
9      else return UNSAT // unsatisfiability rule
10   else // no empty clause in  $F|_J$ 
11     if  $\text{atoms}(J) \supseteq \text{atoms}(F)$  then return SAT // satisfiability rule
12     else  $J := Jz$  with  $\text{atoms}(z) \subsetneq \text{atoms}(F)$  // decision rule

```



Conclusions of the CDCL Algorithm

- ▶ Heavily depends on the order of the decision variables
- ▶ Non-Chronological backtracking, **backjumping**
- ▶ Learning of new clauses
- ▶ No mentioned here: **restarts, clause removal**

- ▶ Question: can the CDCL algorithm simulate the DPLL algorithm?

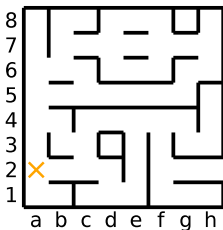


An Intuitive Abstraction of SAT Solver Techniques



Finding an Exit in a Maze

- ▶ **Some rules**
 - ▶ starting point is located in the left column
 - ▶ exit is on the right side (if there exists one)
 - ▶ search decisions can be done only when moving right
 - ▶ when moving left, use backtracking



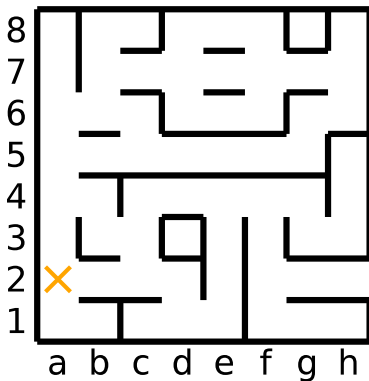
- ▶ **Property: satisfiable formulas correspond to mazes that can be solved by searching right only**



The DPLL Algorithm

► Heuristics:

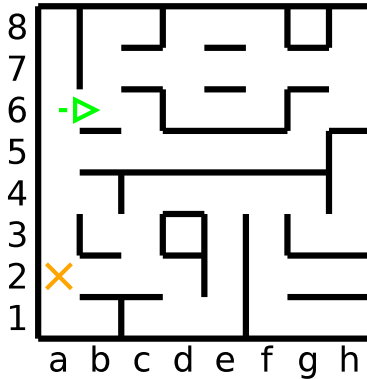
- pick the highest possible column
- then, pick the lowest column



The DPLL Algorithm

► Heuristics:

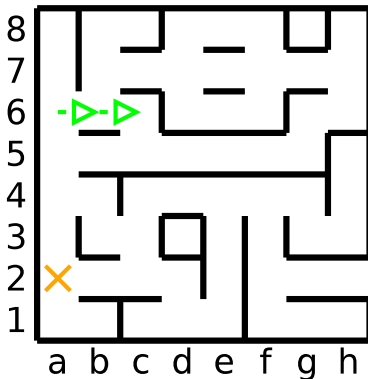
- pick the highest possible column
- then, pick the lowest column



The DPLL Algorithm

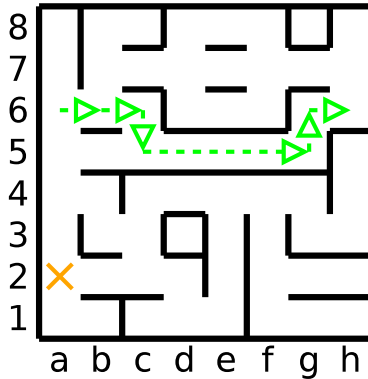
► Heuristics:

- pick the highest possible column
- then, pick the lowest column



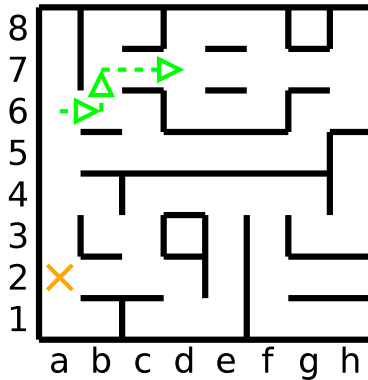
The DPLL Algorithm

- No decision, hence **propagate**



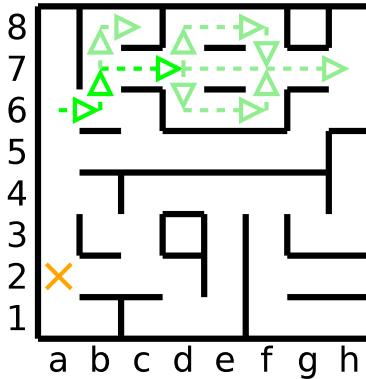
The DPLL Algorithm

- No exit (**conflict**), hence **backtrack**



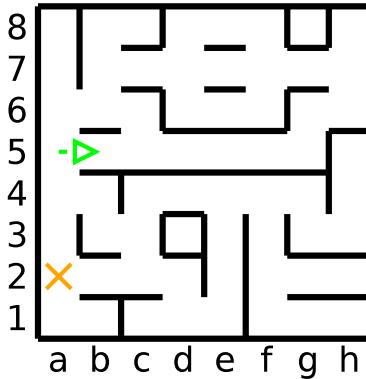
The DPLL Algorithm

- No exit in the upper search space, hence **backtrack**



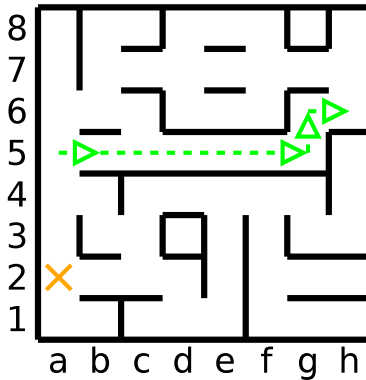
The DPLL Algorithm

- Do next search decision



The DPLL Algorithm

- Enter the same search space as before



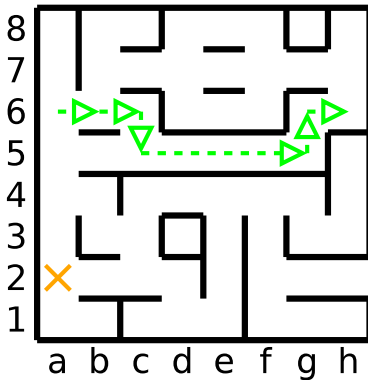
The CDCL Algorithm (conflict driven clause learning)

- ▶ Choose,
- ▶ Propagate,
- ▶ and Backtrack after Conflict
- ▶ ... enters the same search space again and again.
- ▶ Let's go a few steps back ...



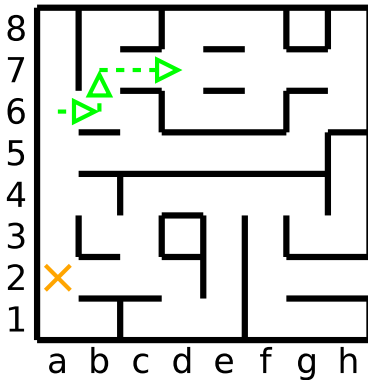
The CDCL Algorithm (conflict driven clause learning)

- No decision, hence **propagate**



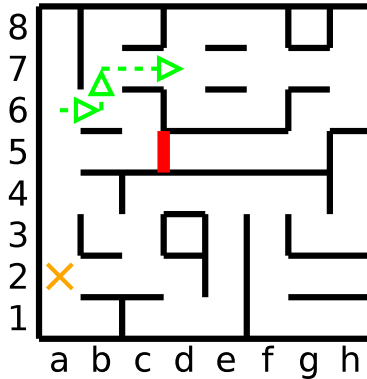
The CDCL Algorithm (conflict driven clause learning)

- No exit (**conflict**), hence **backtrack**



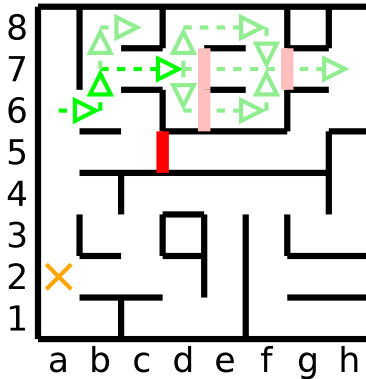
The CDCL Algorithm (conflict driven clause learning)

► ...and **learn** a clause



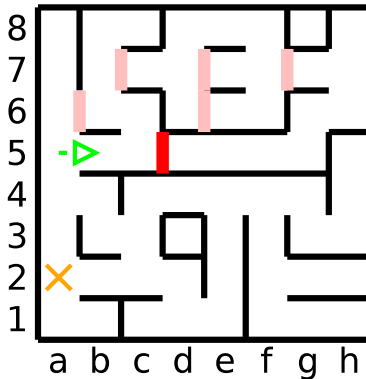
The CDCL Algorithm (conflict driven clause learning)

- ▶ No exit in upper search space, backtrack and learn



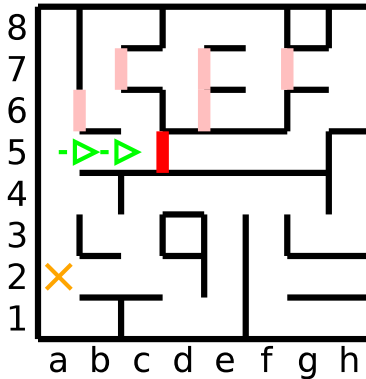
The CDCL Algorithm (conflict driven clause learning)

► Do next search decision

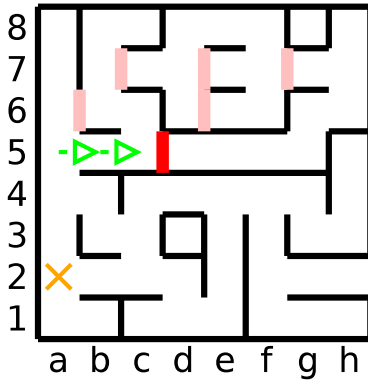


The CDCL Algorithm (conflict driven clause learning)

- **Does not** enter the same search space as before

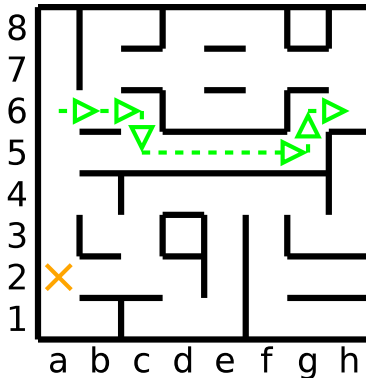


Motivating Clause Removal



How to perform Unit Propagation

- ▶ When is a unit clause in the redut?
- ▶ How to find a unit clause in the redut, especially in the CDCL algorithm?



Coming Next

- ▶ **Simplification**
- ▶ **Parallel Search**

